

EU Customs Functional Requirements BPM Report for UCC PoUS System
COVER PAGE

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1. INTRODUCTION

1.1. Document Purpose

The main goal of this “Functional Requirements for the Proof of Union Status System” document is to identify the scope of the Proof of Union Status system and to detail its functional specification. It is also intended to serve as a stable baseline for the technical specifications.

It is developed from the perspective of business processes, requirements, data and services.

- Business process models (BPM) are used to support the understanding and description of the business activities;
- Message allocation diagrams (MAD) are the models covering the data;
- Requirements trees are the models covering functional and non-functional requirements;
- Service architecture diagrams are the models covering process and functional services, external as well as internal;
- Functional allocation diagrams (FAD) are used to make the connection between business activities and related data, requirements and services.

A high level business overview for the Proof of Union Status business domain is described in Section 4 of this document, providing context, actors and interfaces of this business domain. Section 5 provides the full business process documentation, describing for each process within this business domain the process steps in a detailed and decomposed manner.

Annexes to this document contain a glossary of terms, information exchanges, rules and conditions and services that support the readability of this document. The structure of this document is further described in section 1.6 Structure of Document.

1.2. Deviation From TEMPO

The following TEMPO sections are not part of this document:

- Sign Off list;
- Distribution List;
- Scope;
- Applicable Documents;
- List of Tables;
- List of Figures.

The following TEMPO sections are added to this document:

- Project Ownership;
- Intended Audience.

1.3. Assumption and Constraints

The reader should have a basic knowledge of the levelling guidelines [R01].

1.4. Project Ownership

The unit DG TAXUD/B1 is the project owner of this EU Customs Functional Requirements BPM Report.

1.5. Intended Audience

The purpose of this document is to establish a common understanding between readers that are familiar with the applicable documents and may belong to the following categories:

- Any person responsible for the Proof of Union Status business / system processes, requirements, functional specifications or conformance testing;

- Any person of the development team of DG TAXUD/B3 who will use this document as a reference during the development cycle;
- Any person from the DG TAXUD units who has to verify whether the current document is consistent with the applicable documents and depicts the solution to be followed;
- Any Member State (MS) or Trade representatives who have to identify the actions, implications and consequences on their national domain, including both business people and people having an IT background.

1.6. Structure of Document

This document is organised as follows:

- Introduction;
- References, containing a list of acronyms and abbreviations and the documents referenced in this functional requirements document;
- Document History, listing the different versions and submission dates of this functional requirements document;
- High Level System Overview, providing an overview of the system and the actors involved in this functional requirements document;
- Process Models, visualising in separate sections information from all L4 BPMs relating to the system covered in this functional requirements document.

In separate documents, the following related annexes may be found:

- Annex 1: Model Graphics, providing all L4 BPM models;
- Annex 2: Glossary, providing a list of basic terms with their meanings applicable across all domains / levels within the EU Customs Business Processes;
- Annex 3: Requirements, providing a list of all requirements for the system covered in this functional requirements document;
- Annex 4: Code Lists, providing all code lists for data used in the information exchanges of the system covered in this functional requirements document;
- Annex 5: Information Exchange, providing all information exchanges and their content for the system covered in this functional requirements document;
- Annex 6: Rules & Conditions, containing lists of all conditions and rules that are related to data in the information exchanges;
- Annex 7: List of Amendments, containing lists of all UCC changes covered in this functional requirements document.

2. REFERENCES

2.1. Acronyms and abbreviations

ACRONYM	DESCRIPTION
AI	Authorised Issuer
ACC	Access Control and Connectivity Requirement
AEO	Authorised Economic Operator
AUD	Audit Requirement
AVA	Availability Requirement
BPM	Business Process Modelling
BPMN	Business Process Model and Notation
BUS	Business Requirement
CCN2	Common Communication Network 2
CCO	Competent Customs Office
CDCO	Centrally Developed, Centrally Operated Application
CL	Code List
CR	Central Repository
CUS	Customs Union and Statistics
DA	Delegated Acts
DG TAXUD	Directorate General for Taxation and Customs Union
EU	European Union
EC	European Commission
EO	Economic Operator
EORI	Economic Operators Registration and Identification
EOS	Economic Operator System
FUR	Functional Requirement
CGM	Customs Goods Manifest
IA	Implementing Acts
ID	Identifier
IE	Information Exchange
IMP	Implementation Requirement
INS	Installability Requirement
INT	Integrity Requirement
IT	Information Technology

ITF	Interface Requirement
L	Level
LOG	Logging Requirement
LRN	Local Reference Number
MON	Monitoring Requirement
MRN	Master Reference Number
MS	Member State(s)
NMSW	National Maritime Single Window
N/A	Not Applicable
OOA	Ownership of Assets Requirement
PC	Person Concerned
PCO	Presentation Customs Office
PER	Performance Requirements
POUS	Proof of Union Status
SAR	Software Architecture Requirement
SCA	Scalability Requirement
SEC	Security and Data Protection Requirement
SOA	Service-Oriented Architecture
SRD	Status Registration Document
TA	Technical Architecture
TATAF	Tariff Application Technical Architecture Framework
TEMPO	TAXUD Electronic Management of Projects On-Line
UCC	Union Customs Code
UNECE	United Nations Economic Commission for Europe
USE	Usability Requirement
VOL	Volumetric Requirement

Table 2.1: Acronyms and abbreviations

2.2. Reference Documents

The following documents are of interest to this document and its annexes:

REF.	TITLE	ORIGINATOR	VERSION	DATE
R01	EU CUSTOMS BPM METHODOLOGY DOCUMENT	DG TAXUD	8.00	16/03/2020
R02	CUST-DEV3 SC06-QTM001-DLV-001-5.3-0-2-1.0 - EU	DG TAXUD	4.10	21/01/2015

REFERENCES

	Customs Global and Interaction BPM Report			
R03	Union Customs Code: Regulation (EU) 952/2013	DG TAXUD	952/2013	09/10/2013
R04	Union Customs Code Implementing Act: 2015/2447	DG TAXUD	2015	23/12/2015
R05	Union Customs Code Delegated Act: TAXUD/UCC-IA/2014-3	DG TAXUD	Rev12	08/02/2012
R06	Annex B table - Data Harmonization - (PoUS data requirements)	DG TAXUD Unit B1	v9.0	03/12/2019

Table

2.2:

Reference

Documents

3. DOCUMENT HISTORY

ED.	REV.	DATE	DESCRIPTION	ACTION *	PAGES
1	00	14/12/2011	Submitted for Acceptance to DG TAXUD	-	-
2	00	20/07/2012	Submitted for Acceptance to DG TAXUD	-	-
2	10	14/08/2012	Submitted for Information to DG TAXUD	-	-
2	20	18/02/2013	Submit for Review	U	All
3	00	04/03/2013	Submitted for Acceptance to DG TAXUD	-	-
3	01	09/07/2013	Implementation of External Review Comments	U	All
3	10	19/07/2013	Submitted for Review to DG TAXUD	-	-
4	00	19/08/2013	Submitted for Acceptance to DG TAXUD	-	-
4	10	06/03/2015	Submitted for Review to DG TAXUD	-	-
5	00	30/03/2015	Submitted for Acceptance to DG TAXUD	-	-
5	10	24/11/2015	Submitted for Review to DG TAXUD	-	-
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13	00	23/09/2022	Submitted for Acceptance to DG TAXUD	-	-

Table

3.1:

Document

History

*I = Insert, R = Replace, U = Update

4. HIGH LEVEL SYSTEM OVERVIEW

4.1. System Overview

This paragraph gives the system overview.

4.2. Actors

ACTORS	DESCRIPTION
Competent Customs Office	The Customs Authority responsible for certifying the Union status of goods.
Central Repository	The Central Repository is a central database for storing, updating, retrieving, monitoring and archiving proof of Union status data.
Economic Operator at Arrival	Any person involved at a given movement, who submits a proof MRN to the PCO in order to prove customs status of Union goods or to consult the latest proof information.
Presentation Customs Office	The customs office where the proof of Union status is presented.
National Proof of Union Status System	The National Proof of Union Status system comprises of a national trader component and a national Customs Officer component that interfaces with the central Proof of Union Status system.
Economic Operator	Any person involved at a given movement, who submits a Proof MRN to the CCO in order to consult the latest proof information.
Person Concerned	The person, other than an Authorised Issuer, who submits the request for endorsement of proof of Union status, the Customs Goods Manifest Amendment or the Provision of Proof of Union Status Information.
Customs Officer	The Customs Officer handling the request for proof and/or the presentation of proof.
Proof of Union Status System	The new central Proof of Union Status system.
Person Concerned	Person Concerned: the person, other than an Authorised Issuer, who lodges the request for endorsement of Proof of Union status.
Customs Officer	The Customs Officer handling the request for proof and/or the presentation of proof.
Proof of Union Status System	The new central Proof of Union Status system.
National System other than PoUS	The National System other than PoUS is a national system not dedicated to the Proof of Union status (e.g. Temporary Storage or Notification of Arrival system), that interfaces with the central Proof of Union Status system in order to present a Proof.

Member State Competent for Risk Analysis	The Member State that is responsible for the receipt or sending of risk related information.
Central Repository	The Central Repository is a central database for storing, updating, retrieving, monitoring and expired proof of Union status data.

Actors

5. PROCESS MODELS

5.1. Process L4-PUS-01-00-UCC Proof of Union Status System Master Process

5.1.1. Objective of Process

The purpose of this diagram is to present in one diagram the main flow of automating the request for a Proof of Union Status in the form of T2L/F or CGM, resulting in the registration or endorsement of a Proof and the automation of the proof presentation and usage. The main Proof of Union Status system business processes are:

- Proof of Union Status in the Form of T2L or T2LF Data;
- Proof of Union Status in the Form of T2L or T2LF Data - Present Proof;
- Proof of Union Status in the Form of T2L or T2LF Data - Provide Information;
- Proof of Union Status in the Form of T2L or T2LF Data - Archive Proof;
- Proof of Union Status in the Form of CGM Data;
- Proof of Union Status in the Form of CGM Data - Present Proof;
- Proof of Union Status in the Form of CGM Data - Amend Proof;
- Proof of Union Status in the Form of CGM Data - Provide Information;
- Proof of Union Status in the Form of CGM Data - Archive Proof.

This diagram mainly focuses on the successful path. For more details please check the respective L4 BPMN diagrams.

5.1.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-PUS-01-00
Full Name	UCC Proof of Union Status System Master Process
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L2-PUS-01-00
Existing Specifications	

Table 5.1: Process Details

5.1.3. Tasks and Events

Task Proof of Union Status in the Form of T2L or T2LF Data

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Proof of Union Status in the Form of T2L or T2LF Data
Task Type	Call activity (collapsed)

Description	<p>This L4 process depicts the steps for an AI / PC to request that the Proof of Union Status of the goods is registered and accessible in a central location, in the case that the Proof of Union Status of the goods will need to be verified.</p> <p>This is requested to the Competent Customs Office in the form of T2L/F data, via an EU harmonized trader interface or the national system. In the case of an AI, it concerns a registration request and the Customs Officer does not need to endorse the proof. In the case of a PC, it concerns an endorsement request and the Customs Officer must endorse the proof.</p> <p>The process is based on the assumption that only a fully validated proof will be stored in the CR</p> <p>The main process flow consists of a request for endorsement from a PC, or a request for registration from an AI. The message will be technically validated to ensure that it meets the minimum validity requirements, such as a valid message structure. The particulars of the requesting party will be checked (e.g. EORI number must be valid) and finally in the case of an AI, the authorisation itself will be validated. If the request comes from a PC the request will have to be endorsed.</p> <p>In the case of an endorsement request, the Customs Officer must endorse the decision. If the Endorsement is rejected, a notification will be sent to the PC.</p> <p>First, a risk analysis at national level may be requested by the Customs Officer against risk rules (including common risk criteria and standards where applicable) (automatic process). This depends on the member state that is responsible for the risk assessment and if an interface between the central PoUS system and the respective national risk system is foreseen.</p> <p>When a national risk analysis system is consulted, the risk analysis result is recorded in the Proof of Union Status system.</p> <p>Then, the Customs Officer decides if relevant controls will be performed or not. If the Customs Officer decides to perform the controls, the process continues with the control task. The control task is a manual task executed by the Customs Officer, in the context of which only documentary checks or both documentary and physical checks are performed. If supplementary documents are needed, the Customs Officer may request them from the PC.</p> <p>There are three possible results from this control:</p> <ul style="list-style-type: none"> ● In case the result is “A1 - Satisfactory” or "A2 - Considered Satisfactory", the request is endorsed. ● In case the result is “P2 - Different goods”, the PC is notified about the rejection of the request. ● In case the result is “P3 - Non-union goods”, the PC is notified about the rejection of the request. <p>The consultation or not of a national risk analysis system is followed by the control process. As a principle, the Customs Officer decides if relevant controls will be performed or not within a time limit restriction which differs depending on whether the request is for endorsement (PC) or for registration (AI). In general, if the Customs Officer decides to perform the controls, the process continues with the control task. Depending on the risk consultation and on whether this is an endorsement/registration request we have the following cases: When a national risk analysis system has been</p>
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consulted and no risk has been identified, the Customs Officer has a time limit to decide to perform controls in case of an endorsement request (PC) and a time limit to perform controls or to decide not to perform the relevant controls in case of a registration request (AI). In case of a request by an AI, the time limit to perform controls is defined by the Authorization time limit. If the time limit expires, the control result is “A2 - Considered Satisfactory”. If the Customs Officer decides not to perform the controls the control result is set to “A2 – Considered Satisfactory”. If the Customs Officer performs the controls and the control is Satisfactory, the control result is "A1 - Satisfactory", In both cases mentioned above, the process continues with the endorsement of the request in case of a PC request. In case of an AI, if the control result is “A2 - Considered Satisfactory” (automatic control because of time limit expiration or manual decision not to perform the controls by the Customs Officer) or control is manually registered as “A1 – Satisfactory”, the process continues with the registration of the proof. When a national risk analysis system has been consulted and risk has been identified or when a national risk analysis system is not consulted at all, the Customs Officer has to decide to perform or not controls with no time limit on the decision to perform controls in case of an endorsement request (PC). In case of a registration request (AI) the controls process is the same as when a national risk analysis system has been consulted and no risk has been identified. If the Customs Officer decides not to perform the controls and the control result is set to “A2 – Considered Satisfactory” or If the Customs Officer performs the controls and the control result is "A1 - Satisfactory", the process continues with the endorsement of the request in case of a PC request. In all above cases if the control result is either “P2 -Different goods” or “P3-Non-union goods”, the PC/AI are notified about the rejection of the request. The endorsement decision is a manual task performed by the Customs Officer. By default, this process has to be completed within a specific time limit. If this time limit expires, the endorsement will deem to be confirmed. If the requested validity of the Proof is longer than the default value of 90 days, the Customs Officer will have to decide if the longer requested validity will be accepted or not. In this case, the timer set to allow for the automatic endorsement is cancelled and the Customs Officer will have to provide a decision.

In the case of a successfully controlled registration request or an approved endorsement request, an MRN is allocated, and the validity period is set (the default period is 90 days unless otherwise requested). At this point the proof is considered registered. The proof is recorded and stored into the Central Repository. Simultaneously, the details of the registered proof will be communicated to the AI or PC along with the result. The proof is now centrally available for use by all Customs Authorities.

The other L4 process within this package that follows this process are 'Proof in the Form of T2L or T2LF Document - Present Proof', 'Proof in the Form of T2L or T2LF Document - Provide Information' and 'Proof in the Form of T2L or T2LF Document - Expire Proof'.

Proof of Union Status is an enabling process within European Customs. The data inputs for this process are:

- Enterprise Information (comes from process 'Enterprise information maintenance');

	<ul style="list-style-type: none"> ● EORI Record (comes from process 'Operators management'); and ● Authorisation for Authorised Issuer for Proof of Union Status (comes from process 'Manage authorisation for Authorised Consignor for Proof of Union status').
Actor	Competent Customs Office
Input Data	
Output Data	
Previous Objects	Proof of Union Status for T2L/T2LF or CGM
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.2: Task Proof of Union Status in the Form of T2L or T2LF Data

Event T2L/T2LF Proof is to be Used

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	T2L/T2LF Proof is to be Used
Event Type	Conditional intermediate event
Description	A Proof of Union Status in the form of T2L or T2LF data is presented in order to be used.
Previous Objects	Proof of Union Status in the Form of T2L or T2LF Data

Table 5.3: Event T2L/T2LF Proof is to be Used

Task Retrieve and Use Proof of Union Status in the Form of T2L or T2LF Data

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Retrieve and Use Proof of Union Status in the Form of T2L or T2LF Data
Task Type	Subprocess
Description	
Actor	Competent Customs Office
Input Data	
Output Data	
Previous Objects	Electronic Receipt of T2L/T2LF Proof Presented Non-Electronic Receipt of T2L/T2LF Proof Presented What is the Outcome? Proof Not Used

	T2L/T2LF Proof is to be Used Electronic Receipt of T2L/T2LF Proof Presented Economic Operator at Arrival Notified of Validation Errors Non-Electronic Receipt of T2L/T2LF Proof Presented Proof Not Valid for Use One T2L/T2LF Proof may be presented more than one time, in case it is not used. The process loops until the T2L/T2LF Proof is used. Proof of Union Status in the Form of T2L or T2LF Data - Present Proof Proof Used
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.4: Task Retrieve and Use Proof of Union Status in the Form of T2L or T2LF Data

Retrieve and Use Proof of Union Status in the Form of T2L or T2LF Data: Event Non-Electronic Receipt of T2L/T2LF Proof Presented

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Non-Electronic Receipt of T2L/T2LF Proof Presented
Event Type	Start event
Description	An Economic Operator at Arrival shows the SRD or manually communicates the MRN to the Customs Officer.
Previous Objects	

Table 5.5: Event Non-Electronic Receipt of T2L/T2LF Proof Presented

Retrieve and Use Proof of Union Status in the Form of T2L or T2LF Data: Event Electronic Receipt of T2L/T2LF Proof Presented

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Electronic Receipt of T2L/T2LF Proof Presented
Event Type	Start event
Description	An MRN is electronically received from an Economic Operator at Arrival or a National System other than a dedicated PoUS National System.
Previous Objects	

Table 5.6: Event Electronic Receipt of T2L/T2LF Proof Presented

Retrieve and Use Proof of Union Status in the Form of T2L or T2LF Data: Task Proof of Union Status in the Form of T2L or T2LF Data - Present Proof

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE

Task Name	Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Task Type	Call activity (collapsed)
Description	<p>The “Present Proof” process is triggered by the electronic (IEP04 'Proof Presented' or IEP39 'Proof Presented by Other National System') or the non-electronic reception (manually showing the SRD or a barcode or verbally communicating the MRN) of the proof presentation notification by the Customs Officer from the EO at Arrival. In case of an electronic notification, the “IEP04 Proof Presented” or 'IEP39 Proof Presented by Other National System' is technically validated. When the message is valid, the Proof of Union Status system records the electronic proof presentation notification. When it concerns a non-electronic presentation of proof, the Customs Officer registers the proof presentation notification and the Proof of Union Status system records it.</p> <p>Then the system launches a proof query to the CR to retrieve the proof. The CR performs a search and generates a response to the proof query, which is sent back to the Proof of Union Status system.</p> <p>The query response (included in the “Response to Proof Query”) contains the Proof Status of the Presented Proof and can be one of the following:</p> <ol style="list-style-type: none"> 1) Presented Proof is Registered - The MRN of the Presented Proof is assigned to a proof which exists and its Proof Status is “E-Registered”. The proof details are included in the “Response to Proof Query”; 2) Presented Proof is Expired - the MRN of the Presented Proof is assigned to a proof which exists but validity date has expired and its Proof Status is “E-Expired”. The proof details are included in the “Response to Proof Query”; 3) Presented Proof is Already Used - the MRN of the Presented Proof is assigned to a proof that exists but has already been used and its Proof Status is “P-Used”. The proof details are included in the “Response to Proof Query”; 4) Presented Proof is Partially Used - the MRN of the Presented Proof is assigned to a proof that has been partially used and its Proof Status is “P-Partially Used”. (Not applicable for T2L/F as it concerns only Presented Proofs in the form of Customs Goods Manifest data); 5) Presented Proof is Under Evaluation by A PCO - the MRN of the Presented Proof is assigned to a proof that has been presented and is under evaluation at a PCO and its Proof Status is “P-Waiting RA Results” or “P-Waiting Control Decision” or “P-Waiting for Documentary Control Result” or “P-Waiting for Supplementary Documents” or “P-Waiting for Physical Control Result” or “P-Waiting Proof Usage Decision”. The proof details are included in the “Response to Proof Query”. <p>The non-existence of a Presented Proof is handled by the Proof of Union Status system as an exception when the MRN of the Presented Proof is received.</p> <p>The Proof of Union Status system identifies if the “Response to Proof Query” concerns a proof that is valid to be used. For a proof in the form of T2L/F data the Proof Status of the returned Proof should have the value “E-Registered”</p> <p>If the proof is not valid to be used, the EO at Arrival is notified that the retrieved proof is not valid to be used. The Customs Officer is also notified</p>

	<p>accordingly, and the process ends.</p> <p>If the proof is valid to be used, a risk analysis at national level may be requested by the Customs Officer against risk rules (including common risk criteria and standards where applicable) (automatic process). This depends on the member state that is responsible for the risk assessment and if an interface between the central PoUS system and the respective national risk system is foreseen. When a national risk analysis system is consulted, the risk analysis result is recorded in the Proof of Union Status system. Then, the Customs Officer decides if relevant controls will be performed or not. If the Customs Officer decides to perform the controls, the process continues with the control task. The control task is a manual task executed by the Customs Officer, in the context of which only documentary checks or both documentary and physical checks are performed. If supplementary documents are needed, the Customs Officer may request them from the PC.</p> <p>There are four possible results from this control:</p> <ul style="list-style-type: none"> ● In case the result is “A1- Satisfactory” or “A2 – Considered Satisfactory”, the goods match the goods declared in the proof and the Customs Officer registers the decision on the use of the proof; ● In case the result is “P2- Different goods”, the EO at Arrival is notified about the non-use of the proof; ● In case the result is “P4- Missing goods”, the proof can be used for the goods that arrived and the Customs Officer registers the decision on the use of the proof; ● In case the result is “P5- Excess goods”, the proof can be used for the goods that are covered by it and the Customs Officer registers the decision on the use of the proof. <p>When a national risk analysis system has been consulted and no risk has been identified, the Customs Officer has a time limit to decide to perform the relevant controls. If the time limit expires the control result is “□2 – Considered Satisfactory”. If the Customs Officer decides not to perform the controls or the control result is “A2 – Considered Satisfactory”. If the control result is “A1- Satisfactory” (i.e. manual control) or “A2-Considered Satisfactory” (i.e. automatic control because of time limit expiration or manual decision not to perform controls), the Customs Officer registers the decision on the use of the proof.</p> <p>Finally, the EO at Arrival is notified about the use of the proof and in case the Proof was created by a National PoUS system, a notification is sent to the respective National PoUS system.</p>
Actor	
Input Data	
Output Data	
Previous Objects	<p>Electronic Receipt of T2L/T2LF Proof Presented</p> <p>Non-Electronic Receipt of T2L/T2LF Proof Presented</p>
Information Exchange	
Requirements	

Data Set	
Events	
State	

Table 5.7: Task Proof of Union Status in the Form of T2L or T2LF Data - Present Proof

Retrieve and Use Proof of Union Status in the Form of T2L or T2LF Data: Event Economic Operator at Arrival Notified of Validation Errors

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Economic Operator at Arrival Notified of Validation Errors
Event Type	End event
Description	Amendment of CGM Proof of Union Status is invalid.
Appears also in the following processes	L4-PUS-01-00-UCC Proof of Union Status System Master Process
Previous Objects	What is the Outcome?

Table 5.8: Event Economic Operator at Arrival Notified of Validation Errors

Retrieve and Use Proof of Union Status in the Form of T2L or T2LF Data: Event Proof Not Valid for Use

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof Not Valid for Use
Event Type	End event
Description	Presentation of T2L/T2LF Proof of Union Status is rejected.
Previous Objects	What is the Outcome?

Table 5.9: Event Proof Not Valid for Use

Retrieve and Use Proof of Union Status in the Form of T2L or T2LF Data: Event Proof Not Used

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof Not Used
Event Type	End event
Description	The presented T2L/T2LF Proof of Union Status is not used.
Previous Objects	What is the Outcome?

Table 5.10: Event Proof Not Used

Retrieve and Use Proof of Union Status in the Form of T2L or T2LF Data: Event Proof Used

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof Used
Event Type	End event
Description	The presented T2L/T2LF Proof of Union Status is used. The process ends.
Previous Objects	What is the Outcome?

Table 5.11: Event Proof Used

Event Proof of Union Status Required

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof of Union Status Required
Event Type	Start event
Description	A Proof of Union Status in the form of T2L/T2LF or CGM data is requested by a Person Concerned or an Authorised Issuer.
Previous Objects	

Table 5.12: Event Proof of Union Status Required

Event T2L/T2LF Proof Information is to be Provided

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	T2L/T2LF Proof Information is to be Provided
Event Type	Conditional intermediate event
Description	A retrieval to view the details of a Proof of Union Status in the form of T2L or T2F is made.
Previous Objects	Proof of Union Status in the Form of T2L or T2LF Data

Table 5.13: Event T2L/T2LF Proof Information is to be Provided

Event Time Limit for Validity of T2L/T2LF Proof

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Time Limit for Validity of T2L/T2LF Proof
Event Type	Timer intermediate event
Description	The validity time of a Proof of Union Status in the form of T2L or T2LF data is expired.
Previous Objects	Retrieve and Use Proof of Union Status in the Form of T2L or T2LF Data

Table 5.14: Event Time Limit for Validity of T2L/T2LF Proof

Task Proof of Union Status in the Form of T2L or T2LF Data - Provide Information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Proof of Union Status in the Form of T2L or T2LF Data - Provide Information
Task Type	Call activity (collapsed)
Description	<p>This L4 process ("Proof of Union Status in the form of T2L or T2LF Data - Provide Information") will start when a request for information has been made by an EO or a Customs Officer and the updated T2L/T2LF Proof information must be made available. The request may concern only the status or the full data of the T2L/T2LF Proof. The T2L/T2LF Proof information request will be validated and in case of validation errors the process will end, and the EO will be informed of the validation errors.</p> <p>If the request concerns only the Status of the T2L/T2LF Proof, no validation is performed regarding the access of the initiator to the T2L/T2LF Proof and the Proof status will be disclosed to the Initiator.</p> <p>If the request concerns the full data of the T2L/T2LF Proof, the system will validate if the initiator has access to the T2L/T2LF Proof. In case the initiator has access, the full data of the T2L/T2LF Proof will be disclosed to the Initiator. Otherwise, a respective notification will be send.</p> <p>The final result of this process will be one of the following:</p> <ul style="list-style-type: none"> * The EO or Customs Officer receives the Status of the T2L/T2LF Proof; * The EO or Customs Officer receives the latest full information of the T2L/T2LF Proof if he/she has access to the T2L/T2LF Proof; * The EO or Customs Officer receives a respective notification in case of non-access to the T2L/T2LF Proof.
Actor	Competent Customs Office
Input Data	
Output Data	
Previous Objects	T2L/T2LF Proof Information is to be Provided
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.15: Task Proof of Union Status in the Form of T2L or T2LF Data - Provide Information

Task Retrieve and Use Proof of Union Status in the Form of CGM Data

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Retrieve and Use Proof of Union Status in the Form of CGM Data
Task Type	Subprocess
Description	

Actor	Competent Customs Office
Input Data	
Output Data	
Previous Objects	<p>Non-Electronic Receipt of CGM Proof Presented Proof Not Valid for Use Electronic Receipt of CGM Proof Presented CGM Proof is to be Used Economic Operator at Arrival or Economic Operator and NMSW/National System other than the PoUS Notified of Validation Errors Proof Not Used What is the Outcome? One CGM Proof may be presented and more than one time, in case it is not used. One CGM Proof may be presented and used more than once in a partial used mode. The process loops until the CGM Proof is fully used. Non-Electronic Receipt of CGM Proof Presented Electronic Receipt of CGM Proof Presented Proof of Union Status in the Form of CGM Data - Present Proof Proof Used</p>
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.16: Task Retrieve and Use Proof of Union Status in the Form of CGM Data

Retrieve and Use Proof of Union Status in the Form of CGM Data: Event Non-Electronic Receipt of CGM Proof Presented

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Non-Electronic Receipt of CGM Proof Presented
Event Type	Start event
Description	An Economic Operator at Arrival shows the SRD or manually communicates the MRN to the Customs Officer.
Previous Objects	

Table 5.17: Event Non-Electronic Receipt of CGM Proof Presented

Retrieve and Use Proof of Union Status in the Form of CGM Data: Event Electronic Receipt of CGM Proof Presented

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Electronic Receipt of CGM Proof Presented
Event Type	Start event

Description	An MRN is electronically received from an Economic Operator at Arrival or a NMSW / National System other than a dedicated PoUS National System.
Previous Objects	

Table 5.18: Event Electronic Receipt of CGM Proof Presented

Retrieve and Use Proof of Union Status in the Form of CGM Data: Task Proof of Union Status in the Form of CGM Data - Present Proof

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Proof of Union Status in the Form of CGM Data - Present Proof
Task Type	Call activity (collapsed)
Description	<p>The “Present Proof” process is triggered by the electronic (IEP04 'Proof Presented') or the non-electronic reception (manually showing the SRD or a barcode or verbally communicating the MRN) of the proof presentation notification by the Customs Officer from the EO at Arrival. In case of an electronic notification, the “IEP04 Proof Presented” is technically validated. When the message is valid, the Proof of Union Status system records the electronic proof presentation notification. When it concerns a non-electronic presentation of proof, the Customs Officer registers the proof presentation notification and the Proof of Union Status system records it.</p> <p>Then the system launches a proof query to the CR to retrieve the proof. The CR performs a search and generates a response to the proof query, which is sent back to the Proof of Union Status system. The query response (included in the “Response to Proof Query”) can have 5 possible values:</p> <ol style="list-style-type: none"> 1) Registered - The MRN is assigned to a proof which exists and is valid. The proof details are included in the “Response to Proof Query”. 2) Proof Archived - the MRN is assigned to a proof that exists but validity date has expired. The proof details are included in the “Response to Proof Query”. 3) Used - the MRN is assigned to a proof that exists but has already been fully used. The proof details are included in the “Response to Proof Query”. 4) Partially used - the MRN is assigned to a proof that has been partially used (It concerns only the CGM case). 5) Query does not return a response - no proof is assigned to the MRN. No proof details are included in the “Response to Proof Query”. <p>The Proof of Union Status system identifies if the “Response to Proof Query” concerns a proof that is valid to be used. For a proof in the form of CGM data the query response could have the value “Registered” or “Partially Used”. If the proof is not valid to be used, the EO at Arrival is notified that the retrieved proof is not valid to be used. The Customs Officer is also notified accordingly, and the process ends. If the proof is not valid to be used and the "Present Proof" Request originates from the NMSW / National System Other than PoUS both the EO at arrival and the NMSW / National System Other than PoUS are notified that the retrieved proof is not valid to be used.</p>

	<p>If the proof is valid to be used, a risk analysis at national level may be requested by the Customs Officer against risk rules (including common risk criteria and standards where applicable) (automatic process). This depends on the member state that is responsible for the risk assessment and if an interface between the central PoUS system and the respective national risk system is foreseen. When a national risk analysis system is consulted, the risk analysis result is recorded in the Proof of Union Status system. Then, the Customs Officer decides if relevant controls will be performed or not. If the Customs Officer decides to perform the controls, the process continues with the control task. The control task is a manual task executed by the Customs Officer, in the context of which only documentary checks or both documentary and physical checks are performed. If supplementary documents are needed, the Customs Officer may request them from the PC. There are four possible results from this control:</p> <ul style="list-style-type: none"> ● In case the result is “Satisfactory”, the goods match the goods declared in the proof and the Customs Officer registers the decision on the use of the proof; ● In case the result is “Different goods”, the EO at Arrival is notified about the non-use of the proof; ● In case the result is “Missing goods”, the proof can be used for the goods that arrived and the Customs Officer registers the decision on the use of the proof; ● In case the result is “Excess goods”, the proof can be used for the goods that are covered by it and the Customs Officer registers the decision on the use of the proof. <p>When a national risk analysis system has been consulted and no risk has been identified, the Customs Officer has a time limit to perform the relevant controls. If the time limit expires the control result is deemed to be "Satisfactory". If the Customs Officer decides not to perform the controls or the control result is "Satisfactory" (either manual control or automatic control because of time limit expiration), the Customs Officer registers the decision on the use of the proof. In case "Excess goods" have been identified the time limit to perform controls are disabled in any case and the control duration of the control process depends on the manual actions involved in the process.</p> <p>The CR is updated with the usage information when the proof is used. Finally, the EO at Arrival is notified about the use of the proof and in case the Proof was created by a National PoUS system, a notification is sent to the respective National PoUS system. if the proof originates from the NMSW / National System Other than PoUS both the EO at arrival and the NMSW / National System Other than PoUS are notified that the proof has been used.</p>
Actor	
Input Data	
Output Data	
Previous Objects	<p>Non-Electronic Receipt of CGM Proof Presented Electronic Receipt of CGM Proof Presented</p>

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Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.19: Task Proof of Union Status in the Form of CGM Data - Present Proof

Retrieve and Use Proof of Union Status in the Form of CGM Data: Event Economic Operator at Arrival or Economic Operator and NMSW/National System other than the PoUS Notified of Validation Errors

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Economic Operator at Arrival or Economic Operator and NMSW/National System other than the PoUS Notified of Validation Errors
Event Type	End event
Description	Presentation of CGM Proof of Union Status is invalid.
Previous Objects	What is the Outcome?

Table 5.20: Event Economic Operator at Arrival or Economic Operator and NMSW/National System other than the PoUS Notified of Validation Errors

Retrieve and Use Proof of Union Status in the Form of CGM Data: Event Proof Not Valid for Use

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof Not Valid for Use
Event Type	End event
Description	Presentation of CGM Proof of Union Status is rejected.
Previous Objects	What is the Outcome?

Table 5.21: Event Proof Not Valid for Use

Retrieve and Use Proof of Union Status in the Form of CGM Data: Event Proof Not Used

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof Not Used
Event Type	End event
Description	The presented CGM Proof of Union Status is not used.
Previous Objects	What is the Outcome?

Table 5.22: Event Proof Not Used

Retrieve and Use Proof of Union Status in the Form of CGM Data: Event Proof Used

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof Used
Event Type	End event
Description	The presented CGM Proof of Union Status is used. The process ends.
Previous Objects	What is the Outcome?

Table 5.23: Event Proof Used

Task Proof of Union Status in the Form of T2L or T2LF Data - Expire Proof

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Proof of Union Status in the Form of T2L or T2LF Data - Expire Proof
Task Type	Call activity (collapsed)
Description	<p>This L4 process is an automatic administrative process to expire the Proofs for which the validity time has expired, and they have not yet been presented at a PCO. This process is scheduled to be initiated on a daily basis. The Proof of Union Status system identifies the Proofs that have expired and for each of them, updates their information in the CR in order to mark them as expired.</p> <p>Finally, the respective PC or AI is notified about the expiration of each Proof and in case the Proof was created by a National PoUS system, the National PoUS system is also notified.</p>
Actor	Competent Customs Office
Input Data	
Output Data	
Previous Objects	Time Limit for Validity of T2L/T2LF Proof
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.24: Task Proof of Union Status in the Form of T2L or T2LF Data - Expire Proof

Event CGM Proof is to be Used

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	CGM Proof is to be Used
Event Type	Conditional intermediate event

Description	A Proof of Union Status in the form of CGM data is presented in order to be used.
Previous Objects	Proof of Union Status in the Form of CGM Data

Table 5.25: Event CGM Proof is to be Used

Event T2L/T2LF Proof Information Provided

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	T2L/T2LF Proof Information Provided
Event Type	End event
Description	T2L/T2LF Proof of Union Status details are provided.
Previous Objects	Proof of Union Status in the Form of T2L or T2LF Data - Provide Information

Table 5.26: Event T2L/T2LF Proof Information Provided

Task Proof of Union Status in the Form of CGM Data

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Proof of Union Status in the Form of CGM Data
Task Type	Call activity (collapsed)
Description	<p>This L4 process depicts the steps for a Person Concerned to request that the proof of Union status of the goods in the form of CGM data is registered and accessible in a central location, in the case that the Proof of Union Status of the goods will need to be verified. The request may also originate from a NMSW / National System Other than PoUS. This is requested via the Competent Customs Office, in the form of Customs Goods Manifest which may be provided as data elements within the regular cargo manifest and transmitted via the existing national mechanisms for receiving such submissions.</p> <p>It concerns an endorsement request and the Customs Officer must endorse the proof.</p> <p>The process is based on the assumption that only a fully validated proof will be stored in the Central Repository.</p> <p>The main process flow consists of a request for endorsement from a Person Concerned or a NMSW / National System Other than PoUS. The message will be technically validated to ensure that it meets the minimum validity requirements, such as a valid message structure. The particulars of the requesting party will be checked (e.g. EORI number must be valid).</p> <p>Then the Customs Officer must endorse the decision. Depending on the national needs, a risk analysis at national level can be requested. The endorsement decision is a manual task; however depending on the national needs a timer that would trigger an automatic processing of the endorsement could be introduced. When a national risk analysis system has been consulted and no risk has been identified, the Customs Officer has a</p>

	<p>time limit to perform the relevant controls. If the time limit expires the control result is deemed to be "Satisfactory". If the Customs Officer decides not to perform the controls or the control result is "Satisfactory" (either manual control or automatic control because of time limit expiration), the Customs Officer registers the decision on the endorsement of the proof. The Customs Officer decides if relevant controls will be performed or not. If the Customs Officer decides to perform the controls, the process continues with the control task. The control task is a manual task executed by the Customs Officer, in the context of which only documentary checks or both documentary and physical checks are performed. If supplementary documents are needed, the Customs Officer may request them from the PC. There are four possible results from this control:</p> <ul style="list-style-type: none"> ● In case the result is “Satisfactory”, the request is endorsed; ● In case the result is “Different goods”, the PC is notified about the rejection of the request; ● In case the result is “Non-union goods”, the PC is notified about the rejection of the request; <p>If the Endorsement is rejected, a notification will be sent to the Person Concerned or to the Person Concerned and the NMSW / National System Other than PoUS if the request is initiated at the NMSW / National System Other than PoUS. In the case of an approved endorsement request or a risk analysis without any determined risk, a master reference number is allocated, and the validity period is set (the default period is 90 days unless otherwise requested). At this point the proof is considered registered. The final result will be communicated to the Person Concerned or to the Person Concerned and the NMSW / National System Other than PoUS if the request is initiated at the NMSW / National System Other than PoUS. Simultaneously the proof is recorded and stored into the Central Repository. The proof is now centrally available for use by all Customs Authorities. The PC may activate the option to get a printable SRD of the Proof through the relevant notification.</p> <p>The other L4 processes within this package that follows this process is CGM Proof Retrieval, CGM Proof Amendment, CGM Proof Provide Information, CGM Proof Presentation, CGM Proof Archiving. Proof of Union Status is an enabling process within European Customs. The data inputs for this process are:</p> <ul style="list-style-type: none"> • Enterprise Information (comes from process 'Enterprise information maintenance'); • EORI Record (comes from process 'Operators management').
Actor	Competent Customs Office
Input Data	
Output Data	
Previous Objects	Proof of Union Status for T2L/T2LF or CGM
Information Exchange	
Requirements	

Data Set	
Events	
State	

Table 5.27: Task Proof of Union Status in the Form of CGM Data

Event T2L/T2LF Proof Expired

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	T2L/T2LF Proof Expired
Event Type	Terminate end event
Description	T2L/T2LF Proof of Union status is expired. The process ends.
Previous Objects	Proof of Union Status in the Form of T2L or T2LF Data - Expire Proof

Table 5.28: Event T2L/T2LF Proof Expired

Event Proof of Union Status in the Form of T2L or T2LF Data Process Cycle Completed

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof of Union Status in the Form of T2L or T2LF Data Process Cycle Completed
Event Type	End event
Description	The T2L/T2LF Proof of Union Status process cycle is completed. The process ends.
Previous Objects	Retrieve and Use Proof of Union Status in the Form of T2L or T2LF Data

Table 5.29: Event Proof of Union Status in the Form of T2L or T2LF Data Process Cycle Completed

Event Time Limit for Validity of CGM Proof

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Time Limit for Validity of CGM Proof
Event Type	Timer intermediate event
Description	The validity time of a Proof of Union Status in the form of CGM data has expired.
Previous Objects	Retrieve and Use Proof of Union Status in the Form of CGM Data

Table 5.30: Event Time Limit for Validity of CGM Proof

Event CGM Proof Information is to be Provided

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
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Event Name	CGM Proof Information is to be Provided
Event Type	Conditional intermediate event
Description	A retrieval to view the details of a Proof of Union Status in the form of CGM is made.
Previous Objects	Proof of Union Status in the Form of CGM Data

Table 5.31: Event CGM Proof Information is to be Provided

Task Proof of Union Status in the Form of CGM Data - Provide Information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Proof of Union Status in the Form of CGM Data - Provide Information
Task Type	Call activity (collapsed)
Description	<p>This L4 process ("Proof of Union Status in the form of CGM Data - Provide Information") will start when a request for information has been made by an EO or a Customs Officer and the updated CGM Proof information must be made available. The request may concern only the status or the full data of the CGM Proof. The CGM Proof information request will be validated and in case of validation errors the process will end, and the EO will be informed of the validation errors.</p> <p>If the request concerns only the Status of the CGM Proof, no validation is performed regarding the access of the initiator to the CGM Proof and the Proof status will be disclosed to the Initiator.</p> <p>If the request concerns the full data of the CGM Proof, the system will validate if the initiator has access to the CGM Proof. In case the initiator has access, the full data of the CGM Proof will be disclosed to the Initiator. Otherwise, a respective notification will be sent.</p> <p>The final result of this process will be one of the following:</p> <ul style="list-style-type: none"> * The EO or Customs Officer receives the Status of the CGM Proof; * The EO or Customs Officer receives the latest full information of the CGM Proof if he/she has access to the CGM Proof; * The EO or Customs Officer receives a respective notification in case of non-access to the CGM Proof.
Actor	Competent Customs Office
Input Data	
Output Data	
Previous Objects	CGM Proof Information is to be Provided
Information Exchange	
Requirements	
Data Set	
Events	

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State	
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Table 5.32: Task Proof of Union Status in the Form of CGM Data - Provide Information

Task Proof of Union Status in the Form of CGM Data - Archive Proof

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Proof of Union Status in the Form of CGM Data - Archive Proof
Task Type	Call activity (collapsed)
Description	<p>This L4 process is an automatic administrative process to archive the Proofs for which the validity time has expired but have not yet been presented at a PCO. This process is scheduled to be initiated on a daily basis. The Proof of Union Status system identifies the Proofs that have expired and for each of them, updates their information in the CR in order to mark them as archived.</p> <p>Finally, the respective PC is notified about the archiving of each Proof and in case the Proof was created by a National PoUS system, the National PoUS system is also notified.</p>
Actor	Competent Customs Office
Input Data	
Output Data	
Previous Objects	Time Limit for Validity of CGM Proof
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.33: Task Proof of Union Status in the Form of CGM Data - Archive Proof

Task Retrieve and Amend Proof of Union Status in the Form of CGM Data

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Retrieve and Amend Proof of Union Status in the Form of CGM Data
Task Type	Subprocess
Description	
Actor	Competent Customs Office
Input Data	
Output Data	
Previous Objects	<p>Proof of Union Status in the Form of CGM Data - Amend Proof</p> <p>Economic Operator at Arrival Notified of Validation Errors</p> <p>Proof Amended</p>

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	<p>Proof Not Valid for Amendment One CGM proof may be Amended multiple times until used. Once presented a CGM proof may not be amended anymore. CGM Proof is to be Amended Electronic Request of CGM Amendment What is the Outcome? Proof Not Amended</p>
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.34: Task Retrieve and Amend Proof of Union Status in the Form of CGM Data

Retrieve and Amend Proof of Union Status in the Form of CGM Data: Event Electronic Request of CGM Amendment

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Electronic Request of CGM Amendment
Event Type	Start event
Description	An Economic Operator at Arrival shows the SRD or manually communicates the MRN to the Customs Officer.
Previous Objects	

Table 5.35: Event Electronic Request of CGM Amendment

Retrieve and Amend Proof of Union Status in the Form of CGM Data: Task Proof of Union Status in the Form of CGM Data - Amend Proof

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Proof of Union Status in the Form of CGM Data - Amend Proof
Task Type	Call activity (collapsed)
Description	<p>The "Amend Proof" process is triggered by the electronic ("IEP61 Amendment Request - CGM") of the proof presentation notification by the Customs Officer from the PC or the NMSW / National System Other than PoUS. The "IEP61 Amendment Request - CGM" is technically validated. When the message is valid, the Proof of Union Status system records the electronic proof amendment notification. In case of invalidation a relevant notification is sent to the PC and in case the Amendment request originates from the NMSW / National System Other than PoUS an invalidation notification is sent to both EO and NMSW / National System Other than PoUS.</p> <p>Then the system launches a proof query to the CR to retrieve the proof. The CR performs a search and generates a response to the proof query, which is</p>

sent back to the Proof of Union Status system. The query response (included in the “Response to Proof Query”) can have 5 possible values:

- 1) Registered - The MRN is assigned to a proof which exists and is valid. The proof details are included in the “Response to Proof Query”.
- 2) Proof Archived - the MRN is assigned to a proof that exists but validity date has expired. The proof details are included in the “Response to Proof Query”.
- 3) Used - the MRN is assigned to a proof that exists but has already been used. The proof details are included in the “Response to Proof Query”.
- 4) Partially used - the MRN is assigned to a proof that has been partially used.
- 5) Query does not Return a response - no proof is assigned to the MRN. No proof details are included in the “Response to Proof Query”.

The Proof of Union Status system identifies if the “Response to Proof Query” concerns a proof that is valid to be used. For a proof that has a "Partially used" status an amendment is not possible. If the proof is not valid to be amended the PC is notified that the retrieved proof is not valid to be amended. The Customs Officer is also notified accordingly, and the process ends. If the Amendment requests originates from the NMSW / National System Other than PoUS then both the PC and the NMSW / National System Other than PoUS get notified.

If the proof is valid to be amended, a risk analysis at national level may be requested by the Customs Officer against risk rules (including common risk criteria and standards where applicable) (automatic process). This depends on the member state that is responsible for the risk assessment and if an interface between the central PoUS system and the respective national risk system is foreseen. When a national risk analysis system is consulted, the risk analysis result is recorded in the Proof of Union Status system. Then, the Customs Officer decides if relevant controls will be performed or not. If the Customs Officer decides to perform the controls, the process continues with the control task. The control task is a manual task executed by the Customs Officer, in the context of which only documentary checks or both documentary and physical checks are performed. If supplementary documents are needed, the Customs Officer may request them from the PC. There are three possible results from this control:

- In case the result is “Satisfactory”, the request is endorsed;
- In case the result is “Different goods”, the PC is notified about the rejection of the request;
- In case the result is “Non-union goods”, the PC is notified about the rejection of the request;

When a national risk analysis system has been consulted and no risk has been identified, the Customs Officer has a time limit to perform the relevant controls. If the time limit expires the control result is deemed to be "Satisfactory". If the Customs Officer decides not to perform the controls or the control result is "Satisfactory" (either manual control or automatic control because of time limit expiration), the Customs Officer registers the decision on the amendment of the proof. The CR is updated with the usage information when the proof is amended.

Finally, the PC is notified about the use of the proof and in case the Proof was created by a National PoUS system, a notification is sent to the

	respective National PoUS system. In case the Amendment request comes from the NMSW / National System Other than PoUS then both the PC and the NMSW / National System Other than PoUS get notified.
Actor	
Input Data	
Output Data	
Previous Objects	Electronic Request of CGM Amendment
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.36: Task Proof of Union Status in the Form of CGM Data - Amend Proof

Retrieve and Amend Proof of Union Status in the Form of CGM Data: Event Economic Operator at Arrival Notified of Validation Errors

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Economic Operator at Arrival Notified of Validation Errors
Event Type	End event
Description	Amendment of CGM Proof of Union Status is invalid.
Appears also in the following processes	L4-PUS-01-00-UCC Proof of Union Status System Master Process
Previous Objects	What is the Outcome?

Table 5.37: Event Economic Operator at Arrival Notified of Validation Errors

Retrieve and Amend Proof of Union Status in the Form of CGM Data: Event Proof Not Valid for Amendment

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof Not Valid for Amendment
Event Type	End event
Description	Presentation of T2L/T2LF Proof of Union Status is rejected.
Previous Objects	What is the Outcome?

Table 5.38: Event Proof Not Valid for Amendment

Retrieve and Amend Proof of Union Status in the Form of CGM Data: Event Proof Not Amended

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof Not Amended
Event Type	End event
Description	The amended CGM Proof of Union Status is not used.
Previous Objects	What is the Outcome?

Table 5.39: Event Proof Not Amended

Retrieve and Amend Proof of Union Status in the Form of CGM Data: Event Proof Amended

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof Amended
Event Type	End event
Description	The amended CGM Proof of Union Status is used. The process ends.
Previous Objects	What is the Outcome?

Table 5.40: Event Proof Amended

Event CGM Proof is to be Amended

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	CGM Proof is to be Amended
Event Type	Conditional intermediate event
Description	A request to Amend a Proof of Union Status in the form of CGM is made.
Previous Objects	Proof of Union Status in the Form of CGM Data

Table 5.41: Event CGM Proof is to be Amended

Event CGM Proof Information Provided

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	CGM Proof Information Provided
Event Type	End event
Description	CGM Proof of Union Status details are provided.
Previous Objects	Proof of Union Status in the Form of CGM Data - Provide Information

Table 5.42: Event CGM Proof Information Provided

Event CGM Proof Archived

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
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Event Name	CGM Proof Archived
Event Type	Terminate end event
Description	CGM Proof of Union status is archived. The process ends.
Previous Objects	Proof of Union Status in the Form of CGM Data - Archive Proof

Table 5.43: Event CGM Proof Archived

Event Proof of Union Status in the Form of CGM Data Process Cycle Completed

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof of Union Status in the Form of CGM Data Process Cycle Completed
Event Type	End event
Description	The CGM Proof of Union Status process cycle is completed. The process ends.
Previous Objects	Retrieve and Use Proof of Union Status in the Form of CGM Data

Table 5.44: Event Proof of Union Status in the Form of CGM Data Process Cycle Completed

Event Proof of Union Status in the Form of CGM Data Amendment Cycle Completed

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof of Union Status in the Form of CGM Data Amendment Cycle Completed
Event Type	End event
Description	The CGM Proof of Union Status is Amended. The process ends.
Previous Objects	Retrieve and Amend Proof of Union Status in the Form of CGM Data

Table 5.45: Event Proof of Union Status in the Form of CGM Data Amendment Cycle Completed

5.2. Process L4-PUS-01-01-01-Risk Consultation for Endorsement/Registration Request in the Form of T2L or T2LF Data

5.2.1. Objective of Process

This L4 process triggers the risk analysis process which is carried out by the national risk analysis system. The endorsement/registration request data is sent to the risk analysis system and processed against risk rules (including common risk criteria and standards where applicable). The risk analysis system sends back the risk analysis result. This process has to be completed within a specific time limit.

The final result of this process is the following:

- the risk analysis response is received;
- the Time Limit to Receive Risk Analysis Result has expired and this process is stopped.

5.2.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-PUS-01-01-01
Full Name	Risk Consultation for Endorsement/Registration Request in the Form of T2L or T2LF Data
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-PUS-01-01
Existing Specifications	

Table 5.46: Process Details

5.2.3. Tasks and Events

Event National Risk Analysis system is to be consulted

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	National Risk Analysis system is to be consulted
Event Type	Conditional start event
Description	National Risk Analysis system is to be consulted
Appears also in the following processes	L4-PUS-02-01-01-Risk Consultation for Endorsement Request in the Form of CGM Data L4-PUS-02-02-01-Risk Consultation for Use of Proof in the Form of CGM Data
Previous Objects	

Table 5.47: Event National Risk Analysis system is to be consulted

Task Notify Competent Member State that Risk Analysis is to be Performed for PoUS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Competent Member State that Risk Analysis is to be Performed for PoUS
Task Type	Send task
Description	The competent Member State is informed that a risk analysis is requested and receives all data needed to perform risk analysis.
Actor	Proof of Union Status System
Input Data	Goods Shipment for T2L-T2LF for Risk Analysis Member State Proof Information for T2L-T2LF for Risk Analysis

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	E_PUT_REQ Risk Analysis Request Date Proof Operation Information
Output Data	
Previous Objects	National Risk Analysis system is to be consulted
Information Exchange	Risk Analysis Request for Endorsement Request T2L/T2LF
Requirements	PUS_FUR_0020
Data Set	
Events	
State	

Table 5.48: Task Notify Competent Member State that Risk Analysis is to be Performed for PoUS

Event Risk Analysis Response from the Competent MS Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Risk Analysis Response from the Competent MS Received
Event Type	Message intermediate event (catch)
Description	This event indicates that the Proof of Union Status system has received the risk analysis response from the competent Member State.
Appears also in the following processes	L4-PUS-02-01-01-Risk Consultation for Endorsement Request in the Form of CGM Data L4-PUS-02-02-01-Risk Consultation for Use of Proof in the Form of CGM Data
Previous Objects	Notify Competent Member State that Risk Analysis is to be Performed for PoUS Member State Competent for Risk Analysis

Table 5.49: Event Risk Analysis Response from the Competent MS Received

Task Record Risk Analysis Response from Competent MS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Risk Analysis Response from Competent MS
Task Type	Service task
Description	When the risk analysis response is received it is automatically recorded in the Proof of Union Status system. The state of the endorsement or registration request (depending on the request type) changes to "E-Waiting Control Decision".

Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-01-01-Risk Consultation for Endorsement Request in the Form of CGM Data L4-PUS-02-02-01-Risk Consultation for Use of Proof in the Form of CGM Data
Input Data	Request Type
Output Data	State of Endorsement/Registration Request Endorsement Request Member State Risk Analysis Result Date Registration Request Risk Analysis Result Information
Previous Objects	Risk Analysis Response from the Competent MS Received
Information Exchange	Risk Analysis Response
Requirements	PUS_FUR_0006 PUS_FUR_0020
Data Set	Endorsement Request Registration Request
Events	National RA Result is Recorded
State	E-Waiting Control Decision

Table 5.50: Task Record Risk Analysis Response from Competent MS

Event Risk Analysis Response Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Risk Analysis Response Received
Event Type	End event
Description	This process ends when the risk analysis response was successfully received and recorded in the Proof of Union Status system.
Appears also in the following processes	L4-PUS-02-01-01-Risk Consultation for Endorsement Request in the Form of CGM Data L4-PUS-02-02-01-Risk Consultation for Use of Proof in the Form of CGM Data
Previous Objects	Record Risk Analysis Response from Competent MS

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Table 5.51: Event Risk Analysis Response Received

5.3. Process L4-PUS-01-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Perform Controls by CCO

5.3.1. Objective of Process

When no National Risk Analysis system is to be consulted or if a National Risk Analysis system is consulted, when the Risk Analysis results is recorded, this process is initiated. In the case that a National Risk Analysis system is consulted and no risk has been identified, the decision to perform relevant controls has to be taken within a specific time limit. If this time limit expires, the control results will deem to be satisfactory.

First of all, the Customs Officer has to decide if the relevant controls to verify that the goods are Union goods corresponding to the goods declared in the request, will be performed or not. A decision whether only documentary controls or both documentary and physical controls should take place, in case there is a decision to perform relevant controls. The documentary controls are always performed, the Customs Officer can also decide about the execution of the physical controls and may provide a "Physical Control Decision Explanation". The Customs Officer may update his decision on the execution of Physical Controls when performing documentary controls.

If a timer has been set and:

- no decision by the Customs Officer is taken, the process ends at the time limit and the control results will be considered satisfactory;
- the Customs Officer decides not to perform controls, the process ends and the control results will be considered satisfactory;
- the Customs Officer decides to perform the controls, the timer is cancelled so that the controls are manually completed and the documentary checks will first be done.

If no timer has been set and:

- the Customs Officer decides not to perform controls, the process ends and the control results will be considered satisfactory;
- the Customs Officer decides to perform the controls, the documentary checks will first be done.

Then, the Customs Officer will decide if supplementary documents must be requested from the PC/AI. If that is the case, the Customs Officer will wait for the response from the PC/AI and when the documents are provided they will be controlled. Alternatively, the Customs Officer may decide to provide the documents them self while waiting for the supply of the supplementary documents from the PC/AI. In this case, a notification is sent to the PC/AI that the documents have been provided by the Customs Officer and the process is forwarded to the registration of the control results. The Customs Officer will register the control results based on the documentary checks and decide if physical controls need to be performed or not. In the case physical controls are performed, the Customs Officer will register the control results based on both documentary and physical checks.

The final situation of this process will be one of the following:

- No control has been performed.
- The control results have been recorded.
- In case a timer function is triggered, the time limit to decide to perform the relevant controls has expired and the control results are considered satisfactory.

5.3.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-PUS-01-01-02

Full Name	Proof of Union Status in the Form of T2L or T2LF Data - Perform Controls by CCO
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-PUS-01-01, L3-PUS-01-02
Existing Specifications	

Table 5.52: Process Details

5.3.3. Tasks and Events

Event Risk Analysis Recorded or No National Risk Analysis System was Consulted

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Risk Analysis Recorded or No National Risk Analysis System was Consulted
Event Type	Conditional start event
Description	This process starts when the Risk Analysis result has been recorded or no National Risk Analysis System was consulted.
Appears also in the following processes	L4-PUS-02-01-02-Proof of Union Status in the Form of CGM Data - Perform Controls by CCO
Previous Objects	

Table 5.53: Event Risk Analysis Recorded or No National Risk Analysis System was Consulted

Task Register Decision to Perform Relevant Control and Level of Control at the CCO.

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Decision to Perform Relevant Control and Level of Control at the CCO.
Task Type	User task
Description	<p>A Customs Officer must be able to view the details of the endorsement/registration request and the result of the risk analysis (in case a risk analysis system was consulted) via the user interface of the Proof of Union Status system. The Customs Officer reviews the content and documents the details for:</p> <ul style="list-style-type: none"> ● A decision regarding the execution of relevant controls. If Risk Analysis system is consulted and risk is identified (thus a control is recommended) then the "Relevant Control Decision Explanation" must be provided; ● A decision whether only documentary controls or both documentary and physical controls should take place, in case there is a decision to perform relevant controls. The documentary controls are always performed,

	<p>the Customs Officer can decide about the execution of the physical controls and may provide a "Physical Control Decision Explanation".</p> <p>Final situation:</p> <p>The Customs Officer registers one of the following results:</p> <ul style="list-style-type: none"> -The result is no: the relevant control is not to be performed; -The result is yes with documentary controls: the relevant control is to be performed with documentary controls only; -The result is yes with documentary controls and physical controls to be performed.
Actor	Customs Officer
Input Data	E_PUT_REQ LRN Risk Analysis Result Details
Output Data	Perform Physical Control Decision Execution of Relevant Control Decision
Previous Objects	Risk Analysis Recorded or No National Risk Analysis System was Consulted
Information Exchange	
Requirements	PUS_FUR_0004
Data Set	
Events	
State	

Table 5.54: Task Register Decision to Perform Relevant Control and Level of Control at the CCO.

Task Register Documentary Controls or Decision to Request Supplementary Documents and Optionally Update Decision on Physical Controls

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Documentary Controls or Decision to Request Supplementary Documents and Optionally Update Decision on Physical Controls
Task Type	User task
Description	<p>Based on the decision to perform relevant controls or not, a Customs Officer will first, manually execute documentary controls on the received endorsement/registration request to verify that the goods are Union goods corresponding to the goods declared in the request.</p> <p>In case supplementary documents are required, the Customs Officer registers the requested supplementary documents on Goods Shipment and/or Goods Item level, so that the PC/AI provides them.</p> <p>In case no supplementary documents are needed the Customs Officer registers the result of the control based on the documentary checks and may</p>

	<p>provide a "Control Result Explanation".</p> <p>The result of the control may be one of the following:</p> <ul style="list-style-type: none"> ● “A1 – Satisfactory”; ● “P2 – Different goods”; ● “P3 – Non-union goods”. <p>The Customs Officer may update his decision on the execution of Physical Controls. If the Customs Officer checked the option about performing physical controls in the initial task of the Process, in this step the relevant decision can be revisited and a decision not to perform the physical controls may be entered. On the opposite case, the decision to perform physical controls may be entered in this step if it hadn’t been decided in the first step.</p> <p>Additionally, the CO may define as non-applicable any of the already provided document at Goods Shipment or Goods Item level.</p> <p>Final situation:</p> <p>The Customs Officer registers one of the following:</p> <ul style="list-style-type: none"> - Supplementary documents are needed, the requested supplementary document are registered on Goods Shipment and/or Goods Item level and optionally the decision to perform Physical Controls is revisited; or - No supplementary documents are needed; the result of the control is registered and optionally the decision to perform Physical Controls is revisited.
Actor	Customs Officer
Input Data	<p>Risk Analysis Result Details</p> <p>Perform Physical Control Decision</p> <p>LRN</p> <p>E_PUT_REQ</p> <p>Execution of Relevant Control Decision</p>
Output Data	<p>Requested Supplementary Documents – T2L-T2LF</p> <p>Perform Physical Control Decision</p> <p>Goods Shipment for T2L-T2LF</p> <p>Documentary Control at Departure</p>
Previous Objects	<p>Has a time limit been set?</p> <p>Cancel Time Limit to Decide to Perform Controls at the CCO</p> <p>Is Endorsement of Proof Requested?</p>
Information Exchange	
Requirements	PUS_FUR_0004
Data Set	
Events	
State	

Table 5.55: Task Register Documentary Controls or Decision to Request Supplementary Documents and Optionally Update Decision on Physical Controls

Task Cancel Time Limit to Decide to Perform Controls at the CCO

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Cancel Time Limit to Decide to Perform Controls at the CCO
Task Type	Service task
Description	When the system has identified that a time limit to decide to perform controls at the CCO has been set and the Customs Officer has decided to perform controls, the timer is cancelled so that the controls are manually completed.
Actor	Proof of Union Status System
Input Data	LRN Execution of Relevant Control Decision Relevant Control Decision Completion Expiry Date
Output Data	Relevant Control Decision Completion Expiry Date
Previous Objects	Has a time limit been set?
Information Exchange	
Requirements	PUS_FUR_0064
Data Set	
Events	
State	

Table 5.56: Task Cancel Time Limit to Decide to Perform Controls at the CCO

Task Identify if Time Limit to Decide to Perform Controls at the CCO has been set

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Time Limit to Decide to Perform Controls at the CCO has been set
Task Type	Service task
Description	When the Customs Officer registered the decision to perform relevant controls, the system identifies if a timer to decide to perform controls at the CCO has been set in case of an endorsement request.
Actor	Proof of Union Status System
Input Data	LRN Relevant Control Decision Completion Expiry Date

Output Data	Result Check if time limit to decide to perform controls has been set
Previous Objects	Is Endorsement of Proof Requested?
Information Exchange	
Requirements	PUS_FUR_0063
Data Set	
Events	
State	

Table 5.57: Task Identify if Time Limit to Decide to Perform Controls at the CCO has been set

Task Record Documentary Controls or Decision to Request Supplementary Documents and Optionally Update Decision on Physical Controls

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Documentary Controls or Decision to Request Supplementary Documents and Optionally Update Decision on Physical Controls
Task Type	User task
Description	<p>When the Customs Officer registered the decision to request supplementary documents from the PC/AI or not, the data elements following this registration are automatically recorded in the Proof of Union Status system - linked to the reference number. When no supplementary documents are requested and the documentary control result is registered, the system keeps track of the "Control Date". Also, if there is an insertion of a decision or an update on the decision on Physical Controls, this is recorded in the system. Any change in the applicability of the documents is also recorded in the system.</p> <p>The system also identifies if the request is an endorsement or registration request. If the request is a registration request, no supplementary documents are requested, the documentary control result is "A1-Satisfactory" and no physical control is to be performed, the system also keeps track of the proof acceptance date (Registration date).</p> <p>The state of the endorsement request changes to:</p> <ul style="list-style-type: none"> ● "E-Waiting for Endorsement Decision", if documentary control result is "A1-Satisfactory" and no physical control is to be performed. <p>The state of the endorsement or registration request (depending on the request type) changes to:</p> <ul style="list-style-type: none"> ● "E-Waiting for Supplementary Documents", if supplementary documents are requested; ● "E-Rejected", if documentary control result is either "P2-Different goods" or "P3-Non-union goods and no physical control is to be performed; ● "E-Waiting for Physical Control Result", if documentary control result is recorded and physical control is to be performed.

Actor	Proof of Union Status System
Input Data	Request Type Documentary Control at Departure Goods Shipment for T2L-T2LF LRN Requested Supplementary Documents – T2L-T2LF Perform Physical Control Decision
Output Data	Perform Physical Control Decision Registration date Endorsement Request State of Endorsement/Registration Request Requested Supplementary Documents – T2L-T2LF Documentary Control at Departure Goods Shipment for T2L-T2LF Registration Request
Previous Objects	Register Documentary Controls or Decision to Request Supplementary Documents and Optionally Update Decision on Physical Controls
Information Exchange	
Requirements	PUS_FUR_0051 PUS_FUR_0006 PUS_FUR_0050
Data Set	Endorsement Request Registration Request
Events	Documentary Control Result is "P2-Different goods" OR "P3-Non-union goods AND No Physical Control is to be Performed Documentary Control Result is Recorded AND Physical Control is to be Performed Supplementary Documents are Requested Documentary Control Result is "A1-Satisfactory" AND no Physical Control is to be Performed
State	E-Waiting for Endorsement Decision E-Waiting for Physical Control Result E-Waiting for Supplementary Documents E-Rejected

Table 5.58: Task Record Documentary Controls or Decision to Request Supplementary Documents and Optionally Update Decision on Physical Controls

Task Identify if Endorsement Is Requested

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Identify if Endorsement Is Requested
Task Type	Service task
Description	<p>The system will identify if endorsement of the request is needed or not. When the request comes from an AI, it concerns a registration request and no endorsement decision is needed. When the request comes from a PC, it concerns an endorsement request and the Customs Officer needs to decide if the request should be endorsed or not.</p> <p>Final situation: Yes - The request requires endorsement from the Customs Officer. No - The request does not require endorsement from the Customs Officer.</p>
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-01-01-Proof of Union Status in the Form of T2L or T2LF Data
Input Data	Request Type LRN
Output Data	Result Check if Endorsement is Requested
Previous Objects	Is Control to be Performed?
Information Exchange	
Requirements	PUS_FUR_0007
Data Set	
Events	
State	

Table 5.59: Task Identify if Endorsement Is Requested

Task Record Decision to Perform Relevant Control and Level of Control at the CCO

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Decision to Perform Relevant Control and Level of Control at the CCO
Task Type	Service task
Description	<p>When the Customs Officer registered the decision for the execution of relevant control and level of control, the data elements following this registration are automatically recorded in the Proof of Union Status system - linked to the reference number.</p> <p>The system also identifies if the request is an endorsement or registration request. If the request is a registration request and the decision is not to perform the control, the system also keeps track of the proof acceptance</p>

	<p>date (Registration date).</p> <p>When the control is to be performed, the state of the endorsement or registration request (depending on the request type) changes to "E-Waiting for Documentary Control Result".</p> <p>When the control is not to be performed, the state of the endorsement request changes to "E-Waiting for Endorsement Decision".</p>
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-01-02-Proof of Union Status in the Form of CGM Data - Perform Controls by CCO
Input Data	<p>Execution of Relevant Control Decision</p> <p>LRN</p> <p>Request Type</p> <p>Perform Physical Control Decision</p>
Output Data	<p>Perform Physical Control Decision</p> <p>State of Endorsement/Registration Request</p> <p>Registration date</p> <p>Endorsement Request</p> <p>Execution of Relevant Control Decision</p> <p>Registration Request</p>
Previous Objects	Register Decision to Perform Relevant Control and Level of Control at the CCO.
Information Exchange	
Requirements	<p>PUS_FUR_0031</p> <p>PUS_FUR_0051</p> <p>PUS_FUR_0006</p>
Data Set	<p>Registration Request</p> <p>Endorsement Request</p>
Events	<p>Control is to be Performed</p> <p>Control is not to be Performed</p>
State	<p>E-Waiting for Endorsement Decision</p> <p>E-Waiting for Documentary Control Result</p>

Table 5.60: Task Record Decision to Perform Relevant Control and Level of Control at the CCO

Task Register Supplementary Documents

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Supplementary Documents

Task Type	User task
Description	<p>When the decision to request supplementary documents has been recorded a Customs Officer may decide to provide the supplementary documents instead of the PC/AI. If the CO provides the supplementary documents the process is forwarded to the next step and the pending task of the PC/AI to provide the supplementary documents ceases to exist. If the supplementary documents are provided by the PC/AI a conditional event cancels the “Register Supplementary Documents” task and the process is forwarded to the next step.</p> <p>Final situation:</p> <ul style="list-style-type: none"> - Supplementary Documents are provided by the CO; or - Supplementary Documents are provided by the PC/AI and the task is cancelled.
Actor	Customs Officer
Input Data	<p>E_PUT_REQ LRN Perform Physical Control Decision Documentary Control at Departure Requested Supplementary Documents – T2L-T2LF</p>
Output Data	Supplementary Documents for Goods Shipment for T2L-T2LF
Previous Objects	Supplementary Documents Requested?
Information Exchange	
Requirements	PUS_FUR_0004
Data Set	
Events	
State	

Table 5.61: Task Register Supplementary Documents

Event No Control Performed

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	No Control Performed
Event Type	End event
Description	The process ends here when no relevant controls are to be performed.
Appears also in the following processes	L4-PUS-02-01-02-Proof of Union Status in the Form of CGM Data - Perform Controls by CCO
Previous Objects	Is Control to be Performed?

Table 5.62: Event No Control Performed

Event Supplementary Documents Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Supplementary Documents Received
Event Type	Conditional intermediate event
Description	
Previous Objects	Register Supplementary Documents

Table 5.63: Event Supplementary Documents Received

Task Notify Person Concerned Of Request For Supplementary Documents

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Person Concerned Of Request For Supplementary Documents
Task Type	Send task
Description	When supplementary documents are requested, an IE will automatically be sent to the PC/AI to request the provision of supplementary documents. In this case, the PC/AI has been informed that supplementary documents are needed by the Customs Officer in order to perform the relevant controls.
Actor	Proof of Union Status System
Input Data	LRN E_PUS_REC
Output Data	
Previous Objects	Supplementary Documents Requested? More Supplementary Documents Requested?
Information Exchange	Request for Supplementary Documents from CCO
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.64: Task Notify Person Concerned Of Request For Supplementary Documents

Event Supplementary Documents Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
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Event Name	Supplementary Documents Received
Event Type	Message intermediate event (catch)
Description	Supplementary documentation is received from the PC.
Appears also in the following processes	L4-PUS-02-01-02-Proof of Union Status in the Form of CGM Data - Perform Controls by CCO
Previous Objects	Person Concerned / Authorised Issuer Notify Person Concerned Of Request For Supplementary Documents

Table 5.65: Event Supplementary Documents Received

Event Supplementary Documents Received from CO

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Supplementary Documents Received from CO
Event Type	Conditional intermediate event
Description	
Previous Objects	Notify Person Concerned Of Request For Supplementary Documents

Table 5.66: Event Supplementary Documents Received from CO

Task Record Supplementary Documents

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Supplementary Documents
Task Type	Service task
Description	<p>When the supplementary documentation is received and registered, the data elements following this registration are automatically recorded in the Proof of Union Status system - linked to the reference number.</p> <p>The supplementary documents are added to the documents that were included in the endorsement/registration request either at the Goods Shipment or Goods Item level (or both). For each supplementary document, the system keeps track of the submission date (Date). The system also identifies if the request is an endorsement or registration request. The state of the endorsement or registration request (depending on the request type) changes to “E-Waiting for Documentary Control Result”.</p>
Actor	Proof of Union Status System
Input Data	Request Type Goods Shipment for T2L-T2LF
Output Data	Endorsement Request E_PUS_RES Goods Shipment for T2L-T2LF

	Registration Request State of Endorsement/Registration Request
Previous Objects	Supplementary Documents Received Supplementary Documents Received Supplementary Documents Received from CO Register Supplementary Documents
Information Exchange	Response to Request for Supplementary Documents from CCO
Requirements	PUS_FUR_0005 PUS_FUR_0006
Data Set	Endorsement Request Registration Request
Events	Supplementary Documents are Recorded
State	E-Waiting for Documentary Control Result

Table 5.67: Task Record Supplementary Documents

Task Identify if Supplementary Documents have been received from CO

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Supplementary Documents have been received from CO
Task Type	Service task
Description	The Proof of Union Status system will identify if the supplementary documents have been received by the CO or not. Final situation: Yes - The supplementary documents have been received by the CO. No - The supplementary documents have been received by the EO/AI.
Actor	Proof of Union Status System
Input Data	LRN Supplementary Documents Received from CO Indicator
Output Data	Result Check if Supplementary Documents have been received from CO
Previous Objects	Record Supplementary Documents
Information Exchange	
Requirements	PUS_FUR_0074

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Data Set	
Events	
State	

Table 5.68: Task Identify if Supplementary Documents have been received from CO

Task Notify Person Concerned/Authorised Issuer of already Received Supplementary Documents

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Person Concerned/Authorised Issuer of already Received Supplementary Documents
Task Type	Send task
Description	When supplementary documents are received from CO, an IE will automatically be sent to the Person Concerned/Authorised Issuer that the supplementary documents request has been fulfilled from the CO and that the pending request for supplementary documents from the PC/AI will cease to exist. The supplementary documents registered by the CO are also indicated.
Actor	Proof of Union Status System
Input Data	E_SUP_REC LRN
Output Data	
Previous Objects	Have Supplementary Documents been provided by CCO?
Information Exchange	Supplementary Documents already Received - T2L/T2LF
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.69: Task Notify Person Concerned/Authorised Issuer of already Received Supplementary Documents

Task Register Documentary Control Results and Optionally Update Decision on Physical Controls

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Documentary Control Results and Optionally Update Decision on Physical Controls
Task Type	User task
Description	When the supplementary documents have been received, a Customs Officer will manually execute documentary controls on the received

	<p>endorsement/registration request to verify that the goods are Union goods corresponding to the goods declared in the request, taking into consideration the received supplementary documents that have been appended in the list of documents that were included in the endorsement/registration request either at the Goods Shipment or Goods Item level (or both).</p> <p>In case more supplementary documents are required, the Customs Officer registers the requested supplementary documents on Goods Shipment and/or Goods Item level, so that the PC/AI provides them.</p> <p>In case no more supplementary documents are needed the Customs Officer registers the result of the control based on the documentary checks and may provide a "Control Result Explanation".</p> <p>The result of the control may be one of the following:</p> <ul style="list-style-type: none"> ● “A1 – Satisfactory”; ● “P2 – Different goods”; ● “P3 – Non-union goods”. <p>The Customs Officer may update his decision on the execution of Physical Controls.</p> <p>Additionally, the CO may define as non-applicable any of the already provided document at Goods Shipment or Goods Item level.</p> <p>Final situation:</p> <p>The Customs Officer registers one of the following:</p> <ul style="list-style-type: none"> - Supplementary documents are needed, the requested supplementary document are registered on Goods Shipment and/or Goods Item level and optionally the decision to perform Physical Controls is revisited; or - No supplementary documents are needed; the result of the control is registered and optionally the decision to perform Physical Controls is revisited.
Actor	Customs Officer
Input Data	E_PUT_REQ Perform Physical Control Decision Risk Analysis Result Details Execution of Relevant Control Decision LRN
Output Data	Goods Shipment for T2L-T2LF Requested Supplementary Documents – T2L-T2LF Perform Physical Control Decision Documentary Control at Departure
Previous Objects	Have Supplementary Documents been provided by CCO? Notify Person Concerned/Authorised Issuer of already Received Supplementary Documents
Information Exchange	
Requirements	PUS_FUR_0004

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Data Set	
Events	
State	

Table 5.70: Task Register Documentary Control Results and Optionally Update Decision on Physical Controls

Task Record Documentary Control Results and Optionally Update Decision on Physical Controls

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Documentary Control Results and Optionally Update Decision on Physical Controls
Task Type	Service task
Description	<p>When the Customs Officer registered the decision to request more supplementary documents from the PC/AI or not, the data elements following this registration are automatically recorded in the Proof of Union Status system - linked to the reference number. When no supplementary documents are requested and the documentary control result is registered, the system keeps track of the "Control Date". Also, if there is an update on the decision on Physical Controls, this is recorded in the system. Any change in the applicability of the documents is also recorded in the system. The system also identifies if the request is an endorsement or registration request. If the request is a registration request, no more supplementary documents are requested, the documentary control result is "A1-Satisfactory" and no physical control is to be performed, the system also keeps track of the proof acceptance date (Registration date).</p> <p>The state of the endorsement request changes to:</p> <ul style="list-style-type: none"> ● "E-Waiting for Endorsement Decision", if documentary control result is "A1-Satisfactory" and no physical control is to be performed. <p>The state of the endorsement or registration request (depending on the request type) changes to:</p> <ul style="list-style-type: none"> ● "E-Waiting for Supplementary Documents", if supplementary documents are requested; ● "E-Rejected", if documentary control result is either "P2-Different goods" or "P3-Non-union goods" and no physical control is to be performed; ● "E-Waiting for Physical Control Result", if documentary control result is recorded and physical control is to be performed.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-01-02-Proof of Union Status in the Form of CGM Data - Perform Controls by CCO
Input Data	Request Type Documentary Control at Departure Requested Supplementary Documents – T2L-T2LF Proof Operation Information Perform Physical Control Decision

	Goods Shipment for T2L-T2LF
Output Data	Documentary Control at Departure Requested Supplementary Documents – T2L-T2LF Goods Shipment for T2L-T2LF Registration Request Perform Physical Control Decision State of Endorsement/Registration Request Registration date Endorsement Request
Previous Objects	Register Documentary Control Results and Optionally Update Decision on Physical Controls
Information Exchange	
Requirements	PUS_FUR_0006 PUS_FUR_0050 PUS_FUR_0051
Data Set	Endorsement Request Registration Request
Events	Documentary Control Result is "A1-Satisfactory" AND no Physical Control is to be Performed Documentary Control Result is "P2-Different goods" OR "P3-Non-union goods" AND No Physical Control is to be Performed Supplementary Documents are Requested Documentary Control Result is Recorded AND Physical Control is to be Performed
State	E-Rejected E-Waiting for Supplementary Documents E-Waiting for Endorsement Decision E-Waiting for Physical Control Result

Table 5.71: Task Record Documentary Control Results and Optionally Update Decision on Physical Controls

Task Identify if Physical Control is to be Performed

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Physical Control is to be Performed
Task Type	Service task
Description	When the documentary controls have been performed, the system identifies if physical controls have also been requested. If yes, physical controls will have to be registered.
Actor	Proof of Union Status System

Input Data	LRN Perform Physical Control Decision
Output Data	Result Check if Physical Control is to Be Performed
Previous Objects	More Supplementary Documents Requested? Supplementary Documents Requested?
Information Exchange	
Requirements	PUS_FUR_0051 PUS_FUR_0006
Data Set	
Events	
State	

Table 5.72: Task Identify if Physical Control is to be Performed

Task Register Physical Control Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Physical Control Results
Task Type	User task
Description	<p>The physical verification of the goods is a manual process performed by a Customs Officer in order to verify that the goods are Union goods corresponding to the goods declared in the request. The result of the control may be one of the following:</p> <ul style="list-style-type: none"> ● “A1 – Satisfactory”; ● “P2 – Different goods”; ● “P3 – Non-union goods”. <p>The Customs Officer registers the result of the control and may provide a "Control Result Explanation".</p> <p>Final situation: The control result is registered.</p>
Actor	Customs Officer
Input Data	Perform Physical Control Decision Execution of Relevant Control Decision E_PUT_REQ LRN Risk Analysis Result Details Documentary Control Result
Output Data	Physical Control Result

Previous Objects	Is Physical Control to be Performed?
Information Exchange	
Requirements	PUS_FUR_0004
Data Set	
Events	
State	

Table 5.73: Task Register Physical Control Results

Event Control Results Recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Control Results Recorded
Event Type	End event
Description	The process ends here when the relevant controls based on the documentary and physical checks are recorded.
Appears also in the following processes	L4-PUS-01-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Perform Controls by CCO L4-PUS-02-01-02-Proof of Union Status in the Form of CGM Data - Perform Controls by CCO
Previous Objects	Is Physical Control to be Performed?

Table 5.74: Event Control Results Recorded

Task Record Physical Control Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Physical Control Results
Task Type	Service task
Description	<p>When the Customs Officer registered the result of the physical control, the data elements following this registration are automatically recorded in the Proof of Union Status system - linked to the reference number. The system keeps track of the "Control Date".</p> <p>The system also identifies if the request is an endorsement or registration request. If the request is a registration request and the physical control result is "A1-Satisfactory", the system also keeps track of the proof acceptance date (Registration date).</p> <p>The state of the endorsement request changes to:</p> <ul style="list-style-type: none"> ● "E-Waiting for Endorsement Decision", if physical control result is "A1-Satisfactory". <p>The state of the endorsement or registration request (depending on the</p>

	request type) changes to: ● “E-Rejected”, if physical control result is either "P2-Different goods" or "P3-Non-union goods".
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-01-02-Proof of Union Status in the Form of CGM Data - Perform Controls by CCO
Input Data	Proof Operation Information Physical Control Result Request Type
Output Data	Registration date Endorsement Request State of Endorsement/Registration Request Physical Control Result Registration Request
Previous Objects	Register Physical Control Results
Information Exchange	
Requirements	PUS_FUR_0032 PUS_FUR_0006
Data Set	Endorsement Request Registration Request
Events	Physical Control Result is "P2-Different goods" OR "P3-Non-union goods" Physical Control Result is "A1-Satisfactory"
State	E-Waiting for Endorsement Decision E-Rejected

Table 5.75: Task Record Physical Control Results

Event Control Results Recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Control Results Recorded
Event Type	End event
Description	The process ends here when the relevant controls based on the documentary and physical checks are recorded.
Appears also in the following processes	L4-PUS-01-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Perform Controls by CCO L4-PUS-02-01-02-Proof of Union Status in the Form of CGM Data -

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	Perform Controls by CCO
Previous Objects	Record Physical Control Results

Table 5.76: Event Control Results Recorded

5.4. Process L4-PUS-01-01-02-Request Correction of Endorsement/Registration Request in the Form of T2L or T2LF Data (Obsolete)

5.4.1. Objective of Process

When the result of the relevant controls is “Different goods”, this process is initiated. The Proof of Union Status system will send to the PC a request for the provision of a corrected endorsement request. The PC will submit the updated list of goods.

The final situation of this process will be that the request for the provision of corrected endorsement request has been handled.

5.4.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-PUS-01-01-02
Full Name	Request Correction of Endorsement/Registration Request in the Form of T2L or T2LF Data
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-PUS-01-01, L3-PUS-01-02
Existing Specifications	

Table 5.77: Process Details

5.4.3. Tasks and Events

Event Provision of Corrected Endorsement Request is Needed

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Provision of Corrected Endorsement Request is Needed
Event Type	Message start event
Description	
Previous Objects	Notify Person Concerned that Correction of Endorsement Request is Needed - T2L - T2LF

Table 5.78: Event Provision of Corrected Endorsement Request is Needed

Event Correction of Endorsement Request is Needed

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Correction of Endorsement Request is Needed
Event Type	Start event
Description	When the result of the relevant controls is “Different goods”, this process is initiated. The Proof of Union Status system will send to the PC a request for the provision of a corrected endorsement request.
Previous Objects	

Table 5.79: Event Correction of Endorsement Request is Needed

Task Notify Person Concerned that Correction of Endorsement Request is Needed - T2L - T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Person Concerned that Correction of Endorsement Request is Needed - T2L - T2LF
Task Type	Send task
Description	When the result of the relevant controls is “Different goods”, an IE will automatically be sent to the PC to request the provision of an updated endorsement request. In this case, the PC has been informed that the goods declared in the endorsement request contains different goods than those that were controlled and an updated list of goods needs to be submitted.
Actor	Proof of Union Status System
Input Data	LRN Control Result at Departure
Output Data	
Previous Objects	Correction of Endorsement Request is Needed
Information Exchange	Request for Supplementary Documents from CCO
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.80: Task Notify Person Concerned that Correction of Endorsement Request is Needed - T2L - T2LF

Task Submit Response to Request for Endorsement Correction - T2L - T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Submit Response to Request for Endorsement Correction - T2L - T2LF

Task Type	User task
Description	The PC submits the response to the request for a corrected endorsement request received by the CCO. The PC updates the list of goods in order to be aligned with the goods that were controlled. Final situation: IE sent from the PC to the CCO to respond to the request for corrected endorsement request.
Actor	Person Concerned / Authorised Issuer
Input Data	E_PUS_RES
Output Data	
Previous Objects	Provision of Corrected Endorsement Request is Needed
Information Exchange	Response to Request for Supplementary Documents from CCO
Requirements	PUS_FUR_0029 PUS_FUR_0030
Data Set	
Events	
State	

Table 5.81: Task Submit Response to Request for Endorsement Correction - T2L - T2LF

Event Response to Request for Corrected Endorsement Submitted

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Response to Request for Corrected Endorsement Submitted
Event Type	End event
Description	The process ends when the response to the request for corrected endorsement has been submitted to the CCO.
Previous Objects	Submit Response to Request for Endorsement Correction - T2L - T2LF

Table 5.82: Event Response to Request for Corrected Endorsement Submitted

Event Corrected Endorsement Request Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Corrected Endorsement Request Received
Event Type	Message intermediate event (catch)
Description	A corrected endorsement request has been received by the PC.
Previous Objects	Submit Response to Request for Endorsement Correction - T2L - T2LF

	Notify Person Concerned that Correction of Endorsement Request is Needed - T2L - T2LF
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Table 5.83: Event Corrected Endorsement Request Received

Task Record Submitted Response to Request for Endorsement Correction - T2L - T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Submitted Response to Request for Endorsement Correction - T2L - T2LF
Task Type	Service task
Description	The response to the request for endorsement correction has been received and all the data elements following this are automatically recorded in the Proof of Union Status system - linked to the reference number. The state of the endorsement request changes back to "Waiting for Decision" Final situation: The response to the request for endorsement correction is recorded and the state of the endorsement request is set to "Waiting for Decision".
Actor	Proof of Union Status System
Input Data	
Output Data	Consignor Goods Shipment for T2L-T2LF Requested Proof Operation Information for T2L-T2LF State of Endorsement/Registration Request Endorsement Request T2L/T2LF corrected endorsement provision date
Previous Objects	Corrected Endorsement Request Received
Information Exchange	Response to Request for Supplementary Documents from CCO
Requirements	PUS_FUR_0006
Data Set	Endorsement Request
Events	Response to Request for Corrected Endorsement Received
State	Waiting for Decision

Table 5.84: Task Record Submitted Response to Request for Endorsement Correction - T2L - T2LF

Event Request for Endorsement Correction Completed

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
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Event Name	Request for Endorsement Correction Completed
Event Type	End event
Description	This process ends when the corrected endorsement was successfully received and recorded in the Proof of Union Status system.
Previous Objects	Record Submitted Response to Request for Endorsement Correction - T2L - T2LF

Table 5.85: Event Request for Endorsement Correction Completed

5.5. Process L4-PUS-01-01-03-Handle Endorsement of a Proof in the Form of T2L or T2LF Data

5.5.1. Objective of Process

If the request is an endorsement request and if no control has been performed or the control result is “A1-Satisfactory” or “A2-Considered Satisfactory”, this call activity is initiated. The Customs Officer has to decide if the endorsement request will be accepted or not. By default, this process has to be completed within a specific time limit. If this time limit expires, the endorsement will deem to be confirmed. If the requested validity of the Proof is longer than the default value of 90 days, the Customs Officer will have to decide if the longer requested validity will be accepted or not. In this case, the timer set to allow for the automatic endorsement is cancelled and the Customs Officer will have to provide a decision. The final situation of this process will be one of the following:

- The endorsement of the Proof has been rejected;
- The endorsement of the Proof has been confirmed;
- The time limit to handle the endorsement has expired.

5.5.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-PUS-01-01-03
Full Name	Handle Endorsement of a Proof in the Form of T2L or T2LF Data
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-PUS-01-01
Existing Specifications	

Table 5.86: Process Details

5.5.3. Tasks and Events

Event Endorsement Decision to Be Taken

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Endorsement Decision to Be Taken

Event Type	Start event
Description	When a Proof of Union Status request in the form of T2L or T2LF document concerns an endorsement request, this process will be initiated.
Previous Objects	

Table 5.87: Event Endorsement Decision to Be Taken

Task Register Endorsement Decision - T2L-T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Endorsement Decision - T2L-T2LF
Task Type	User task
Description	<p>Only valid endorsement requests will reach this point in the process, which implies that the state of the request must be “E-Waiting for Endorsement Decision”. At this point, the Customs Officer must be able to view the details of the endorsement request via a user interface to the Proof of Union Status system. The Customs Officer will review the content and document the details of the decision regarding the endorsement request. If the endorsement decision is "No", the Customs Officer has to provide an "Endorsement Decision Explanation", otherwise the explanation is optional.</p> <p>Final situation: The Customs Officer registered one of the following results:</p> <ul style="list-style-type: none"> - Accept the endorsement and the process will continue to the "Register Validity Period Decision", if a validity longer than 90 days has been requested or to the "Register Proof" subprocess, if a validity less than 90 days has been requested; or - Reject the endorsement and the process will continue with the notification of the PC.
Actor	Customs Officer
Input Data	Perform Physical Control Decision Risk Analysis Result Details Execution of Relevant Control Decision LRN E_PUT_REQ Control Result at Departure
Output Data	Endorsement
Previous Objects	Endorsement Decision to Be Taken
Information Exchange	
Requirements	PUS_FUR_0004
Data Set	

Events	
State	

Table 5.88: Task Register Endorsement Decision - T2L-T2LF

Task Record Endorsement Decision - T2L-T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Endorsement Decision - T2L-T2LF
Task Type	Service task
Description	<p>When the Customs Officer registered the endorsement decision, the data elements following this registration are automatically recorded in the Proof of Union Status system - linked to the reference number. The system keeps track of the "Endorsement Decision Date". If the endorsement decision is "Yes", the system also keeps track of the proof acceptance date (Registration date).</p> <p>The state of the endorsement request changes to:</p> <ul style="list-style-type: none"> ● "E-Waiting for Extended Validity Period Decision", if the endorsement decision is "Yes" and an extended validity period is requested; ● "E-Rejected", if the endorsement decision is "No".
Actor	Proof of Union Status System
Input Data	Endorsement LRN Requested Validity of the proof
Output Data	State of Endorsement/Registration Request Endorsement Registration date Endorsement Request
Previous Objects	Register Endorsement Decision - T2L-T2LF
Information Exchange	
Requirements	PUS_FUR_0034 PUS_FUR_0006
Data Set	Endorsement Request
Events	Positive Endorsement Decision is Recorded AND Extended Validity Period is Requested Negative Endorsement Decision is Recorded
State	E-Waiting for Extended Validity Period Decision E-Rejected

Table 5.89: Task Record Endorsement Decision - T2L-T2LF

Event Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Rejected
Event Type	End event
Description	The process ends here when the Endorsement Request is not endorsed and has been rejected.
Appears also in the following processes	L4-PUS-01-01-Proof of Union Status in the Form of T2L or T2LF Data
Previous Objects	Confirm Endorsement?

Table 5.90: Event Rejected

Task Cancel Time Limit to Handle Endorsement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Cancel Time Limit to Handle Endorsement
Task Type	Service task
Description	If a time limit has been set for the completion of the Handle Endorsement process and the requested validity is longer than 90 days, the timer is cancelled so that the decision on the validity period is manually defined.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-01-03-Handle Endorsement of a Proof in the Form of CGM Data
Input Data	Endorsement Completion Expiry Date Proof Operation Information Result Check if Requested Validity Is Longer Than 90 Days
Output Data	Endorsement Completion Expiry Date
Previous Objects	Has a time limit been set?
Information Exchange	
Requirements	PUS_FUR_0073
Data Set	
Events	
State	

Table 5.91: Task Cancel Time Limit to Handle Endorsement

Task Identify If Requested Validity Is Longer Than 90 Days - T2L-T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Requested Validity Is Longer Than 90 Days - T2L-T2LF
Task Type	Service task
Description	The system will identify if the requested validity (Number of Days) is longer than 90 days or not. Final situation: Yes - The requested validity is longer than 90 days. No - The requested validity is not longer than 90 days.
Actor	Proof of Union Status System
Input Data	LRN Number of Days
Output Data	Result Check if Requested Validity Is Longer Than 90 Days
Previous Objects	Confirm Endorsement?
Information Exchange	
Requirements	PUS_FUR_0024
Data Set	
Events	
State	

Table 5.92: Task Identify If Requested Validity Is Longer Than 90 Days - T2L-T2LF

Task Register Validity Period Decision - T2L-T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Validity Period Decision - T2L-T2LF
Task Type	User task
Description	When the requested validity of a Proof is longer than 90 days, the Customs Officer will register the evaluation result on the extended validity period and document the details of the decision. When the result is positive, the extended validity period will be accepted. When the result is negative, the requested validity will not be accepted and a "Validity Period Decision Explanation" is required. Final situation: The Customs Officer registered one of the following results: - The result is positive: the extended requested validity is accepted.

	- The result is negative: the extended requested validity is not accepted.
Actor	Customs Officer
Input Data	Risk Analysis Result Details Endorsement Execution of Relevant Control Decision E_PUT_REQ Control Result at Departure LRN Perform Physical Control Decision
Output Data	Validity Period Decision
Previous Objects	Has a time limit been set? Cancel Time Limit to Handle Endorsement
Information Exchange	
Requirements	PUS_FUR_0004
Data Set	
Events	
State	

Table 5.93: Task Register Validity Period Decision - T2L-T2LF

Task Identify if Time Limit to Handle Endorsement has been set

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Time Limit to Handle Endorsement has been set
Task Type	Service task
Description	When the requested validity period is longer than 90 days, the system identifies if a timer has been defined that sets a time limit for the completion of the Handle Endorsement Process.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-01-03-Handle Endorsement of a Proof in the Form of CGM Data
Input Data	Endorsement Completion Expiry Date Proof Operation Information
Output Data	Result Check if time limit to handle endorsement has been set
Previous Objects	Validity Longer Than 90 Days Requested?

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Information Exchange	
Requirements	PUS_FUR_0072
Data Set	
Events	
State	

Table 5.94: Task Identify if Time Limit to Handle Endorsement has been set

Task Record Validity Period Decision - T2L-T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Validity Period Decision - T2L-T2LF
Task Type	Service task
Description	When the Customs Officer registered the decision for an extended validity period, the data elements following this registration are automatically recorded in the Proof of Union Status system - linked to the reference number. Final situation: The decision for an extended validity duration is recorded.
Actor	Proof of Union Status System
Input Data	Validity Period Decision LRN
Output Data	Validity Period Decision
Previous Objects	Register Validity Period Decision - T2L-T2LF
Information Exchange	
Requirements	PUS_FUR_0035 PUS_FUR_0006
Data Set	
Events	
State	

Table 5.95: Task Record Validity Period Decision - T2L-T2LF

Task Set Default Validity Period - T2L-T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Set Default Validity Period - T2L-T2LF
Task Type	Service task

Description	When a validity period longer than 90 days has not been accepted by the Customs Officer, the system will set the default value of 90 days as "Requested Validity of the Proof" (Number of Days). Final situation: The "Requested Validity of the Proof" is set to 90 days.
Actor	Proof of Union Status System
Input Data	Approval on Validity Period Indication LRN
Output Data	Requested Validity of the proof
Previous Objects	Confirm Validity Period?
Information Exchange	
Requirements	PUS_FUR_0006
Data Set	
Events	
State	

Table 5.96: Task Set Default Validity Period - T2L-T2LF

Event Endorsement Confirmed

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Endorsement Confirmed
Event Type	End event
Description	The process ends here when the Endorsement Request has been confirmed.
Previous Objects	Set Default Validity Period - T2L-T2LF Validity Longer Than 90 Days Requested? Confirm Validity Period?

Table 5.97: Event Endorsement Confirmed

5.6. Process L4-PUS-01-01-04-Store Proof of Union Status in the Form of T2L or T2LF Data in Central Repository

5.6.1. Objective of Process

The process starts when the Proof is registered or upon request of a National Proof of Union Status system through an exposed web service. The Proof of Union Status system send the proof and all its details to the CR to make it centrally available to all Member States.

The final situation of this process will be the following:

The complete proof is recorded in the CR and is now centrally available.

5.6.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-PUS-01-01-04
Full Name	Store Proof of Union Status in the Form of T2L or T2LF Data in Central Repository
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-PUS-01-01, L3-PUS-01-02
Existing Specifications	

Table 5.98: Process Details

5.6.3. Tasks and Events

Event Proof of Union Status in the Form of T2L or T2LF data is to be stored in Central Repository

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof of Union Status in the Form of T2L or T2LF data is to be stored in Central Repository
Event Type	Start event
Description	The process starts when the Proof has been registered.
Previous Objects	

Table 5.99: Event Proof of Union Status in the Form of T2L or T2LF data is to be stored in Central Repository

Event National Proof of Union Status in the Form of T2L or T2LF Received in order to be Stored in Central Repository

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	National Proof of Union Status in the Form of T2L or T2LF Received in order to be Stored in Central Repository
Event Type	Message start event
Description	The process starts upon request of a National Proof of Union Status system through an exposed web service offered to the National systems, in order to store the details of a national Proof in the CR.
Previous Objects	National Proof of Union Status System

Table 5.100: Event National Proof of Union Status in the Form of T2L or T2LF Received in order to be Stored in Central Repository

Task Perform Validation of National Proof Update Data

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Validation of National Proof Update Data
Task Type	Service task
Description	<p>When the Proof storage data is received from a National Proof of Union Status system, it must be technically validated.</p> <p>Final situation:</p> <ul style="list-style-type: none"> - The result of the validation is positive: there are no validation errors and the Proof storage data is valid. - The result of the validation is negative:the Proof storage data contains validation errors and is invalid. The National Proof of Union system will be notified of the validation errors.
Actor	Proof of Union Status System
Input Data	MRN
Output Data	National System Request Validation Result C_NPU_STO
Previous Objects	National Proof of Union Status in the Form of T2L or T2LF Received in order to be Stored in Central Repository
Information Exchange	Store National Proof T2L/T2LF
Requirements	PUS_FUR_0036 PUS_FUR_0002 PUS_FUR_0003 PUS_FUR_0001
Data Set	
Events	
State	

Table 5.101: Task Perform Validation of National Proof Update Data

Task Record National Proof Storage Data - T2L - T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record National Proof Storage Data - T2L - T2LF
Task Type	Service task
Description	<p>When the Proof of Union Status system receives proof data from a National Proof of Union Status system the data elements following this are automatically recorded in the Proof of Union Status system - linked to the reference number.</p>
Actor	Proof of Union Status System

Input Data	C_NPU_STO MRN
Output Data	C_NPU_STO
Previous Objects	Is Validation Result Positive?
Information Exchange	
Requirements	PUS_FUR_0006
Data Set	
Events	
State	

Table 5.102: Task Record National Proof Storage Data - T2L - T2LF

Task Notify National System about Validation Errors

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify National System about Validation Errors
Task Type	Send task
Description	<p>When the Proof storage data received from a National Proof of Union Status system is invalid, a validation error notification is automatically sent to the National System containing more details on the validation errors. The process will end here.</p> <p>Final situation: A validation error notification (IEP40 National System Request Rejected) is sent to the National System, and the process ends.</p>
Actor	Proof of Union Status System
Input Data	National System Request Validation Result C_NPU_STO
Output Data	
Previous Objects	Is Validation Result Positive?
Information Exchange	National System Request Rejected
Requirements	PUS_FUR_0036
Data Set	
Events	
State	

Table 5.103: Task Notify National System about Validation Errors

Event National System Notified of Validation Errors

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	National System Notified of Validation Errors
Event Type	End event
Description	When the Proof Presented is technically invalid, the National System will receive a validation error notification and the process will end.
Appears also in the following processes	L4-PUS-02-01-04-Store Proof of Union Status in the Form of CGM Data in Central Repository
Previous Objects	Notify National System about Validation Errors

Table 5.104: Event National System Notified of Validation Errors

Task Store Proof - T2L-T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Store Proof - T2L-T2LF
Task Type	Send task
Description	<p>When the Proof of Union Status system sends the proof to the CR, the system will wait for a reply/confirmation that the proof information has been successfully stored. All information, related to the proof of union status, from the original Endorsement/Registered request and additionally the proof acceptance date (Registration date), the MRN and the validity end date are added to the “Proof Operation Information for T2L/T2LF”. If the process has been initiated from a National Proof of Union Status system, all this information will be retrieved from the message received by the national system.</p> <p>Final situation: The complete proof is recorded in the CR and is now centrally available.</p>
Actor	Proof of Union Status System
Input Data	MRN Proof Data for Storage
Output Data	C_PUT_STO
Previous Objects	Proof of Union Status in the Form of T2L or T2LF data is to be stored in Central Repository Record National Proof Storage Data - T2L - T2LF
Information Exchange	Store Proof - T2L-T2LF

Requirements	PUS_FUR_0011 PUS_FUR_0006
Data Set	
Events	
State	

Table 5.105: Task Store Proof - T2L-T2LF

Event Receipt of Notification for the Storage of Proof

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receipt of Notification for the Storage of Proof
Event Type	Message intermediate event (catch)
Description	This event indicates that the Proof of Union Status system receives the reply/confirmation from the CR, that the proof assigned to the given MRN has been successfully stored.
Appears also in the following processes	L4-PUS-02-01-04-Store Proof of Union Status in the Form of CGM Data in Central Repository
Previous Objects	Central Repository Store Proof - T2L-T2LF

Table 5.106: Event Receipt of Notification for the Storage of Proof

Task Record Notification for Proof Storage - T2L - T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Notification for Proof Storage - T2L - T2LF
Task Type	Service task
Description	When the confirmation from the CR, that the proof assigned to the given MRN has been successfully stored is received, the data elements following this are automatically recorded in the Proof of Union Status system - linked to the reference number.
Actor	Proof of Union Status System
Input Data	MRN
Output Data	C_PUS_STR
Previous Objects	Receipt of Notification for the Storage of Proof
Information Exchange	Notification for Proof Storage

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Requirements	PUS_FUR_0006
Data Set	
Events	
State	

Table 5.107: Task Record Notification for Proof Storage - T2L - T2LF

Task Identify if Stored Proof was Created in National PoUS System - T2L - T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Stored Proof was Created in National PoUS System - T2L - T2LF
Task Type	Service task
Description	The system will identify if the proof that has been stored, was handled in the central Proof of Union Status system or in a National system. Final situation: Yes - The Proof was handled in a National Proof of Union Status system. No - The Proof was handled in the central Proof of Union Status system.
Actor	Proof of Union Status System
Input Data	National System - NMSW Request Indication MRN
Output Data	Result Check if Request is from National PoUS System / Other National System
Previous Objects	Record Notification for Proof Storage - T2L - T2LF
Information Exchange	
Requirements	PUS_FUR_0037
Data Set	
Events	
State	

Table 5.108: Task Identify if Stored Proof was Created in National PoUS System - T2L - T2LF

Task Notify National PoUS System about Proof Storage - T2L-T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify National PoUS System about Proof Storage - T2L-T2LF
Task Type	Send task
Description	When the Proof is stored in the CR and the source of its data is a National

	Proof of Union Status system, a confirmation message is automatically sent to the National system to confirm the successful storage of the Proof. Final situation: Notification of successful proof storage in the CR is sent to the National Proof of Union Status system from which the data were received.
Actor	Proof of Union Status System
Input Data	C_PUS_STR
Output Data	
Previous Objects	Is Proof from National PoUS System?
Information Exchange	Notification for Proof Storage
Requirements	PUS_FUR_0036
Data Set	
Events	
State	

Table 5.109: Task Notify National PoUS System about Proof Storage - T2L-T2LF

Event Proof Stored in Central Repository

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof Stored in Central Repository
Event Type	End event
Description	This process ends when the Proof and all its details are stored in the CR.
Appears also in the following processes	L4-PUS-02-01-04-Store Proof of Union Status in the Form of CGM Data in Central Repository
Previous Objects	Is Proof from National PoUS System? Notify National PoUS System about Proof Storage - T2L-T2LF

Table 5.110: Event Proof Stored in Central Repository

5.7. Process L4-PUS-01-01-Proof of Union Status in the Form of T2L or T2LF Data

5.7.1. Objective of Process

This L4 process depicts the steps for an AI / PC to request that the Proof of Union Status of the goods is registered and accessible in a central location, in the case that the Proof of Union Status of the goods will need to be verified.

This is requested to the Competent Customs Office in the form of T2L/F data, via an EU harmonized trader interface or the national system. In the case of an AI, it concerns a registration request and the Customs

Officer does not need to endorse the proof. In the case of a PC, it concerns an endorsement request and the Customs Officer must endorse the proof.

The process is based on the assumption that only a fully validated proof will be stored in the CR

The main process flow consists of a request for endorsement from a PC, or a request for registration from an AI. The message will be technically validated to ensure that it meets the minimum validity requirements, such as a valid message structure. The particulars of the requesting party will be checked (e.g. EORI number must be valid) and finally in the case of an AI, the authorisation itself will be validated. If the request comes from a PC the request will have to be endorsed.

In the case of an endorsement request, the Customs Officer must endorse the decision. If the Endorsement is rejected, a notification will be sent to the PC.

First, a risk analysis at national level may be requested by the Customs Officer against risk rules (including common risk criteria and standards where applicable) (automatic process). This depends on the member state that is responsible for the risk assessment and if an interface between the central PoUS system and the respective national risk system is foreseen. When a national risk analysis system is consulted, the risk analysis result is recorded in the Proof of Union Status system.

Then, the Customs Officer decides if relevant controls will be performed or not. If the Customs Officer decides to perform the controls, the process continues with the control task. The control task is a manual task executed by the Customs Officer, in the context of which only documentary checks or both documentary and physical checks are performed. If supplementary documents are needed, the Customs Officer may request them from the PC/AI.

There are three possible results from this control:

- In case the result is "A1 - Satisfactory" or "A2 - Considered Satisfactory", the request is endorsed.
- In case the result is "P2 - Different goods", the PC is notified about the rejection of the request.
- In case the result is "P3 - Non-union goods", the PC is notified about the rejection of the request.

The consultation or not of a national risk analysis system is followed by the control process. As a principle, the Customs Officer decides if relevant controls will be performed or not within a time limit restriction which differs depending on whether the request is for endorsement (PC) or for registration (AI). In general, if the Customs Officer decides to perform the controls, the process continues with the control task. Depending on the risk consultation and on whether this is an endorsement/registration request we have the following cases: When a national risk analysis system has been consulted and no risk has been identified, the Customs Officer has a time limit to decide to perform controls in case of an endorsement request (PC) and a time limit to perform controls or to decide not to perform the relevant controls in case of a registration request (AI). In case of a request by an AI, the time limit to perform controls is defined by the Authorization time limit. If the time limit expires, the control result is "A2 - Considered Satisfactory". If the Customs Officer decides not to perform the controls the control result is set to "A2 – Considered Satisfactory". If the Customs Officer performs the controls and the control is Satisfactory, the control result is "A1 - Satisfactory", In both cases mentioned above, the process continues with the endorsement of the request in case of a PC request. In case of an AI, if the control result is "A2 - Considered Satisfactory" (automatic control because of time limit expiration or manual decision not to perform the controls by the Customs Officer) or control is manually registered as "A1 – Satisfactory", the process continues with the registration of the proof. When a national risk analysis system has been consulted and risk has been identified or when a national proof risk analysis system is not consulted at all, the Customs Officer has to decide to perform or not controls with no time limit on the decision to perform controls in case of an endorsement request (PC). In case of a registration request (AI) the controls process is the same as when a national risk analysis system has been consulted and no risk has been identified. If the Customs Officer decides not to perform the controls and the control result is set to "A2 – Considered Satisfactory" or If the Customs Officer performs the controls and the control result is "A1 - Satisfactory", the process continues with the endorsement of the request in case of a PC request. In all above cases if the control result is either "P2 -Different goods" or "P3-Non-union goods", the PC/AI are notified about the rejection of the request. The endorsement decision is a manual task performed by the Customs Officer. By default, this process has to be completed within a specific time limit. If this time limit expires, the endorsement will be deemed to be confirmed. If the requested validity of the Proof is longer than the default value of 90 days, the Customs Officer will have to decide if the longer requested validity will be accepted or

not. In this case, the timer set to allow for the automatic endorsement is cancelled and the Customs Officer will have to provide a decision.

In the case of a successfully controlled registration request or an approved endorsement request, an MRN is allocated, and the validity period is set (the default period is 90 days unless otherwise requested). At this point the proof is considered registered. The proof is recorded and stored into the Central Repository. Simultaneously, the details of the registered proof will be communicated to the AI or PC along with the result. The proof is now centrally available for use by all Customs Authorities.

The other L4 process within this package that follows this process are 'Proof in the Form of T2L or T2LF Document - Present Proof', 'Proof in the Form of T2L or T2LF Document - Provide Information' and 'Proof in the Form of T2L or T2LF Document - Expire Proof'.

Proof of Union Status is an enabling process within European Customs. The data inputs for this process are:

- Enterprise Information (comes from process 'Enterprise information maintenance');
- EORI Record (comes from process 'Operators management'); and
- Authorisation for Authorised Issuer for Proof of Union Status (comes from process 'Manage authorisation for Authorised Consignor for Proof of Union status').

5.7.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-PUS-01-01
Full Name	Proof of Union Status in the Form of T2L or T2LF Data
Assumptions	This is requested to the Competent Customs Office in the form of T2L/F data, via an EU harmonized trader interface or the national system. In the case of an AI, it concerns a registration request and the Customs Officer does not need to endorse the proof. In the case of a PC, it concerns an endorsement request and the Customs Officer must endorse the proof.
Constraints	When the state of proof changes to recorded, it is centrally available for use by all Customs Authorities. The use of the proof is not in scope of this model.
L3 Reference Models	L3-PUS-01-01, L3-PUS-01-02
Existing Specifications	

Table 5.111: Process Details

5.7.3. Tasks and Events

Event T2L/T2LF Endorsement / Registration Requested

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	T2L/T2LF Endorsement / Registration Requested
Event Type	Start event
Description	This process starts upon the submission of a T2L/F Endorsement or Registration request (Endorsement for a PC and Registration for an AI) to the CCO, via an EU harmonized trader interface.
Previous Objects	

Table 5.112: Event T2L/T2LF Endorsement / Registration Requested

Task Submit T2L/T2LF Endorsement/Registration Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Submit T2L/T2LF Endorsement/Registration Request
Task Type	Send task
Description	This process starts when the PC or AI submits, via the EU Customs Trader Portal, an endorsement or registration request to the CCO in order to certify the Union status of goods declared in the form of T2L/F data. This request will need to be validated by the Proof of Union Status system. Final situation: IE sent from the PC or AI to the CCO.
Actor	Person Concerned / Authorised Issuer
Input Data	E_PUT_REQ
Output Data	
Previous Objects	T2L/T2LF Endorsement / Registration Requested
Information Exchange	Endorsement/Registration Request - T2L-T2LF
Requirements	PUS_FUR_0027 PUS_FUR_0029 PUS_FUR_0068 PUS_FUR_0028 PUS_FUR_0067 PUS_FUR_0065 PUS_FUR_0066 PUS_FUR_0070 PUS_FUR_0069 PUS_FUR_0030
Data Set	
Events	
State	

Table 5.113: Task Submit T2L/T2LF Endorsement/Registration Request

Event Validation Error Notification Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Validation Error Notification Received
Event Type	Message intermediate event (catch)
Description	The PC or AI receives a notification of the validation errors concerning the

	endorsement/registration request.
Previous Objects	Submit T2L/T2LF Endorsement/Registration Request Notify Person Concerned/Authorised Issuer of Validation Errors

Table 5.114: Event Validation Error Notification Received

Event Person Concerned/Authorised Issuer Notified of Validation Errors

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Person Concerned/Authorised Issuer Notified of Validation Errors
Event Type	End event
Description	The process ends here when the PC / AI has been notified of the validation errors occurring in the endorsement/registration request.
Appears also in the following processes	L4-PUS-01-01-Proof of Union Status in the Form of T2L or T2LF Data
Previous Objects	Validation Error Notification Received

Table 5.115: Event Person Concerned/Authorised Issuer Notified of Validation Errors

Event Endorsement/Registration Request Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Endorsement/Registration Request Received
Event Type	Message start event
Description	Process is initiated when the Proof of Union Status system automatically receives an Endorsement/Registration Request from a Person Concerned/Authorised Issuer. The request represents either: - an endorsement request, if it is sent by a Person Concerned; or - a registration request if it is sent by an Authorised Issuer.
Previous Objects	Submit T2L/T2LF Endorsement/Registration Request

Table 5.116: Event Endorsement/Registration Request Received

Task Perform Validation of Endorsement/Registration Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Validation of Endorsement/Registration Request
Task Type	Service task
Description	When the Endorsement/Registration Request is received, it is validated by the Proof of Union Status system. This includes a check that all required fields are populated, have the correct format and contain the correct data

	<p>type.</p> <p>The following systems will be consulted:</p> <ul style="list-style-type: none"> - Economic Operators Registration and Identification (EORI) <p>When validating a received Endorsement/Registration Request, the Proof of Union Status system will have an interface with the EORI database in order to check that the provided EORI number is valid, and that the PC / AI is a registered trader.</p> <ul style="list-style-type: none"> - Customs Decisions System <p>When validating a registration request that the Person requesting a proof of union status is an AI for the Proof of Union Status against an ACP authorisation. The Proof of Union Status system will extract the authorisation details from the Customs Decisions System and time limit to perform the CCO controls in minutes. The following will be validated:</p> <ol style="list-style-type: none"> 1. That the “Person requesting a proof of union status” is the Holder of the ACP Authorisation; 2. That the “Competent Customs Office” to which the registration request was submitted belongs to the country-ies indicated in the “Geographical Validity” of the ACP Authorisation. <p>The Proof of Union Status system will extract the authorisation details from the Customs Decisions System and time limit to perform the CCO controls in minutes</p> <ul style="list-style-type: none"> - Common Reference Data Management <p>When validating other data elements that can be verified.</p> <p>When the result of the validation is positive, the data elements of the Proof request are recorded.</p> <p>When the result of the validation is negative, a notification must be sent to the applicant to notify them of the errors occurring and about the data and reason for rejection.</p> <p>Final situation:</p> <ul style="list-style-type: none"> - The result of the validation of the request is positive: there are no validation errors. - The result of the validation of the request is negative: the request contains validation errors.
Actor	Proof of Union Status System
Input Data	
Output Data	Endorsement/Registration Request Validation Result ACP Time Limit to Perform Controls E_PUT_REQ
Previous Objects	Endorsement/Registration Request Received
Information Exchange	Endorsement/Registration Request - T2L-T2LF

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Requirements	PUS_FUR_0002 PUS_FUR_0003 PUS_FUR_0001
Data Set	
Events	
State	

Table 5.117: Task Perform Validation of Endorsement/Registration Request

Task Notify Person Concerned/Authorised Issuer of Validation Errors

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Person Concerned/Authorised Issuer of Validation Errors
Task Type	Send task
Description	When the validation result of the endorsement/registration request is negative, an error notification is automatically sent to the PC or the AI, containing more details on the validation errors. Final situation: A validation error notification is sent and the process ends.
Actor	Proof of Union Status System
Input Data	Proof Operation Functional Error
Output Data	
Previous Objects	Is Result of Validation Positive?
Information Exchange	Request Rejected
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.118: Task Notify Person Concerned/Authorised Issuer of Validation Errors

Task Record Endorsement/Registration Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Endorsement/Registration Request
Task Type	Service task
Description	When the Endorsement/Registration Request is valid, the data elements

	following this are automatically recorded in the Proof of Union Status system. The LRN serves as reference until the MRN is assigned.
Actor	Proof of Union Status System
Input Data	E_PUT_REQ LRN
Output Data	E_PUT_REQ
Previous Objects	Is Result of Validation Positive?
Information Exchange	
Requirements	PUS_FUR_0006
Data Set	
Events	
State	

Table 5.119: Task Record Endorsement/Registration Request

Event Person Concerned/Authorised Issuer Notified of Validation Errors

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Person Concerned/Authorised Issuer Notified of Validation Errors
Event Type	End event
Description	The process ends here when the PC / AI has been notified of the validation errors occurring in the endorsement/registration request.
Appears also in the following processes	L4-PUS-01-01-Proof of Union Status in the Form of T2L or T2LF Data
Previous Objects	Notify Person Concerned/Authorised Issuer of Validation Errors

Table 5.120: Event Person Concerned/Authorised Issuer Notified of Validation Errors

Task Identify Competent Member State for Risk Assessment - T2L-T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify Competent Member State for Risk Assessment - T2L-T2LF
Task Type	Service task
Description	The system will identify the Member State who will be responsible for the risk analysis. The identification of the competent Member State will be based on the "Competent Customs Office" in the initial endorsement/registration request. Depending on the identified Member State, the Proof of Union Status system will check if the respective national risk analysis system is to be

	<p>consulted or not.</p> <p>The system also identifies if the request is an endorsement or registration request.</p> <p>Final situation:</p> <ul style="list-style-type: none"> - The competent Member State has been identified and the result is "No": no national risk analysis system is consulted. The state of the endorsement or registration request (depending on the request type) changes to "E-Waiting Control Decision". - The competent Member State has been identified and the result is "Yes": the respective national risk analysis system is consulted. The state of the endorsement or registration request (depending on the request type) changes to "E-Waiting RA Results".
Actor	Proof of Union Status System
Input Data	Competent Customs Office LRN Request Type
Output Data	Registration Request Endorsement Request Result Check if National Risk Analysis System is Consulted State of Endorsement/Registration Request Member State
Previous Objects	Record Endorsement/Registration Request
Information Exchange	
Requirements	PUS_FUR_0007 PUS_FUR_0018 PUS_FUR_0019
Data Set	Registration Request Endorsement Request
Events	National RA System is not Consulted National RA System is Consulted
State	E-Waiting Control Decision E-Waiting RA Results

Table 5.121: Task Identify Competent Member State for Risk Assessment - T2L-T2LF

Task Consult National Risk Analysis System

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Consult National Risk Analysis System

Task Type	Call activity (collapsed)
Description	<p>The process starts when the Competent Member State performs a risk analysis in response to the relevant request by the CCO. The Proof of Union Status system sends the risk analysis request, receives and records the risk analysis response. This process has to be completed within a specific time limit</p> <p>The final situation of this process will be one of the following:</p> <ul style="list-style-type: none"> -The risk analysis response from the competent Member State has been recorded; -No risk analysis response from the competent Member State has been received and the time limit has expired.
Actor	Proof of Union Status System
Input Data	
Output Data	
Previous Objects	Is National Risk Analysis System to be Consulted?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.122: Task Consult National Risk Analysis System

Event Time Limit to Receive Risk Analysis Result

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Time Limit to Receive Risk Analysis Result
Event Type	Timer intermediate event
Description	The timer for the reception of the risk analysis result from the competent Member State has expired. The relevant controls must be performed manually by the Customs Officer.
Appears also in the following processes	L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Previous Objects	Consult National Risk Analysis System

Table 5.123: Event Time Limit to Receive Risk Analysis Result

Task Identify If There is a Risk - T2L-T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If There is a Risk - T2L-T2LF

Task Type	Service task
Description	<p>When Risk Analysis response has been received and recorded, the Proof of Union Status system will automatically check if any risk has been identified.</p> <p>A risk is identified if the "Risk Analysis Result Code" is one of the following:</p> <ul style="list-style-type: none"> ● Common risk identified – R; ● National risk identified – X; ● Combined risk identified (national & common) – Y; ● No risk analysis performed - Z. <p>No risk is identified if the "Risk Analysis Result Code" is No risk identified – N.</p> <p>Final situation:</p> <ul style="list-style-type: none"> - The result is "No": there is no risk identified. - The result is "Yes": there is risk identified.
Actor	Proof of Union Status System
Input Data	Risk Analysis Result Information LRN
Output Data	Result Check if Risk Is Determined
Previous Objects	Consult National Risk Analysis System
Information Exchange	
Requirements	PUS_FUR_0021
Data Set	
Events	
State	

Table 5.124: Task Identify If There is a Risk - T2L-T2LF

Task Record Expiry of Time Limit to Receive Risk Analysis Result

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Expiry of Time Limit to Receive Risk Analysis Result
Task Type	Service task
Description	<p>When the time limit to receive the risk analysis result from the competent Member State expired, this is recorded in the Proof of Union Status system - linked to the reference number. The relevant controls must be performed manually by the Customs Officer. The state of the endorsement or registration request (depending on the request type) changes to "E-Waiting Control Decision".</p>

	Final Situation: The expiry of the timer to receive the risk analysis result is recorded. The state of the endorsement or registration request (depending on the request type) changes to "E-Waiting Control Decision".
Actor	Proof of Union Status System
Input Data	National Risk Analysis Result Reception Expiry Date LRN Request Type
Output Data	National Risk Analysis Result Reception Expiry Date Endorsement Request State of Endorsement/Registration Request Registration Request
Previous Objects	Time Limit to Receive Risk Analysis Result
Information Exchange	
Requirements	PUS_FUR_0006 PUS_FUR_0071
Data Set	Endorsement Request Registration Request
Events	Time Limit to Receive Risk Analysis Result Expiration is Recorded
State	E-Waiting Control Decision

Table 5.125: Task Record Expiry of Time Limit to Receive Risk Analysis Result

Task Identify if Endorsement Is Requested

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Endorsement Is Requested
Task Type	Service task
Description	The system will identify if endorsement of the request is needed or not. When the request comes from an AI, it concerns a registration request and no endorsement decision is needed. When the request comes from a PC, it concerns an endorsement request and the Customs Officer needs to decide if the request should be endorsed or not. Final situation: Yes - The request requires endorsement from the Customs Officer. No - The request does not require endorsement from the Customs Officer.
Actor	Proof of Union Status System

Appears also in the following processes	L4-PUS-01-01-Proof of Union Status in the Form of T2L or T2LF Data L4-PUS-01-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Perform Controls by CCO
Input Data	Request Type LRN
Output Data	Result Check if Endorsement is Requested
Previous Objects	Risk Identified?
Information Exchange	
Requirements	PUS_FUR_0007
Data Set	
Events	
State	

Table 5.126: Task Identify if Endorsement Is Requested

Task Identify if Endorsement Is Requested

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Endorsement Is Requested
Task Type	Service task
Description	The system will identify if endorsement of the request is needed or not. When the request comes from an AI, it concerns a registration request and no endorsement decision is needed. When the request comes from a PC, it concerns an endorsement request and the Customs Officer needs to decide if the request should be endorsed or not. Final situation: Yes - The request requires endorsement from the Customs Officer. No - The request does not require endorsement from the Customs Officer.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-01-01-Proof of Union Status in the Form of T2L or T2LF Data L4-PUS-01-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Perform Controls by CCO
Input Data	Request Type LRN
Output Data	Result Check if Endorsement is Requested

Previous Objects	Record Expiry of Time Limit to Receive Risk Analysis Result Risk Identified? Is National Risk Analysis System to be Consulted?
Information Exchange	
Requirements	PUS_FUR_0007
Data Set	
Events	
State	

Table 5.127: Task Identify if Endorsement Is Requested

Task Perform Controls by CCO

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Controls by CCO
Task Type	Call activity (collapsed)
Description	<p>When no National Risk Analysis system is to be consulted or if a National Risk Analysis system is consulted, when the Risk Analysis results is recorded, this process is initiated. In the case that a National Risk Analysis system is consulted and no risk has been identified, the decision to perform relevant controls has to be taken within a specific time limit. If this time limit expires, the control results will deem to be satisfactory.</p> <p>First of all, the Customs Officer has to decide if the relevant controls to verify that the goods are Union goods corresponding to the goods declared in the request, will be performed or not. A decision whether only documentary controls or both documentary and physical controls should take place, in case there is a decision to perform relevant controls. The documentary controls are always performed, the Customs Officer can also decide about the execution of the physical controls and may provide a "Physical Control Decision Explanation". The Customs Officer may update his decision on the execution of Physical Controls when performing documentary controls.</p> <p>If a timer has been set and:</p> <ul style="list-style-type: none"> ● no decision by the Customs Officer is taken, the process ends at the time limit and the control results will be considered satisfactory; ● the Customs Officer decides not to perform controls, the process ends and the control results will be considered satisfactory; ● the Customs Officer decides to perform the controls, the timer is cancelled so that the controls are manually completed and the documentary checks will first be done. <p>If no timer has been set and:</p> <ul style="list-style-type: none"> ● the Customs Officer decides not to perform controls, the process ends and the control results will be considered satisfactory; ● the Customs Officer decides to perform the controls, the documentary

	<p>checks will first be done.</p> <p>Then, the Customs Officer will decide if supplementary documents must be requested from the PC/AI. If that is the case, the Customs Officer will wait for the response from the PC/AI and when the documents are provided they will be controlled. Alternatively, the Customs Officer may decide to provide the documents them self while waiting for the supply of the supplementary documents from the PC/AI. In this case, a notification is sent to the PC/AI that the documents have been provided by the Customs Officer and the process is forwarded to the registration of the control results. The Customs Officer will register the control results based on the documentary checks and decide if physical controls need to be performed or not. In the case physical controls are performed, the Customs Officer will register the control results based on both documentary and physical checks.</p> <p>The final situation of this process will be one of the following:</p> <ul style="list-style-type: none"> * No control has been performed. * The control results have been recorded. * In case a timer function is triggered, the time limit to decide to perform the relevant controls has expired and the control results are considered satisfactory.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-01-01-Proof of Union Status in the Form of T2L or T2LF Data
Input Data	
Output Data	
Previous Objects	Is Endorsement of Proof Requested?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.128: Task Perform Controls by CCO

Task Perform Controls by CCO

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Controls by CCO
Task Type	Call activity (collapsed)
Description	When no National Risk Analysis system is to be consulted or if a National Risk Analysis system is consulted, when the Risk Analysis results is recorded, this process is initiated. In the case that a National Risk Analysis system is consulted and no risk has been identified, the decision to perform relevant controls has to be taken within a specific time limit. If this time

	<p>limit expires, the control results will deem to be satisfactory.</p> <p>First of all, the Customs Officer has to decide if the relevant controls to verify that the goods are Union goods corresponding to the goods declared in the request, will be performed or not. A decision whether only documentary controls or both documentary and physical controls should take place, in case there is a decision to perform relevant controls. The documentary controls are always performed, the Customs Officer can also decide about the execution of the physical controls and may provide a "Physical Control Decision Explanation". The Customs Officer may update his decision on the execution of Physical Controls when performing documentary controls.</p> <p>If a timer has been set and:</p> <ul style="list-style-type: none"> ● no decision by the Customs Officer is taken, the process ends at the time limit and the control results will be considered satisfactory; ● the Customs Officer decides not to perform controls, the process ends and the control results will be considered satisfactory; ● the Customs Officer decides to perform the controls, the timer is cancelled so that the controls are manually completed and the documentary checks will first be done. <p>If no timer has been set and:</p> <ul style="list-style-type: none"> ● the Customs Officer decides not to perform controls, the process ends and the control results will be considered satisfactory; ● the Customs Officer decides to perform the controls, the documentary checks will first be done. <p>Then, the Customs Officer will decide if supplementary documents must be requested from the PC/AI. If that is the case, the Customs Officer will wait for the response from the PC/AI and when the documents are provided they will be controlled. Alternatively, the Customs Officer may decide to provide the documents them self while waiting for the supply of the supplementary documents from the PC/AI. In this case, a notification is sent to the PC/AI that the documents have been provided by the Customs Officer and the process is forwarded to the registration of the control results. The Customs Officer will register the control results based on the documentary checks and decide if physical controls need to be performed or not. In the case physical controls are performed, the Customs Officer will register the control results based on both documentary and physical checks.</p> <p>The final situation of this process will be one of the following:</p> <ul style="list-style-type: none"> * No control has been performed. * The control results have been recorded. * In case a timer function is triggered, the time limit to decide to perform the relevant controls has expired and the control results are considered satisfactory.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-01-01-Proof of Union Status in the Form of T2L or T2LF Data
Input Data	

Output Data	
Previous Objects	Is Endorsement of Proof Requested? Is Endorsement of Proof Requested?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.129: Task Perform Controls by CCO

Event Time Limit to Decide to Perform Controls

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Time Limit to Decide to Perform Controls
Event Type	Timer intermediate event
Description	The timer for the completion of the decision to perform relevant controls has expired. The control result is "Considered Satisfactory"
Previous Objects	Perform Controls by CCO

Table 5.130: Event Time Limit to Decide to Perform Controls

Task Perform Controls by CCO

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Controls by CCO
Task Type	Call activity (collapsed)
Description	<p>When no National Risk Analysis system is to be consulted or if a National Risk Analysis system is consulted, when the Risk Analysis results is recorded, this process is initiated. In the case that a National Risk Analysis system is consulted and no risk has been identified, the decision to perform relevant controls has to be taken within a specific time limit. If this time limit expires, the control results will deem to be satisfactory.</p> <p>First of all, the Customs Officer has to decide if the relevant controls to verify that the goods are Union goods corresponding to the goods declared in the request, will be performed or not. A decision whether only documentary controls or both documentary and physical controls should take place, in case there is a decision to perform relevant controls. The documentary controls are always performed, the Customs Officer can also decide about the execution of the physical controls and may provide a "Physical Control Decision Explanation". The Customs Officer may update his decision on the execution of Physical Controls when performing documentary controls.</p>

	<p>If a timer has been set and:</p> <ul style="list-style-type: none"> ● no decision by the Customs Officer is taken, the process ends at the time limit and the control results will be considered satisfactory; ● the Customs Officer decides not to perform controls, the process ends and the control results will be considered satisfactory; ● the Customs Officer decides to perform the controls, the timer is cancelled so that the controls are manually completed and the documentary checks will first be done. <p>If no timer has been set and:</p> <ul style="list-style-type: none"> ● the Customs Officer decides not to perform controls, the process ends and the control results will be considered satisfactory; ● the Customs Officer decides to perform the controls, the documentary checks will first be done. <p>Then, the Customs Officer will decide if supplementary documents must be requested from the PC/AI. If that is the case, the Customs Officer will wait for the response from the PC/AI and when the documents are provided they will be controlled. Alternatively, the Customs Officer may decide to provide the documents them self while waiting for the supply of the supplementary documents from the PC/AI. In this case, a notification is sent to the PC/AI that the documents have been provided by the Customs Officer and the process is forwarded to the registration of the control results. The Customs Officer will register the control results based on the documentary checks and decide if physical controls need to be performed or not. In the case physical controls are performed, the Customs Officer will register the control results based on both documentary and physical checks.</p> <p>The final situation of this process will be one of the following:</p> <ul style="list-style-type: none"> * No control has been performed. * The control results have been recorded. * In case a timer function is triggered, the time limit to decide to perform the relevant controls has expired and the control results are considered satisfactory.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-01-01-Proof of Union Status in the Form of T2L or T2LF Data
Input Data	
Output Data	
Previous Objects	Is Endorsement of Proof Requested?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.131: Task Perform Controls by CCO

Event Authorisation time limit to perform controls

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Authorisation time limit to perform controls
Event Type	Timer intermediate event
Description	The time limit to perform the controls is calculated taking into consideration the time when the controls sub-process is initiated plus the value of the Authorisation time limit. When the timer event is activated, the time for the completion of the relevant controls has expired. The control result is "A2 - Considered Satisfactory".
Previous Objects	Perform Controls by CCO

Table 5.132: Event Authorisation time limit to perform controls

Task Record Expiry of Time Limit to Decide to Perform Controls at the CCO

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Expiry of Time Limit to Decide to Perform Controls at the CCO
Task Type	Service task
Description	When the time limit to decide to perform the relevant control expired, this is recorded in the Proof of Union Status system - linked to the reference number. This implies that the relevant control is "A2 - Considered Satisfactory". The state of the endorsement request changes to "E-Waiting for Endorsement Decision". Final Situation: The expiry of the timer to decide to perform the relevant control is recorded. The state of the endorsement request changes to "E-Waiting for Endorsement Decision".
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof
Input Data	Proof Operation Information Relevant Control Decision Completion Expiry Date
Output Data	Endorsement Request Relevant Control Decision Completion Expiry Date State of Endorsement/Registration Request
Previous Objects	Time Limit to Decide to Perform Controls

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Information Exchange	
Requirements	PUS_FUR_0052 PUS_FUR_0006
Data Set	Endorsement Request
Events	Time Limit to Decide to Perform Controls Expiration is Recorded
State	E-Waiting for Endorsement Decision

Table 5.133: Task Record Expiry of Time Limit to Decide to Perform Controls at the CCO

Task Record Expiry of Authorisation Time Limit to Perform Controls at the CCO

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Expiry of Authorisation Time Limit to Perform Controls at the CCO
Task Type	Service task
Description	When the time limit to perform the relevant control expires, this is recorded in the Proof of Union Status system - linked to the reference number. This implies that the relevant control is "A2 - Considered Satisfactory". Final Situation: The expiry of the timer to perform the relevant control is recorded
Actor	Proof of Union Status System
Input Data	LRN Relevant Control Decision Completion Expiry Date
Output Data	Relevant Control Decision Completion Expiry Date
Previous Objects	Authorisation time limit to perform controls
Information Exchange	
Requirements	PUS_FUR_0052 PUS_FUR_0006
Data Set	
Events	
State	

Table 5.134: Task Record Expiry of Authorisation Time Limit to Perform Controls at the CCO

Task Identify If Controls at the CCO Have Been Performed

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Controls at the CCO Have Been Performed
Task Type	Service task
Description	The Proof of Union Status system will identify if the relevant control has been performed or not. Final situation: - The result is "No": no relevant control has been performed. - The result is "Yes": the relevant control has been performed.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof
Input Data	Decision on Performing Relevant Control Indication Proof Operation Information
Output Data	Decision on Performing Relevant Control Indication
Previous Objects	Perform Controls by CCO Perform Controls by CCO Perform Controls by CCO
Information Exchange	
Requirements	PUS_FUR_0031
Data Set	
Events	
State	

Table 5.135: Task Identify If Controls at the CCO Have Been Performed

Task Record Control Results at the CCO Considered Satisfactory

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Control Results at the CCO Considered Satisfactory
Task Type	Service task
Description	When the time limit expiration to decide to perform the relevant control (PC) or the time limit expiration to perform the relevant control (AI) has been recorded, the data elements following the automatic control are automatically recorded in the Proof of Union Status system - linked to the reference number. The data elements are also automatically recorded in the system following the Customs Officer's manual decision not to perform controls.

	<p>In all the above cases, the control result will automatically be set to 'A2 – Considered Satisfactory' for both documentary and physical controls (details regarding the decision to perform the relevant controls, documentary control details, decision to perform physical control and physical control details will automatically be filled including the following justification “Control results automatically considered satisfactory because of timer expiration/manual decision not to perform controls.”).</p> <p>The system also identifies if the request is an endorsement or registration request. If the request is a registration request, the system also keeps track of the proof acceptance date (Registration date).</p> <p>Final situation: The automatic control result is recorded.</p>
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data L4-PUS-01-01-Proof of Union Status in the Form of T2L or T2LF Data L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof
Input Data	Request Type Control Result at Departure Proof Operation Information
Output Data	Control Result at Departure Registration date
Previous Objects	Record Expiry of Authorisation Time Limit to Perform Controls at the CCO Record Expiry of Time Limit to Decide to Perform Controls at the CCO
Information Exchange	
Requirements	PUS_FUR_0006
Data Set	
Events	
State	

Table 5.136: Task Record Control Results at the CCO Considered Satisfactory

Task Identify Control Results at the CCO

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify Control Results at the CCO
Task Type	Service task
Description	The Proof of Union system will identify whether the control result is “A1-Satisfactory” or “P2-Different goods” or “P3-Non-union goods”.

	If only documentary controls has been performed, the documentary control result will be checked else if both documentary and physical controls have been performed, the physical control result will be checked. Final situation: The control result has been identified.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof
Input Data	Control Result at Departure Proof Operation Information
Output Data	Control Result at Departure
Previous Objects	Controls Have Been Performed?
Information Exchange	
Requirements	PUS_FUR_0032
Data Set	
Events	
State	

Table 5.137: Task Identify Control Results at the CCO

Task Record Control Results at the CCO Considered Satisfactory

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Control Results at the CCO Considered Satisfactory
Task Type	Service task
Description	<p>When the time limit expiration to decide to perform the relevant control (PC) or the time limit expiration to perform the relevant control (AI) has been recorded, the data elements following the automatic control are automatically recorded in the Proof of Union Status system - linked to the reference number. The data elements are also automatically recorded in the system following the Customs Officer's manual decision not to perform controls.</p> <p>In all the above cases, the control result will automatically be set to 'A2 – Considered Satisfactory' for both documentary and physical controls (details regarding the decision to perform the relevant controls, documentary control details, decision to perform physical control and physical control details will automatically be filled including the following justification “Control results automatically considered satisfactory because of timer expiration/manual decision not to perform controls.”).</p>

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	The system also identifies if the request is an endorsement or registration request. If the request is a registration request, the system also keeps track of the proof acceptance date (Registration date). Final situation: The automatic control result is recorded.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data L4-PUS-01-01-Proof of Union Status in the Form of T2L or T2LF Data L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof
Input Data	Request Type Control Result at Departure Proof Operation Information
Output Data	Control Result at Departure Registration date
Previous Objects	Controls Have Been Performed?
Information Exchange	
Requirements	PUS_FUR_0006
Data Set	
Events	
State	

Table 5.138: Task Record Control Results at the CCO Considered Satisfactory

Task Identify if Endorsement Is Requested

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Endorsement Is Requested
Task Type	Service task
Description	The system will identify if endorsement of the request is needed or not. When the request comes from an AI, it concerns a registration request and no endorsement decision is needed. When the request comes from a PC, it concerns an endorsement request and the Customs Officer needs to decide if the request should be endorsed or not. Final situation: Yes - The request requires endorsement from the Customs Officer. No - The request does not require endorsement from the Customs Officer.
Actor	Proof of Union Status System

Appears also in the following processes	L4-PUS-01-01-Proof of Union Status in the Form of T2L or T2LF Data L4-PUS-01-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Perform Controls by CCO
Input Data	Request Type LRN
Output Data	Result Check if Endorsement is Requested
Previous Objects	Record Control Results at the CCO Considered Satisfactory Record Control Results at the CCO Considered Satisfactory Control Results is Satisfactory or Different Goods or Non-Union Goods?
Information Exchange	
Requirements	PUS_FUR_0007
Data Set	
Events	
State	

Table 5.139: Task Identify if Endorsement Is Requested

Event Negative Control Results Notification Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Negative Control Results Notification Received
Event Type	Message intermediate event (catch)
Description	The PC/AI receives a notification of the negative control result concerning the endorsement request, because the declared goods are non-union goods or different from the ones controlled.
Previous Objects	Submit T2L/T2LF Endorsement/Registration Request Notify Person Concerned/Authorised Issuer of Negative Control Results

Table 5.140: Event Negative Control Results Notification Received

Task Notify Person Concerned/Authorised Issuer of Negative Control Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Person Concerned/Authorised Issuer of Negative Control Results
Task Type	Send task
Description	When the result of the control at departure is "Non-union goods" or "Different goods", a response notification containing the LRN, the response information with Proof Status "E-Rejected", and the "Control Result at Departure", is automatically sent to the PC/AI. The "Control Result at

	Departure” will include the final control result. So, if both Physical and Documentary Control have been performed, the “Physical Control Result” will be visible to the PC/AI. If only the Documentary Control has been performed the “Documentary Control Result” will be visible to the PC/AI. Final situation: The IE Endorsement/Registration response with Proof Status “E-Rejected” is sent to the PC/AI and the process ends.
Actor	Proof of Union Status System
Input Data	LRN E_PUT_RES
Output Data	
Previous Objects	Control Results is Satisfactory or Different Goods or Non-Union Goods?
Information Exchange	Endorsement/Registration Response
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.141: Task Notify Person Concerned/Authorised Issuer of Negative Control Results

Task Handle Endorsement T2L-T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Endorsement T2L-T2LF
Task Type	Call activity (collapsed)
Description	<p>If the request is an endorsement request and if no control has been performed or the control result is “A1- Satisfactory” or “A2-Considered Satisfactory”, this call activity is initiated. The Customs Officer has to decide if the endorsement request will be accepted or not. By default, this process has to be completed within a specific time limit. If this time limit expires, the endorsement will deem to be confirmed. If the requested validity of the Proof is longer than the default value of 90 days, the Customs Officer will have to decide if the longer requested validity will be accepted or not. In this case, the timer set to allow for the automatic endorsement is cancelled and the Customs Officer will have to provide a decision. The final situation of this process will be one of the following:</p> <ul style="list-style-type: none"> ● The endorsement of the Proof has been rejected; ● The endorsement of the Proof has been confirmed; ● The time limit to handle the endorsement has expired.

Actor	Proof of Union Status System
Input Data	
Output Data	
Previous Objects	Is Endorsement of Proof Requested?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.142: Task Handle Endorsement T2L-T2LF

Event Time Limit to Handle Endorsement

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Time Limit to Handle Endorsement
Event Type	Timer intermediate event
Description	The timer for the completion of the endorsement has expired. The endorsement is deemed to be confirmed.
Previous Objects	Handle Endorsement T2L-T2LF

Table 5.143: Event Time Limit to Handle Endorsement

Event Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Rejected
Event Type	End event
Description	The process ends here when the Endorsement Request is not endorsed and has been rejected.
Appears also in the following processes	L4-PUS-01-01-Proof of Union Status in the Form of T2L or T2LF Data L4-PUS-01-01-03-Handle Endorsement of a Proof in the Form of T2L or T2LF Data
Previous Objects	Negative Control Results Notification Received

Table 5.144: Event Rejected

Event Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
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Event Name	Rejected
Event Type	End event
Description	The process ends here when the Endorsement Request is not endorsed and has been rejected.
Appears also in the following processes	L4-PUS-01-01-Proof of Union Status in the Form of T2L or T2LF Data L4-PUS-01-01-03-Handle Endorsement of a Proof in the Form of T2L or T2LF Data
Previous Objects	Notify Person Concerned/Authorised Issuer of Negative Control Results

Table 5.145: Event Rejected

Task Identify If Endorsement Is Confirmed

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Endorsement Is Confirmed
Task Type	Service task
Description	The Proof of Union Status system will identify whether the endorsement is confirmed Final situation: Yes – The endorsement has been confirmed, the endorsement decision is "Yes" and the proof is to be registered. No – The endorsement has been rejected, the endorsement decision is "No" and a respective notification must be sent to the PC.
Actor	Proof of Union Status System
Input Data	Endorsement LRN
Output Data	Result Check If Endorsement is Confirmed
Previous Objects	Handle Endorsement T2L-T2LF
Information Exchange	
Requirements	PUS_FUR_0034
Data Set	
Events	
State	

Table 5.146: Task Identify If Endorsement Is Confirmed

Task Record Expiry of Time Limit to Handle Endorsement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Expiry of Time Limit to Handle Endorsement
Task Type	Service task
Description	<p>When the time limit to complete the endorsement of a Proof expired, this is recorded in the Proof of Union Status system - linked to the reference number. This implies that the Proof is deemed to be confirmed.</p> <p>Final Situation: The expiry of the timer to complete the endorsement of a Proof is recorded.</p>
Actor	Proof of Union Status System
Input Data	LRN Endorsement Completion Expiry Date
Output Data	Endorsement Completion Expiry Date
Previous Objects	Time Limit to Handle Endorsement
Information Exchange	
Requirements	PUS_FUR_0006 PUS_FUR_0023
Data Set	
Events	
State	

Table 5.147: Task Record Expiry of Time Limit to Handle Endorsement

Task Record Endorsement Deemed to Be Confirmed

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Endorsement Deemed to Be Confirmed
Task Type	Service task
Description	<p>When the time limit expiration to complete the endorsement of a Proof has been recorded, the data elements following the automatic processing of endorsement are automatically recorded in the Proof of Union Status system - linked to the reference number.</p> <p>The endorsement decision will automatically be set to 'Yes' including the following explanation "Proof endorsement automatically deemed to be confirmed because of timer expiration." and the System keeps track of the "Endorsement Decision Date" and proof acceptance date (Registration date). If the requested validity is longer than 90 days, the "Requested Validity of the Proof" data element will be automatically set to the default value of 90 days.</p> <p>Final situation:</p>

	The automatic endorsement details are recorded.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof
Input Data	Proof Operation Information Endorsement Requested Validity of the proof
Output Data	Registration date Requested Validity of the proof Endorsement
Previous Objects	Record Expiry of Time Limit to Handle Endorsement
Information Exchange	
Requirements	PUS_FUR_0006
Data Set	
Events	
State	

Table 5.148: Task Record Endorsement Deemed to Be Confirmed

Event Rejection of Endorsement Notification Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Rejection of Endorsement Notification Received
Event Type	Message intermediate event (catch)
Description	The PC receives a notification of the rejection of the endorsement request.
Previous Objects	Notify Person Concerned of Rejection of Endorsement - T2L-T2LF Submit T2L/T2LF Endorsement/Registration Request

Table 5.149: Event Rejection of Endorsement Notification Received

Task Notify Person Concerned of Rejection of Endorsement - T2L-T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Person Concerned of Rejection of Endorsement - T2L-T2LF
Task Type	Send task
Description	When the endorsement was rejected, a response notification containing the LRN, the endorsement decision details (Endorsement Decision Date,

	Endorsement Decision and Endorsement Decision Explanation), is automatically sent to the PC. Final situation: The IE Endorsement/Registration Response indicating the rejection of the request is sent to the PC and the process ends.
Actor	Proof of Union Status System
Input Data	E_PUT_RES LRN
Output Data	
Previous Objects	Confirm Endorsement?
Information Exchange	Endorsement/Registration Response
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.150: Task Notify Person Concerned of Rejection of Endorsement - T2L-T2LF

Task Register Proof

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Proof
Task Type	Subprocess
Description	<p>The proof is currently to be registered. When this occurs, the Proof of Union Status system will issue an MRN and set a validity period.</p> <p>First, an MRN will be automatically generated by the Proof of Union Status system and allocated to the Endorsement/Registration request. The MRN will serve as a unique identifier for the proof and will be used to refer to the proof for all future transactions.</p> <p>After allocating the MRN, the validity period is set. If no specific period is specified in the Endorsement/Registration request, the period will be based on the default value of 90 days. Alternatively, the PC or AI may request an alternative validity period as per the “Requested Validity of the Proof” (expressed in days) referenced in the Endorsement/Registration request. The “Validity End Date” is automatically calculated. The duration is based on calendar days - not working days - and is calculated from the proof acceptance date (Registration date).</p> <p>When “Register Proof” is completed, the process will continue to store the Proof.</p> <p>Final situation: An MRN is issued and the validity period is set.</p>

Actor	Proof of Union Status System
Input Data	
Output Data	
Previous Objects	Set Validity Period - T2L-T2LF Issue Master Reference Number - T2L-T2LF Proof Is to Be Registered Is Endorsement of Proof Requested? Confirm Endorsement? Record Endorsement Deemed to Be Confirmed Proof Registered
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.151: Task Register Proof

Register Proof: Event Proof Is to Be Registered

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof Is to Be Registered
Event Type	Start event
Description	This subprocess starts when it is identified that it concerns a Registration Request or when an Endorsement Request is confirmed.
Previous Objects	

Table 5.152: Event Proof Is to Be Registered

Register Proof: Task Issue Master Reference Number - T2L-T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Issue Master Reference Number - T2L-T2LF
Task Type	Service task
Description	At this point, a master reference number (MRN) will be automatically generated by the Proof of Union Status system. Final situation: The Proof is assigned an MRN number.
Actor	
Input Data	LRN
Output Data	MRN

Previous Objects	Proof Is to Be Registered
Information Exchange	
Requirements	PUS_FUR_0009
Data Set	
Events	
State	

Table 5.153: Task Issue Master Reference Number - T2L-T2LF

Register Proof: Task Set Validity Period - T2L-T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Set Validity Period - T2L-T2LF
Task Type	Service task
Description	<p>After allocating the MRN, the validity end date is set based upon the proof acceptance date (Registration date) and the requested validity of the proof (Number of Days).</p> <p>In case of a request from the PC for a validity period longer than 90 days, a decision from the Customs Officer has already be taken just after the endorsement decision.</p> <p>The validity end date is recorded in this task.</p> <p>The system also identifies if the request is an endorsement or registration request.</p> <p>The state of the endorsement request changes to:</p> <ul style="list-style-type: none"> ● “E-Registered”, when an MRN is issued and the validity period is set. <p>The state of the registration request (depending on the request type) changes to "E-Registered", when:</p> <ul style="list-style-type: none"> ● the control is not to be performed AND an MRN is issued and the validity period is set; ● the authorisation time limit to perform controls expiration is recorded AND an MRN is issued and the validity period is set; ● the documentary control result is "A1-Satisfactory" AND no physical control is to be performed AND an MRN is issued and the validity period is set; ● the physical control result is "A1-Satisfactory" AND an MRN is issued and the validity period is set.
Actor	
Input Data	Registration date MRN Request Type Requested Validity of the proof Departure Analysis

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Output Data	Endorsement Request Registration Request State of Endorsement/Registration Request Validity end date
Previous Objects	Issue Master Reference Number - T2L-T2LF
Information Exchange	
Requirements	PUS_FUR_0010 PUS_FUR_0008
Data Set	Registration Request Endorsement Request
Events	Physical Control Result is "A1-Satisfactory" AND MRN is issued and Validity Period is Set MRN is issued and Validity Period is Set Control is not to be Performed AND MRN is issued and Validity Period is Set Documentary Control Result is "A1-Satisfactory" AND no Physical Control is to be Performed AND MRN is Issued and Validity Period is Set Authorisation Time Limit to Perform Controls Expiration is Recorded AND MRN is Issued and Validity Period is Set
State	E-Registered

Table 5.154: Task Set Validity Period - T2L-T2LF

Register Proof: Event Proof Registered

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof Registered
Event Type	End event
Description	This subprocess ends when the Endorsement/Registration Request has received an MRN and a validity period. The initiating process can continue.
Previous Objects	Set Validity Period - T2L-T2LF

Table 5.155: Event Proof Registered

Event Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Rejected

Event Type	End event
Description	The process ends here when the Endorsement Request is not endorsed and has been rejected.
Appears also in the following processes	L4-PUS-01-01-Proof of Union Status in the Form of T2L or T2LF Data L4-PUS-01-01-03-Handle Endorsement of a Proof in the Form of T2L or T2LF Data
Previous Objects	Notify Person Concerned of Rejection of Endorsement - T2L-T2LF

Table 5.156: Event Rejected

Event Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Rejected
Event Type	End event
Description	The process ends here when the Endorsement Request is not endorsed and has been rejected.
Appears also in the following processes	L4-PUS-01-01-Proof of Union Status in the Form of T2L or T2LF Data L4-PUS-01-01-03-Handle Endorsement of a Proof in the Form of T2L or T2LF Data
Previous Objects	Rejection of Endorsement Notification Received

Table 5.157: Event Rejected

Task Store Proof of Union Status in the Form of T2L or T2LF Data in Central Repository

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Store Proof of Union Status in the Form of T2L or T2LF Data in Central Repository
Task Type	Call activity (collapsed)
Description	The process starts when the Proof is registered or upon request of a National Proof of Union Status system through an exposed web service. The Proof of Union Status system send the proof and all its details to the CR to make it centrally available to all Member States. The final situation of this process will be the following: The complete proof is recorded in the CR and is now centrally available.
Actor	Proof of Union Status System
Input Data	
Output Data	
Previous Objects	Register Proof Central Repository

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Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.158: Task Store Proof of Union Status in the Form of T2L or T2LF Data in Central Repository

Event Receive Endorsement / Registration Response Notification

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive Endorsement / Registration Response Notification
Event Type	Message intermediate event (catch)
Description	The PC or AI receives a notification of the creation of the Proof.
Previous Objects	Submit T2L/T2LF Endorsement/Registration Request Notify Confirmation of Proof - T2L-T2LF

Table 5.159: Event Receive Endorsement / Registration Response Notification

Task Notify Confirmation of Proof - T2L-T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Confirmation of Proof - T2L-T2LF
Task Type	Send task
Description	The MRN and the details of the proof are automatically sent to the Person Concerned/Authorised Issuer in the Endorsement/Registration Response with Proof Status "E-Registered". Final situation: Endorsement/Registration Response with Proof Status "E-Registered" is sent to the Person Concerned or Authorised Issuer depending on the request type.
Actor	Proof of Union Status System
Input Data	E_PUT_RES
Output Data	
Previous Objects	Store Proof of Union Status in the Form of T2L or T2LF Data in Central Repository
Information Exchange	Endorsement/Registration Response

Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.160: Task Notify Confirmation of Proof - T2L-T2LF

Event Endorsement/Registration Request Successfully Processed

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Endorsement/Registration Request Successfully Processed
Event Type	End event
Description	This process ends when the Endorsement/Registration Request was successfully processed.
Appears also in the following processes	L4-PUS-01-01-Proof of Union Status in the Form of T2L or T2LF Data
Previous Objects	Receive Endorsement / Registration Response Notification

Table 5.161: Event Endorsement/Registration Request Successfully Processed

Event Endorsement/Registration Request Successfully Processed

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Endorsement/Registration Request Successfully Processed
Event Type	End event
Description	This process ends when the Endorsement/Registration Request was successfully processed.
Appears also in the following processes	L4-PUS-01-01-Proof of Union Status in the Form of T2L or T2LF Data
Previous Objects	Notify Confirmation of Proof - T2L-T2LF

Table 5.162: Event Endorsement/Registration Request Successfully Processed

5.8. Process L4-PUS-01-02-01-Risk Consultation for Use of Proof in the Form of T2L or T2LF Data

5.8.1. Objective of Process

This L4 process triggers the risk analysis process which is carried out by the national risk analysis system. The retrieved T2L/F Proof data is sent to the risk analysis system and processed against risk rules (including common risk criteria and standards where applicable). The risk analysis system sends back the risk analysis result. This process has to be completed within a specific time limit.

The final result of this process is the following:

- the risk analysis response is received;
- the Time Limit to Receive Risk Analysis Result has expired and this process is stopped.

5.8.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-PUS-01-02-01
Full Name	Risk Consultation for Use of Proof in the Form of T2L or T2LF Data
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-PUS-01-03
Existing Specifications	

Table 5.163: Process Details

5.8.3. Tasks and Events

Event National Risk Analysis system is to be consulted

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	National Risk Analysis system is to be consulted
Event Type	Conditional start event
Description	National Risk Analysis system is to be consulted
Previous Objects	

Table 5.164: Event National Risk Analysis system is to be consulted

Task Notify Competent Member State that Risk Analysis is to be Performed for PoUS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Competent Member State that Risk Analysis is to be Performed for PoUS
Task Type	Send task
Description	The competent Member State is informed that a risk analysis is requested and receives all data needed to perform risk analysis.
Actor	Proof of Union Status System
Input Data	Goods Shipment for T2L-T2LF for Risk Analysis Risk Analysis Request Date C_RET_RPQ Proof Operation Information Proof Information for T2L-T2LF for Risk Analysis Member State

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Output Data	
Previous Objects	National Risk Analysis system is to be consulted
Information Exchange	Risk Analysis Request for Use of Proof T2L/T2LF
Requirements	PUS_FUR_0020
Data Set	
Events	
State	

Table 5.165: Task Notify Competent Member State that Risk Analysis is to be Performed for PoUS

Event Risk Analysis Response from the Competent MS Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Risk Analysis Response from the Competent MS Received
Event Type	Message intermediate event (catch)
Description	This event indicates that the Proof of Union Status system has received the risk analysis response from the competent Member State.
Previous Objects	Member State Competent for Risk Analysis Notify Competent Member State that Risk Analysis is to be Performed for PoUS

Table 5.166: Event Risk Analysis Response from the Competent MS Received

Task Record Risk Analysis Response from Competent MS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Risk Analysis Response from Competent MS
Task Type	Service task
Description	When the risk analysis response is received it is automatically recorded in the Proof of Union Status system. The state of the presented T2L/T2LF Proof changes to "P-Waiting Control Decision".
Actor	Proof of Union Status System
Input Data	
Output Data	State of T2L/T2LF Proof Risk Analysis Result Date T2L/T2LF Proof Risk Analysis Result Information Member State
Previous Objects	Risk Analysis Response from the Competent MS Received

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Information Exchange	Risk Analysis Response
Requirements	PUS_FUR_0006 PUS_FUR_0020
Data Set	T2L/T2LF Proof
Events	National RA Result is Recorded
State	P-Waiting Control Decision

Table 5.167: Task Record Risk Analysis Response from Competent MS

Event Risk Analysis Response Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Risk Analysis Response Received
Event Type	End event
Description	This process ends when the risk analysis response was successfully received and recorded in the Proof of Union Status system.
Previous Objects	Record Risk Analysis Response from Competent MS

Table 5.168: Event Risk Analysis Response Received

5.9. Process L4-PUS-01-02-02-Handle Proof Usage Registration in the Form of T2L or T2LF Data

5.9.1. Objective of Process

When a Proof in the form of T2L or T2LF data has been presented, retrieved in the CR, identified as valid to be used and no risk has been identified or the control results are positive, this process is initiated. The Customs Officer must decide whether the retrieved Proof will be used or not.

The final situation of this process will be the following:

The decision on the use of proof has been recorded.

5.9.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-PUS-01-02-02
Full Name	Handle Proof Usage Registration in the Form of T2L or T2LF Data
Assumptions	N/A

Constraints	No constraints.
L3 Reference Models	L3-PUS-01-03
Existing Specifications	

Table 5.169: Process Details

5.9.3. Tasks and Events

Event Proof Usage to Be Registered

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof Usage to Be Registered
Event Type	Start event
Description	When a retrieved Proof in the form of T2L or T2LF document is valid to be used, this process will be initiated.
Previous Objects	

Table 5.170: Event Proof Usage to Be Registered

Task Register Decision on Use of Proof - T2L-T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Decision on Use of Proof - T2L-T2LF
Task Type	User task
Description	<p>When the Proof of Union system has automatically checked that the proof is valid for use and no risk has been identified or the Customs Officer has performed all the required controls on the fact that the proof refers to the goods presented and the control result is either "A1 – Satisfactory" or "A2 - Considered Satisfactory" or "P4 - Missing goods" or "P5 - Excess goods", the Customs Officer takes a decision whether the proof is to be used or not. If the proof usage decision is "No", the Customs Officer has to provide an "Proof Usage Decision Explanation" and the Proof is not to be used, otherwise the Proof is to be used and explanation is optional.</p> <p>Final situation: The Customs Officer registered one of the following results:</p> <ul style="list-style-type: none"> - The Proof is to be used; or - The Proof is not to be used.
Actor	Customs Officer
Input Data	MRN Perform Physical Control Decision Execution of Relevant Control Decision C_RET_RPQ Risk Analysis Result Details Control Result at Arrival

Output Data	Use of Proof Information
Previous Objects	Proof Usage to Be Registered
Information Exchange	
Requirements	PUS_FUR_0004
Data Set	
Events	
State	

Table 5.171: Task Register Decision on Use of Proof - T2L-T2LF

Task Record Decision on Use of Proof - T2L-T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Decision on Use of Proof - T2L-T2LF
Task Type	Service task
Description	<p>When the Customs Officer registered the decision on the use of the Proof, the data elements following this registration are automatically recorded in the Proof of Union Status system - linked to the reference number. The system keeps track of the "Proof Usage Decision Date".</p> <p>The state of the presented T2L/T2LF Proof changes to:</p> <ul style="list-style-type: none"> ● "P-Used", if the proof usage decision is "Yes"; ● "E-Registered", if the proof usage decision is "No".
Actor	Proof of Union Status System
Input Data	MRN Use of Proof Information
Output Data	Use of Proof Information T2L/T2LF Proof State of T2L/T2LF Proof
Previous Objects	Register Decision on Use of Proof - T2L-T2LF
Information Exchange	
Requirements	PUS_FUR_0006 PUS_FUR_0040
Data Set	T2L/T2LF Proof
Events	Positive Proof Usage Decision is Recorded Negative Proof Usage Decision is Recorded

State	E-Registered P-Used
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Table 5.172: Task Record Decision on Use of Proof - T2L-T2LF

Event Proof Usage Decision Recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof Usage Decision Recorded
Event Type	End event
Description	The process ends here when the use of Proof decision has been recorded.
Previous Objects	Record Decision on Use of Proof - T2L-T2LF

Table 5.173: Event Proof Usage Decision Recorded

5.10. Process L4-PUS-01-02-03-Store Usage of Proof of Union Status in the Form of T2L or T2LF Data in Central Repository

5.10.1. Objective of Process

The process starts when the usage details of proof have been registered by the Customs Officer or upon request of a National Proof of Union Status system through an exposed web service. The Proof of Union Status system sends an update proof query to the CR, to store the usage details of the proof and make them centrally available to all Member States.

The final situation of this process will be the following:

The usage details of the proof are recorded in the CR and are now centrally available.

5.10.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-PUS-01-02-03
Full Name	Store Usage of Proof of Union Status in the Form of T2L or T2LF Data in Central Repository
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-PUS-01-03
Existing Specifications	

Table 5.174: Process Details

5.10.3. Tasks and Events

Event Proof of Union Status in the Form of T2L or T2LF data is to be Updated in Central Repository to Include Usage of Proof Data

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof of Union Status in the Form of T2L or T2LF data is to be Updated in Central Repository to Include Usage of Proof Data
Event Type	Start event
Description	The process starts when the details of a Proof in the CR need to be updated to include the usage of Proof data.
Previous Objects	

Table 5.175: Event Proof of Union Status in the Form of T2L or T2LF data is to be Updated in Central Repository to Include Usage of Proof Data

Event Request from National System Received in order to Store Proof Usage in Central Repository

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Request from National System Received in order to Store Proof Usage in Central Repository
Event Type	Message start event
Description	The process starts upon request of a National Proof of Union Status system through an exposed web service offered to the National systems, in order to update the details of a national Proof in the CR to include the usage of Proof data (for both cases Use of Proof / Non-Use of Proof).
Appears also in the following processes	L4-PUS-02-02-03-Store Usage of Proof of Union Status in the Form of CGM Data in Central Repository
Previous Objects	National Proof of Union Status System

Table 5.176: Event Request from National System Received in order to Store Proof Usage in Central Repository

Task Perform Validation of Proof Update Data Request from National System

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Validation of Proof Update Data Request from National System
Task Type	Service task
Description	<p>When the Proof update data is received from a National Proof of Union Status system, it must be technically validated.</p> <p>Final situation:</p> <ul style="list-style-type: none"> - The result of the validation is positive: there are no validation errors and the Proof update data is valid. - The result of the validation is negative: the Proof update data contains validation errors and is invalid. The National Proof of Union system will be

	notified of the validation errors.
Actor	Proof of Union Status System
Input Data	MRN
Output Data	National System Request Validation Result C_NPU_RUP
Previous Objects	Request from National System Received in order to Store Proof Usage in Central Repository
Information Exchange	Register Use of Proof from National System T2L/T2LF
Requirements	PUS_FUR_0001 PUS_FUR_0002 PUS_FUR_0003 PUS_FUR_0036
Data Set	
Events	
State	

Table 5.177: Task Perform Validation of Proof Update Data Request from National System

Task Record Proof Update Data Request from National System - T2L - T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Proof Update Data Request from National System - T2L - T2LF
Task Type	Service task
Description	When the Proof of Union Status system receives the usage details of a proof from a National Proof of Union system the data elements following this are automatically recorded in the Proof of Union Status system - linked to the reference number.
Actor	Proof of Union Status System
Input Data	C_NPU_RUP MRN
Output Data	C_NPU_RUP
Previous Objects	Is Validation Result Positive?
Information Exchange	
Requirements	PUS_FUR_0006

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Data Set	
Events	
State	

Table 5.178: Task Record Proof Update Data Request from National System - T2L - T2LF

Task Notify National System about Validation Errors

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify National System about Validation Errors
Task Type	Send task
Description	<p>When the Proof update data received from a National Proof of Union Status system is invalid, a validation error notification is automatically sent to the National System containing more details on the validation errors. The process will end here.</p> <p>Final situation: A validation error notification (IEP40 National System Request Rejected) is sent to the National System, and the process ends.</p>
Actor	Proof of Union Status System
Input Data	C_NPU_RUP National System Request Validation Result
Output Data	
Previous Objects	Is Validation Result Positive?
Information Exchange	National System Request Rejected
Requirements	PUS_FUR_0036
Data Set	
Events	
State	

Table 5.179: Task Notify National System about Validation Errors

Event National System Notified of Validation Errors

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	National System Notified of Validation Errors
Event Type	End event
Description	When the Proof Presented is technically invalid, the National System will receive a validation error notification and the process will end.
Appears also in the following	L4-PUS-02-02-03-Store Usage of Proof of Union Status in the Form of

processes	CGM Data in Central Repository
Previous Objects	Notify National System about Validation Errors

Table 5.180: Event National System Notified of Validation Errors

Task Store Use of Proof - T2L-T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Store Use of Proof - T2L-T2LF
Task Type	Send task
Description	<p>When the Proof of Union Status system sends the usage details of a proof to the CR, the system will wait for a reply/confirmation that the usage details of the proof information have been successfully stored. All information, related to the usage of the proof of union status, will be included in the update of the Proof of Union status assigned to the given MRN. All presentation documents (either the ones provided in the presentation notification or the ones provided as supplementary documents as requested from the PCO), will be appended in the list of documents stored in CR when the Proof was registered (at the Goods Shipment or Goods Item level (or both)). If the process has been initiated from a National Proof of Union Status system, all this information will be retrieved from the message received by the national system.</p> <p>Final situation: The updated proof is recorded in the CR and is now centrally available.</p>
Actor	Proof of Union Status System
Input Data	MRN Goods Shipment for T2L-T2LF Proof Usage Data for Storage
Output Data	C_PUT_RUP
Previous Objects	Proof of Union Status in the Form of T2L or T2LF data is to be Updated in Central Repository to Include Usage of Proof Data Record Proof Update Data Request from National System - T2L - T2LF
Information Exchange	Register Use of Proof - T2L-T2LF
Requirements	PUS_FUR_0011 PUS_FUR_0006
Data Set	
Events	
State	

Table 5.181: Task Store Use of Proof - T2L-T2LF

Event Receipt of Notification for the Usage of Proof

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receipt of Notification for the Usage of Proof
Event Type	Message intermediate event (catch)
Description	This event indicates that the Proof of Union Status system receives the reply/confirmation from the CR, that the proof assigned to the given MRN has been successfully updated with the usage details.
Appears also in the following processes	L4-PUS-02-02-03-Store Usage of Proof of Union Status in the Form of CGM Data in Central Repository
Previous Objects	Store Use of Proof - T2L-T2LF Central Repository

Table 5.182: Event Receipt of Notification for the Usage of Proof

Task Record Notification for Proof Usage - T2L - T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Notification for Proof Usage - T2L - T2LF
Task Type	Service task
Description	When the confirmation from the CR, that the proof assigned to the given MRN has been successfully updated is received, the data elements following this are automatically recorded in the Proof of Union Status system - linked to the reference number.
Actor	Proof of Union Status System
Input Data	MRN
Output Data	C_REP_NOT
Previous Objects	Receipt of Notification for the Usage of Proof
Information Exchange	Notification for the Registration of Use of Proof
Requirements	PUS_FUR_0006
Data Set	
Events	
State	

Table 5.183: Task Record Notification for Proof Usage - T2L - T2LF

Task Identify if Updated Proof was Handled in National PoUS System - T2L - T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Updated Proof was Handled in National PoUS System - T2L - T2LF
Task Type	Service task
Description	The system will identify if the proof that has been updated with the usage details, was handled in the central Proof of Union Status system or in a National system. Final situation: Yes - The Proof was handled in a National Proof of Union Status system. No - The Proof was handled in the central Proof of Union Status system.
Actor	Proof of Union Status System
Input Data	National System - NMSW Request Indication MRN
Output Data	Result Check if Request is from National PoUS System / Other National System
Previous Objects	Record Notification for Proof Usage - T2L - T2LF
Information Exchange	
Requirements	PUS_FUR_0037
Data Set	
Events	
State	

Table 5.184: Task Identify if Updated Proof was Handled in National PoUS System - T2L - T2LF

Task Notify National PoUS System about Proof Usage - T2L-T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify National PoUS System about Proof Usage - T2L-T2LF
Task Type	Send task
Description	When the Proof is updated with the usage details in the CR and the source of these data is a National Proof of Union Status system, a confirmation message is automatically sent to the National system to confirm the successful update of the proof. Final situation: Notification of successful proof update in the CR is sent to the National

	Proof of Union Status system from which the data were received.
Actor	Proof of Union Status System
Input Data	C_REP_NOT
Output Data	
Previous Objects	Is Proof from National PoUS System?
Information Exchange	Notification for the Registration of Use of Proof
Requirements	PUS_FUR_0036
Data Set	
Events	
State	

Table 5.185: Task Notify National PoUS System about Proof Usage - T2L-T2LF

Event Proof Usage Stored in Central Repository

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof Usage Stored in Central Repository
Event Type	End event
Description	This process ends when the Proof usage is stored in the CR.
Appears also in the following processes	L4-PUS-02-02-03-Store Usage of Proof of Union Status in the Form of CGM Data in Central Repository
Previous Objects	Notify National PoUS System about Proof Usage - T2L-T2LF Is Proof from National PoUS System?

Table 5.186: Event Proof Usage Stored in Central Repository

5.11. Process L4-PUS-01-02-04-Present Proof of Union Status in the Form of T2L or T2LF Data - Perform Controls by PCO

5.11.1. Objective of Process

When no National Risk Analysis system is to be consulted or if a National Risk Analysis system is consulted, when the Risk Analysis results is recorded, this process is initiated. First of all, the Customs Officer has to decide if the relevant controls to verify that the goods are Union goods corresponding to the goods declared in the presented Proof, will be performed or not.

In the case that a National Risk Analysis system is consulted and no risk has been identified, this decision has to be taken within a specific time limit. If this time limit expires, the control results will deem to be satisfactory.

If a timer has been set and:

- the Customs Officer decides not to perform controls, the process ends and the control results will be

considered satisfactory;

- the Customs Officer decides to perform the controls, the timer is cancelled so that the controls are manually completed and the documentary checks will first be done.

If no timer has been set and:

- the Customs Officer decides not to perform controls, the process ends and the control results will be considered satisfactory;

- the Customs Officer decides to perform the controls, the documentary checks will first be done.

Then, the Customs Officer will decide if supplementary documents must be requested from the EO at Arrival. If that is the case, the Customs Officer will wait for the response from the EO at Arrival and when the documents are provided they will be controlled. Alternatively, the Customs Officer may decide to provide the documents them self while waiting for the supply of the supplementary documents from the EO. In this case, a notification is sent to the EO that the documents have been provided by the Customs Officer and the process is forwarded to the registration of the control results.

The Customs Officer will register the control results based on the documentary checks and decide if physical controls need to be performed or not. In the case physical controls are performed, the Customs Officer will register the control results based on both documentary and physical checks.

The final situation of this process will be one of the following:

- No control has been performed.
- The control results have been recorded.

5.11.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-PUS-01-02-04
Full Name	Present Proof of Union Status in the Form of T2L or T2LF Data - Perform Controls by PCO
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-PUS-01-03
Existing Specifications	

Table 5.187: Process Details

5.11.3. Tasks and Events

Event Risk Analysis Recorded or No National Risk Analysis System was Consulted

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Risk Analysis Recorded or No National Risk Analysis System was Consulted
Event Type	Conditional start event
Description	This process starts when the Risk Analysis result has been recorded or no National Risk Analysis System was consulted.
Appears also in the following processes	L4-PUS-02-02-04-Present Proof of Union Status in the Form of CGM Data - Perform Controls by PCO

Previous Objects	

Table 5.188: Event Risk Analysis Recorded or No National Risk Analysis System was Consulted

Task Register Decision to Perform Relevant Control and Level of Control at the PCO

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Decision to Perform Relevant Control and Level of Control at the PCO
Task Type	User task
Description	<p>A Customs Officer must be able to view the details of the retrieved proof that is presented and the result of the risk analysis (in case a risk analysis system was consulted) via the user interface of the Proof of Union Status system. The Customs Officer reviews the content and documents the details for:</p> <ul style="list-style-type: none"> ● A decision regarding the execution of relevant controls. If Risk Analysis system is consulted and risk is identified (thus a control is recommended) then the "Relevant Control Decision Explanation" must be provided; ● A decision whether only documentary controls or both documentary and physical controls should take place, in case there is a decision to perform relevant controls. The documentary controls are always performed, the Customs Officer can decide about the execution of the physical controls and may provide a "Physical Control Decision Explanation". <p>Final situation: The Customs Officer registers one of the following results:</p> <ul style="list-style-type: none"> -The result is no: the relevant control is not to be performed; -The result is yes with documentary controls: the relevant control is to be performed with documentary controls only; -The result is yes with documentary controls and physical controls to be performed.".
Actor	Customs Officer
Input Data	MRN C_RET_RPQ Risk Analysis Result Details
Output Data	Execution of Relevant Control Decision Perform Physical Control Decision
Previous Objects	Risk Analysis Recorded or No National Risk Analysis System was Consulted
Information Exchange	
Requirements	PUS_FUR_0004

Data Set	
Events	
State	

Table 5.189: Task Register Decision to Perform Relevant Control and Level of Control at the PCO

Task Register Documentary Controls or Decision to Request Supplementary Documents and Optionally Update Decision on Physical Controls

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Documentary Controls or Decision to Request Supplementary Documents and Optionally Update Decision on Physical Controls
Task Type	User task
Description	<p>Based on the decision to perform relevant controls or not, a Customs Officer will first, manually execute documentary controls on the presented goods to verify that the goods are corresponding to the goods declared in the retrieved presented proof.</p> <p>In case supplementary documents are required, the Customs Officer registers the requested supplementary documents on Goods Shipment and/or Goods Item level, so that the EO at Arrival provides them.</p> <p>In case no supplementary documents are needed the Customs Officer registers the result of the control based on the documentary checks and may provide a "Control Result Explanation".</p> <p>The result of the control may be one of the following:</p> <ul style="list-style-type: none"> ● “A1 – Satisfactory”; ● “P2 – Different goods”; ● “P4 – Missing goods”; ● “P5 – Excess goods”. <p>The Customs Officer may update his decision on the execution of Physical Controls. If the Customs Officer checked the option about performing physical controls in the initial task of the Process, in this step the relevant decision can be revisited and a decision not to perform the physical controls may be entered. On the opposite case, the decision to perform physical controls may be entered in this step if it hadn't been decided in the first step.</p> <p>Additionally, the CO may define as non-applicable any of the already provided document in the presentation notification at Goods Shipment or Goods Item level.</p> <p>Final situation:</p> <p>The Customs Officer registers one of the following:</p> <ul style="list-style-type: none"> - Supplementary documents are needed, the requested supplementary document are registered on Goods Shipment and/or Goods Item level and optionally the decision to perform Physical Controls is revisited; or - No supplementary documents are needed; the result of the control is registered and optionally the decision to perform Physical Controls is revisited.

Actor	Customs Officer
Input Data	C_RET_RPQ Risk Analysis Result Details Perform Physical Control Decision Execution of Relevant Control Decision MRN
Output Data	Perform Physical Control Decision Documentary Control at Arrival Requested Supplementary Documents – T2L-T2LF Goods Shipment for T2L-T2LF
Previous Objects	Has a time limit been set? Cancel Time Limit to Decide to Perform Controls at the PCO
Information Exchange	
Requirements	PUS_FUR_0004
Data Set	
Events	
State	

Table 5.190: Task Register Documentary Controls or Decision to Request Supplementary Documents and Optionally Update Decision on Physical Controls

Task Cancel Time Limit to Decide to Perform Controls at the PCO

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Cancel Time Limit to Decide to Perform Controls at the PCO
Task Type	Service task
Description	When the system has identified that a time limit to decide to perform controls at the PCO has been set and the Customs Officer has decided to perform controls, the timer is cancelled so that the controls are manually completed.
Actor	Proof of Union Status System
Input Data	Execution of Relevant Control Decision MRN Relevant Control Decision Completion Expiry Date
Output Data	Relevant Control Decision Completion Expiry Date
Previous Objects	Has a time limit been set?
Information Exchange	

Requirements	PUS_FUR_0064
Data Set	
Events	
State	

Table 5.191: Task Cancel Time Limit to Decide to Perform Controls at the PCO

Task Identify if Time Limit to Decide to Perform Controls at the PCO has been set

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Time Limit to Decide to Perform Controls at the PCO has been set
Task Type	Service task
Description	When the Customs Officer registered the decision to perform relevant controls, the system identifies if a time limit to decide to perform controls at the PCO has been set.
Actor	Proof of Union Status System
Input Data	Relevant Control Decision Completion Expiry Date MRN
Output Data	Result Check if time limit to decide to perform controls has been set
Previous Objects	Is Control to be Performed?
Information Exchange	
Requirements	PUS_FUR_0063
Data Set	
Events	
State	

Table 5.192: Task Identify if Time Limit to Decide to Perform Controls at the PCO has been set

Task Record Decision to Perform Relevant Control and Level of Control at the PCO

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Decision to Perform Relevant Control and Level of Control at the PCO
Task Type	Service task
Description	When the Customs Officer registered the decision for the execution of relevant control and level of control, the data elements following this registration are automatically recorded in the Proof of Union Status system

	- linked to the reference number. When the control is to be performed, the state of the presented T2L/T2LF Proof changes to "P-Waiting for Documentary Control Result". When the control is not to be performed, the state of the presented T2L/T2LF Proof changes to "P-Waiting Proof Usage Decision".
Actor	Proof of Union Status System
Input Data	MRN Perform Physical Control Decision Execution of Relevant Control Decision
Output Data	Perform Physical Control Decision State of T2L/T2LF Proof Execution of Relevant Control Decision T2L/T2LF Proof
Previous Objects	Register Decision to Perform Relevant Control and Level of Control at the PCO
Information Exchange	
Requirements	PUS_FUR_0051 PUS_FUR_0031 PUS_FUR_0006
Data Set	T2L/T2LF Proof
Events	Control is to be Performed Control is not to be Performed
State	P-Waiting Proof Usage Decision P-Waiting for Documentary Control Result

Table 5.193: Task Record Decision to Perform Relevant Control and Level of Control at the PCO

Task Record Documentary Controls or Decision to Request Supplementary Documents and Optionally Update Decision on Physical Controls

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Documentary Controls or Decision to Request Supplementary Documents and Optionally Update Decision on Physical Controls
Task Type	Service task
Description	When the Customs Officer registered the decision to request supplementary documents from the EO at Arrival or not, the data elements following this registration are automatically recorded in the Proof of Union Status system - linked to the reference number. When no supplementary documents are requested and the documentary control result is registered, the system

	<p>keeps track of the "Control Date". Also, if there is an insertion of a decision or an update on the decision on Physical Controls, this is recorded in the system. Any change in the applicability of the documents is also recorded in the system.</p> <p>The state of the presented T2L/T2LF Proof changes to:</p> <ul style="list-style-type: none"> ● “P-Waiting for Supplementary Documents”, if supplementary documents are requested; ● “E-Registered”, if documentary control result is "P2-Different goods" and no physical control is to be performed; ● “E-Waiting for Physical Control Result”, if documentary control result is recorded and physical control is to be performed; ● “E-Waiting Proof Usage Decision”, if documentary control result is "A1-Satisfactory" or "P4-Missing goods" or "P5-Excess goods" and no physical control is to be performed.
Actor	Proof of Union Status System
Input Data	<p>Documentary Control at Arrival</p> <p>Requested Supplementary Documents – T2L-T2LF</p> <p>Goods Shipment for T2L-T2LF</p> <p>MRN</p> <p>Perform Physical Control Decision</p>
Output Data	<p>Documentary Control at Arrival</p> <p>T2L/T2LF Proof</p> <p>Requested Supplementary Documents – T2L-T2LF</p> <p>State of T2L/T2LF Proof</p> <p>Perform Physical Control Decision</p> <p>Goods Shipment for T2L-T2LF</p>
Previous Objects	Register Documentary Controls or Decision to Request Supplementary Documents and Optionally Update Decision on Physical Controls
Information Exchange	
Requirements	<p>PUS_FUR_0006</p> <p>PUS_FUR_0051</p> <p>PUS_FUR_0050</p>
Data Set	T2L/T2LF Proof
Events	<p>Documentary Control Result is Recorded AND Physical Control is to be Performed</p> <p>Documentary Control Result is "P2-Different goods" AND No Physical Control is to be Performed</p> <p>Documentary Control Result is "A1-Satisfactory" OR "P4-Missing goods" OR "P5-Excess goods" AND no Physical Control is to be Performed</p> <p>Supplementary Documents are Requested</p>

State	E-Registered P-Waiting for Supplementary Documents P-Waiting for Physical Control Result P-Waiting Proof Usage Decision
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Table 5.194: Task Record Documentary Controls or Decision to Request Supplementary Documents and Optionally Update Decision on Physical Controls

Event No Control Performed

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	No Control Performed
Event Type	End event
Description	The process ends here when no relevant controls are to be performed.
Appears also in the following processes	L4-PUS-02-02-04-Present Proof of Union Status in the Form of CGM Data - Perform Controls by PCO
Previous Objects	Is Control to be Performed?

Table 5.195: Event No Control Performed

Task Notify Economic Operator at Arrival Of Request For Supplementary Documents

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Economic Operator at Arrival Of Request For Supplementary Documents
Task Type	Send task
Description	When supplementary documents are requested, an IE will automatically be sent to the EO at Arrival to request the provision of supplementary documents. In this case, the EO at Arrival has been informed that supplementary documents are needed by the Customs Officer in order to perform the relevant controls.
Actor	Proof of Union Status System
Input Data	MRN E_PPS_REQ
Output Data	
Previous Objects	More Supplementary Documents Requested? Supplementary Documents Requested?
Information Exchange	Request for Supplementary Documents from PCO
Requirements	PUS_FUR_0005

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Data Set	
Events	
State	

Table 5.196: Task Notify Economic Operator at Arrival Of Request For Supplementary Documents

Task Register Supplementary Documents

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Supplementary Documents
Task Type	User task
Description	<p>When the decision to request supplementary documents has been recorded a Customs Officer may decide to provide the supplementary documents instead of the EO at Arrival. If the CO provides the supplementary documents the process is forwarded to the next step and the pending task of the EO at Arrival to provide the supplementary documents ceases to exist. If the supplementary documents are provided by the EO at Arrival a conditional event cancels the “Register Supplementary Documents” task and the process is forwarded to the next step.</p> <p>Final situation:</p> <ul style="list-style-type: none"> - Supplementary Documents are provided by the CO; or - Supplementary Documents are provided by the EO at Arrival and the task is cancelled.
Actor	Customs Officer
Input Data	Perform Physical Control Decision Documentary Control at Arrival C_RET_RPQ Requested Supplementary Documents – T2L-T2LF MRN
Output Data	Supplementary Documents for Goods Shipment for T2L-T2LF
Previous Objects	More Supplementary Documents Requested? Supplementary Documents Requested?
Information Exchange	
Requirements	PUS_FUR_0004
Data Set	
Events	
State	

Table 5.197: Task Register Supplementary Documents

Event Supplementary Documents Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Supplementary Documents Received
Event Type	Conditional intermediate event
Description	
Previous Objects	Register Supplementary Documents

Table 5.198: Event Supplementary Documents Received

Event Supplementary Documents Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Supplementary Documents Received
Event Type	Message intermediate event (catch)
Description	Supplementary documentation is received from the EO at Arrival.
Previous Objects	Economic Operator at Arrival Notify Economic Operator at Arrival Of Request For Supplementary Documents

Table 5.199: Event Supplementary Documents Received

Event Supplementary Documents Received from CO

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Supplementary Documents Received from CO
Event Type	Conditional intermediate event
Description	
Previous Objects	Notify Economic Operator at Arrival Of Request For Supplementary Documents

Table 5.200: Event Supplementary Documents Received from CO

Task Record Supplementary Documents

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Supplementary Documents
Task Type	Service task
Description	When the supplementary documentation is received and registered, the data elements following this registration are automatically recorded in the Proof of Union Status system - linked to the reference number. The supplementary documents are added to the documents that were included in

	the presented proof retrieved from the CR (in addition to the documents that may have been added from the Presentation Notification) either at the Goods Shipment or Goods Item level (or both). For each supplementary document, the system keeps track of the submission date (Date). The state of the presented T2L/T2LF proof changes to “P-Waiting for Documentary Control Result”.
Actor	Proof of Union Status System
Input Data	Goods Shipment for T2L-T2LF
Output Data	E_PPS_RES T2L/T2LF Proof State of T2L/T2LF Proof Goods Shipment for T2L-T2LF
Previous Objects	Supplementary Documents Received Supplementary Documents Received Register Supplementary Documents Supplementary Documents Received from CO
Information Exchange	Response to Request for Supplementary Documents from PCO
Requirements	PUS_FUR_0006 PUS_FUR_0005
Data Set	T2L/T2LF Proof
Events	Supplementary Documents are Recorded
State	P-Waiting for Documentary Control Result

Table 5.201: Task Record Supplementary Documents

Task Identify if Supplementary Documents have been received from CO

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Supplementary Documents have been received from CO
Task Type	Service task
Description	The Proof of Union Status system will identify if the supplementary documents have been received by the CO or not. Final situation: Yes - The supplementary documents have been received by the CO. No - The supplementary documents have been received by the EO at arrival.
Actor	Proof of Union Status System

Input Data	Supplementary Documents Received from CO Indicator MRN
Output Data	Result Check if Supplementary Documents have been received from CO
Previous Objects	Record Supplementary Documents
Information Exchange	
Requirements	PUS_FUR_0074
Data Set	
Events	
State	

Table 5.202: Task Identify if Supplementary Documents have been received from CO

Task Notify Economic Operator at Arrival of already Received Supplementary Documents

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Economic Operator at Arrival of already Received Supplementary Documents
Task Type	Send task
Description	When supplementary documents are received from CO, an IE will automatically be sent to the Economic Operator that the supplementary documents request has been fulfilled from the CO and that the pending request for supplementary documents from the EO will cease to exist. The state of the Endorsement Request changes to 'P-Waiting for Documentary Control Result'
Actor	Proof of Union Status System
Input Data	MRN E_SUP_REC
Output Data	
Previous Objects	Have Supplementary Documents been provided by PCO?
Information Exchange	Supplementary Documents already Received - T2L/T2LF
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.203: Task Notify Economic Operator at Arrival of already Received Supplementary Documents

Task Register Documentary Control Results and Optionally Update Decision on Physical Controls

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Documentary Control Results and Optionally Update Decision on Physical Controls
Task Type	User task
Description	<p>When the supplementary documents have been received, a Customs Officer will manually execute documentary controls on the presented goods to verify that the goods correspond to the goods declared in the retrieved presented proof, taking into consideration the received supplementary documents that have been appended in the list of documents that were retrieved in the presented proof (including also any additional document provided in the Presentation Notification) either at the Goods Shipment or Goods Item level (or both).</p> <p>In case more supplementary documents are required, the Customs Officer requests them from the EO at Arrival.</p> <p>In case no more supplementary documents are needed the Customs Officer registers the result of the control based on the documentary checks and may provide a "Control Result Explanation".</p> <p>The result of the control may be one of the following:</p> <ul style="list-style-type: none"> ● "A1 – Satisfactory"; ● "P2 – Different goods"; ● "P4 – Missing goods"; ● "P5 – Excess goods". <p>The Customs Officer may update his decision on the execution of Physical Controls.</p> <p>Additionally, the CO may define as non-applicable any of the already provided documents at Goods Shipment or Goods Item level.</p> <p>Final situation:</p> <p>The Customs Officer registers one of the following:</p> <ul style="list-style-type: none"> - More supplementary documents are needed, the requested supplementary document are registered on Goods Shipment and/or Goods Item level and optionally the decision to perform Physical Controls is revisited; or - No more supplementary documents are needed; the result of the control is registered and optionally the decision to perform Physical Controls is revisited.
Actor	Customs Officer
Input Data	MRN Risk Analysis Result Details Perform Physical Control Decision Perform Physical Control Decision C_RET_RPQ
Output Data	Requested Supplementary Documents – T2L-T2LF

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	Perform Physical Control Decision Documentary Control at Arrival Goods Shipment for T2L-T2LF
Previous Objects	Have Supplementary Documents been provided by PCO? Notify Economic Operator at Arrival of already Received Supplementary Documents
Information Exchange	
Requirements	PUS_FUR_0004
Data Set	
Events	
State	

Table 5.204: Task Register Documentary Control Results and Optionally Update Decision on Physical Controls

Task Record Documentary Control Results and Optionally Update Decision on Physical Controls

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Documentary Control Results and Optionally Update Decision on Physical Controls
Task Type	Service task
Description	<p>When the Customs Officer registered the decision to request more supplementary documents from the EO at Arrival or not, the data elements following this registration are automatically recorded in the Proof of Union Status system - linked to the reference number. When no more supplementary documents are requested and the documentary control result is registered, the system keeps track of the "Control Date". Also, if there is an insertion of a decision or an update on the decision on Physical Controls, this is recorded in the system. Any change in the applicability of the documents is also recorded in the system.</p> <p>The state of the presented T2L/T2LF Proof changes to:</p> <ul style="list-style-type: none"> ● “P-Waiting for Supplementary Documents”, if more supplementary documents are requested; ● “E-Registered”, if documentary control result is "P2-Different goods" and no physical control is to be performed; ● “E-Waiting for Physical Control Result”, if documentary control result is recorded and physical control is to be performed; ● “E-Waiting Proof Usage Decision”, if documentary control result is "A1-Satisfactory" or "P4-Missing goods" or "P5-Excess goods" and no physical control is to be performed.
Actor	Proof of Union Status System
Input Data	MRN Goods Shipment for T2L-T2LF Perform Physical Control Decision

	Requested Supplementary Documents – T2L-T2LF Documentary Control at Arrival
Output Data	Perform Physical Control Decision Goods Shipment for T2L-T2LF T2L/T2LF Proof State of T2L/T2LF Proof Requested Supplementary Documents – T2L-T2LF Documentary Control at Arrival
Previous Objects	Register Documentary Control Results and Optionally Update Decision on Physical Controls
Information Exchange	
Requirements	PUS_FUR_0006 PUS_FUR_0050 PUS_FUR_0051
Data Set	T2L/T2LF Proof
Events	Documentary Control Result is "A1-Satisfactory" OR "P4-Missing goods" OR "P5-Excess goods" AND no Physical Control is to be Performed Documentary Control Result is "P2-Different goods" AND No Physical Control is to be Performed Documentary Control Result is Recorded AND Physical Control is to be Performed Supplementary Documents are Requested
State	P-Waiting for Physical Control Result P-Waiting Proof Usage Decision P-Waiting for Supplementary Documents E-Registered

Table 5.205: Task Record Documentary Control Results and Optionally Update Decision on Physical Controls

Task Identify if Physical Control is to be Performed

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Physical Control is to be Performed
Task Type	Service task
Description	When the documentary controls have been performed, the system identifies if physical controls have also been requested. If yes, physical controls will have to be registered.
Actor	Proof of Union Status System
Input Data	MRN Perform Physical Control Decision

Output Data	Result Check if Physical Control is to Be Performed
Previous Objects	More Supplementary Documents Requested? Supplementary Documents Requested?
Information Exchange	
Requirements	PUS_FUR_0006 PUS_FUR_0051
Data Set	
Events	
State	

Table 5.206: Task Identify if Physical Control is to be Performed

Task Register Physical Control Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Physical Control Results
Task Type	User task
Description	<p>The physical verification of the goods is a manual process performed by a Customs Officer in order to verify that the presented goods are Union goods corresponding to the goods declared in the retrieved proof. The result of the control may be one of the following:</p> <ul style="list-style-type: none"> ● “A1 – Satisfactory”; ● “P2 – Different goods”; ● “P4 – Missing goods”; ● “P5 – Excess goods”. <p>The Customs Officer registers the result of the control and may provide a "Control Result Explanation".</p> <p>Final situation: The control result is registered.</p>
Actor	Customs Officer
Input Data	MRN C_RET_RPQ Perform Physical Control Decision Documentary Control Result Risk Analysis Result Details Execution of Relevant Control Decision
Output Data	Physical Control Result
Previous Objects	Is Physical Control to be Performed?

Information Exchange	
Requirements	PUS_FUR_0004
Data Set	
Events	
State	

Table 5.207: Task Register Physical Control Results

Event Control Results Recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Control Results Recorded
Event Type	End event
Description	The process ends here when the relevant controls based on the documentary and physical checks are recorded.
Appears also in the following processes	L4-PUS-01-02-04-Present Proof of Union Status in the Form of T2L or T2LF Data - Perform Controls by PCO L4-PUS-02-02-04-Present Proof of Union Status in the Form of CGM Data - Perform Controls by PCO
Previous Objects	Is Physical Control to be Performed?

Table 5.208: Event Control Results Recorded

Task Record Physical Control Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Physical Control Results
Task Type	Service task
Description	When the Customs Officer registered the result of the physical control, the data elements following this registration are automatically recorded in the Proof of Union Status system - linked to the reference number. The system keeps track of the "Control Date". The state of the presented T2L/T2LF Proof changes to: <ul style="list-style-type: none"> ● "P-Waiting Proof Usage Decision", if physical control result is "A1-Satisfactory" or "P4-Missing goods" or "P5-Excess goods"; ● "E-Registered", if physical control result is "P2-Different goods".
Actor	Proof of Union Status System
Input Data	MRN Physical Control Result

Output Data	State of T2L/T2LF Proof T2L/T2LF Proof Physical Control Result
Previous Objects	Register Physical Control Results
Information Exchange	
Requirements	PUS_FUR_0006 PUS_FUR_0039
Data Set	T2L/T2LF Proof
Events	Physical Control Result is "A1-Satisfactory" OR "P4-Missing goods" OR "P5-Excess goods" Physical Control Result is "P2-Different goods"
State	P-Waiting Proof Usage Decision E-Registered

Table 5.209: Task Record Physical Control Results

Event Control Results Recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Control Results Recorded
Event Type	End event
Description	The process ends here when the relevant controls based on the documentary and physical checks are recorded.
Appears also in the following processes	L4-PUS-01-02-04-Present Proof of Union Status in the Form of T2L or T2LF Data - Perform Controls by PCO L4-PUS-02-02-04-Present Proof of Union Status in the Form of CGM Data - Perform Controls by PCO
Previous Objects	Record Physical Control Results

Table 5.210: Event Control Results Recorded

5.12. Process L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof

5.12.1. Objective of Process

The “Present Proof” process is triggered by the electronic (IEP04 'Proof Presented') or the non-electronic reception (manually showing the SRD or a barcode or verbally communicating the MRN) of the proof presentation notification by the Customs Officer from the EO at Arrival. In case of an electronic notification,

the “IEP04 Proof Presented” is technically validated. When the message is valid, the Proof of Union Status system records the electronic proof presentation notification. When it concerns a non-electronic presentation of proof, the Customs Officer registers the proof presentation notification and the Proof of Union Status system records it.

Then the system launches a proof query to the CR to retrieve the proof. The CR performs a search and generates a response to the proof query, which is sent back to the Proof of Union Status system.

The query response (included in the “Response to Proof Query”) contains the Proof Status of the Presented Proof and can be one of the following:

- 1) Presented Proof is Registered - The MRN of the Presented Proof is assigned to a proof which exists and its Proof Status is “E-Registered”. The proof details are included in the “Response to Proof Query”;
- 2) Presented Proof is Expired - the MRN of the Presented Proof is assigned to a proof which exists but validity date has expired and its Proof Status is “E-Expired”. The proof details are included in the “Response to Proof Query”;
- 3) Presented Proof is Already Used - the MRN of the Presented Proof is assigned to a proof that exists but has already been used and its Proof Status is “P-Used”. The proof details are included in the “Response to Proof Query”;
- 4) Presented Proof is Partially Used - the MRN of the Presented Proof is assigned to a proof that has been partially used and its Proof Status is “P-Partially Used”. (Not applicable for T2L/F as it concerns only Presented Proofs in the form of Customs Goods Manifest data);
- 5) Presented Proof is Under Evaluation by A PCO - the MRN of the Presented Proof is assigned to a proof that has been presented and is under evaluation at a PCO and its Proof Status is “P-Waiting RA Results” or “P-Waiting Control Decision” or “P-Waiting for Documentary Control Result” or “P-Waiting for Supplementary Documents” or “P-Waiting for Physical Control Result” or “P-Waiting Proof Usage Decision”. The proof details are included in the “Response to Proof Query”.

The non-existence of a Presented Proof is handled by the Proof of Union Status system as an exception when the MRN of the Presented Proof is received.

The Proof of Union Status system identifies if the “Response to Proof Query” concerns a proof that is valid to be used. For a proof in the form of T2L/F data the Proof Status of the returned Proof should have the value “E-Registered”

If the proof is not valid to be used and the "Present Proof" Request originates from a National System Other than PoUS both the EO at arrival and the National System Other than PoUS are notified that the retrieved proof is not valid to be used. If the proof is valid to be used, a risk analysis at national level may be requested by the Customs Officer against risk rules (including common risk criteria and standards where applicable) (automatic process). This depends on the member state that is responsible for the risk assessment and if an interface between the central PoUS system and the respective national risk system is foreseen This process has to be completed within a specific time limit. When a national risk analysis system is consulted, the risk analysis result is recorded in the Proof of Union Status system. Then, the Customs Officer decides if relevant controls will be performed or not. If the Customs Officer decides to perform the controls, the process continues with the control task. The control task is a manual task executed by the Customs Officer, in the context of which only documentary checks or both documentary and physical checks are performed. If supplementary documents are needed, the Customs Officer may request them from the EO at Arrival.

There are four possible results from this control:

- In case the result is “A1- Satisfactory” or “A2 – Considered Satisfactory” , the goods match the goods declared in the proof and the Customs Officer registers the decision on the use of the proof;
- In case the result is “P2- Different goods”, the EO at Arrival is notified about the non-use of the proof;
- In case the result is “P4- Missing goods”, the proof can be used for the goods that arrived and the Customs Officer registers the decision on the use of the proof;
- In case the result is “P5- Excess goods”, the proof can be used for the goods that are covered by it and the Customs Officer registers the decision on the use of the proof. When a national risk analysis system has been consulted and no risk has been identified, the Customs Officer has a time limit to decide to perform the relevant controls. If the time limit expires the control result is “A2 – Considered Satisfactory”. If no

national analysis system is consulted or if a national risk analysis system is consulted, a risk is identified or the time limit to receive the results has expired, the relevant controls must be performed manually. If the Customs Officer decides not to perform the controls the control result is “A2 – Considered Satisfactory”. If the control result is “A1- Satisfactory” (i.e. manual control) or “A2 - Considered Satisfactory” (i.e. automatic control because of time limit expiration or manual decision not to perform controls), the Customs Officer registers the decision on the use of the proof.

Finally, the EO at Arrival is notified about the use of the proof and in case the proof originates from the a National System Other than PoUS both the EO at arrival and the NMSW / National System Other than PoUS are notified that the proof has been used.

5.12.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-PUS-01-02
Full Name	Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Assumptions	- The Person presenting the Proof of Union Status submits the Proof presentation notification after having received the respective goods.
Constraints	No constraints.
L3 Reference Models	L3-PUS-01-03
Existing Specifications	

Table 5.211: Process Details

5.12.3. Tasks and Events

Event T2L/T2LF Proof Presentation Notification

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	T2L/T2LF Proof Presentation Notification
Event Type	Start event
Description	This process starts upon the submission of a T2L/F Proof presentation notification to the PCO, via an EU harmonized trader interface.
Previous Objects	

Table 5.212: Event T2L/T2LF Proof Presentation Notification

Task Submit Proof Presentation Notification - T2L - T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Submit Proof Presentation Notification - T2L - T2LF
Task Type	Send task
Description	This process starts when the EO at Arrival submits, via the EU Customs Trader Portal, a proof presentation notification to the PCO in order to

	retrieve a Proof for validation purposes and register proof usage information. This request will need to be validated by the Proof of Union Status system. Final situation: IE sent from the EO at Arrival to the PCO.
Actor	Economic Operator at Arrival
Input Data	E_REP_PRE
Output Data	
Previous Objects	T2L/T2LF Proof Presentation Notification
Information Exchange	Proof Presented
Requirements	PUS_FUR_0028 PUS_FUR_0068 PUS_FUR_0038 PUS_FUR_0067 PUS_FUR_0065 PUS_FUR_0069 PUS_FUR_0066
Data Set	
Events	
State	

Table 5.213: Task Submit Proof Presentation Notification - T2L - T2LF

Event Non-electronic Receipt of Proof

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Non-electronic Receipt of Proof
Event Type	Start event
Description	The EO at Arrival shows the SRD or manually communicates the MRN to the Customs Officer and the process starts.
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof
Previous Objects	

Table 5.214: Event Non-electronic Receipt of Proof

Task Register Proof Presentation Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Proof Presentation Notification

Task Type	User task
Description	<p>When the proof is presented (by non-electronic means) the Customs Officer registers the presentation notification to retrieve the proof from CR. The Customs Officer registers the MRN of the presented proof and the identification number of the “Person Presenting the Goods to Customs”. Optionally, they can add documents related to the presentation of the proof. The Proof of Union Status system will automatically fill the details of the “Person Presenting the Goods to Customs” and the Customs Office of the Customs Officer as the location of goods.</p> <p>Final situation: The Customs Officer registers the presentation notification to retrieve the proof.</p>
Actor	Customs Officer
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof
Input Data	MRN
Output Data	Proof Presented by CO
Previous Objects	Non-electronic Receipt of Proof
Information Exchange	
Requirements	PUS_FUR_0004 PUS_FUR_0028
Data Set	
Events	
State	

Table 5.215: Task Register Proof Presentation Notification

Event Validation Error Notification Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Validation Error Notification Received
Event Type	Message intermediate event (catch)
Description	
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof
Previous Objects	Notify Economic Operator at Arrival about Validation Errors Submit Proof Presentation Notification - T2L - T2LF

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Table 5.216: Event Validation Error Notification Received

Event Electronic Receipt of Proof Presented

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Electronic Receipt of Proof Presented
Event Type	Message start event
Description	When an MRN is electronically received, the process starts for the Customs Officer.
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof
Previous Objects	Submit Proof Presentation Notification - T2L - T2LF

Table 5.217: Event Electronic Receipt of Proof Presented

Task Perform Validation of Proof Presentation Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Validation of Proof Presentation Notification
Task Type	Service task
Description	<p>When the Proof presentation notification is received, it must be technically validated. This includes a check that all required fields are populated, have the correct format and contain the correct data type.</p> <p>The following systems will be consulted:</p> <ul style="list-style-type: none"> - Economic Operators Registration and Identification (EORI) <p>When validating a received Proof presentation notification, the Proof of Union Status system will have an interface with the EORI database in order to check that the provided EORI number is valid, and that the EO at Arrival is a registered trader.</p> <p>Final situation:</p> <ul style="list-style-type: none"> - The result of the validation is positive: there are no validation errors and the Proof presentation notification is valid. - The result of the validation is negative: the Proof presentation notification contains validation errors and is invalid. The EO at Arrival will be notified of the validation errors.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof
Input Data	MRN

Output Data	E_REP_PRE Proof Presented Validation Result
Previous Objects	Electronic Receipt of Proof Presented
Information Exchange	Proof Presented
Requirements	PUS_FUR_0002 PUS_FUR_0003 PUS_FUR_0001
Data Set	
Events	
State	

Table 5.218: Task Perform Validation of Proof Presentation Notification

Event Economic Operator at Arrival Notified of Validation Errors

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Economic Operator at Arrival Notified of Validation Errors
Event Type	End event
Description	When the Proof Presented is technically invalid, the EO at Arrival will receive a validation error notification and the process will end.
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Previous Objects	Validation Error Notification Received

Table 5.219: Event Economic Operator at Arrival Notified of Validation Errors

Task Notify Economic Operator at Arrival about Validation Errors

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Economic Operator at Arrival about Validation Errors
Task Type	Send task
Description	When the retrieve proof notification is invalid, a validation error notification is automatically sent to the EO at Arrival containing more details on the validation errors. The process will end here. Final situation: A validation error notification (IEP05 Presented Proof Rejected) is sent to the EO at Arrival, and the process ends.

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Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof
Input Data	Rejected Proof Reference Functional Error
Output Data	
Previous Objects	Is Validation Result Positive?
Information Exchange	Presented Proof Rejected
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.220: Task Notify Economic Operator at Arrival about Validation Errors

Event Economic Operator at Arrival Notified of Validation Errors

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Economic Operator at Arrival Notified of Validation Errors
Event Type	End event
Description	When the Proof Presented is technically invalid, the EO at Arrival will receive a validation error notification and the process will end.
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Previous Objects	Notify Economic Operator at Arrival about Validation Errors

Table 5.221: Event Economic Operator at Arrival Notified of Validation Errors

Task Record Proof Presentation Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Proof Presentation Notification
Task Type	Service task
Description	After the Customs Officer registers the Proof presentation notification, the data elements following this registration are automatically recorded in the

	Proof of Union Status system - linked to the reference number.
Actor	Proof of Union Status System
Input Data	MRN Proof Presented by CO
Output Data	Proof Presented by CO
Previous Objects	Register Proof Presentation Notification
Information Exchange	
Requirements	PUS_FUR_0006
Data Set	
Events	
State	

Table 5.222: Task Record Proof Presentation Notification

Task Record Electronic Proof Presentation Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Electronic Proof Presentation Notification
Task Type	Service task
Description	When the Proof presentation notification is valid, the data elements following this are automatically recorded in the Proof of Union Status system - linked to the reference number.
Actor	Proof of Union Status System
Input Data	E_REP_PRE MRN
Output Data	E_REP_PRE
Previous Objects	Is Validation Result Positive?
Information Exchange	
Requirements	PUS_FUR_0006
Data Set	
Events	
State	

Table 5.223: Task Record Electronic Proof Presentation Notification

Event Electronic Receipt of Proof Presented from a National System

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Electronic Receipt of Proof Presented from a National System
Event Type	Message start event
Description	When an MRN is electronically received from a National System other than a dedicated PoUS National System, the process starts for the Customs Officer.
Previous Objects	National System other than PoUS

Table 5.224: Event Electronic Receipt of Proof Presented from a National System

Task Perform Validation of Proof Presented by a National System

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Validation of Proof Presented by a National System
Task Type	Service task
Description	<p>When the retrieve proof notification is received from a National System other than a dedicated PoUS National System, it must be technically validated. This includes a check that all required fields are populated, have the correct format and contain the correct data type.</p> <p>The following systems will be consulted:</p> <ul style="list-style-type: none"> - Economic Operators Registration and Identification (EORI) <p>When validating a received Proof presentation notification, the Proof of Union Status system will have an interface with the EORI database in order to check that the provided EORI number is valid, and that the EO at Arrival is a registered trader.</p> <p>Final situation:</p> <ul style="list-style-type: none"> - The result of the validation is positive: there are no validation errors and the retrieve proof notification is valid. - The result of the validation is negative: the retrieve proof notification contains validation errors and is invalid. The National System will be notified of the validation errors.
Actor	Proof of Union Status System
Input Data	MRN
Output Data	E_REP_PRE National System Request Validation Result
Previous Objects	Electronic Receipt of Proof Presented from a National System
Information Exchange	Proof Presented

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Requirements	PUS_FUR_0003 PUS_FUR_0001 PUS_FUR_0036 PUS_FUR_0002
Data Set	
Events	
State	

Table 5.225: Task Perform Validation of Proof Presented by a National System

Task Record Electronic Proof Presentation Notification from a National System

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Electronic Proof Presentation Notification from a National System
Task Type	Service task
Description	When the Proof presentation notification received from a National System other than a dedicated PoUS National System is valid, the data elements following this are automatically recorded in the Proof of Union Status system - linked to the reference number.
Actor	Proof of Union Status System
Input Data	E_REP_PRE MRN
Output Data	E_REP_PRE
Previous Objects	Is Validation Result Positive?
Information Exchange	
Requirements	PUS_FUR_0006
Data Set	
Events	
State	

Table 5.226: Task Record Electronic Proof Presentation Notification from a National System

Task Notify National System about Validation Errors

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify National System about Validation Errors
Task Type	Send task
Description	When the retrieve proof notification received from a National System other

	<p>than a dedicated PoUS National System is invalid, a validation error notification is automatically sent to the National System containing more details on the validation errors. The process will end here.</p> <p>Final situation: A validation error notification (IEP40 National System Request Rejected) is sent to the National System, and the process ends.</p>
Actor	Proof of Union Status System
Input Data	Rejected National System Request Reference Functional Error
Output Data	
Previous Objects	Is Validation Result Positive?
Information Exchange	National System Request Rejected
Requirements	PUS_FUR_0036
Data Set	
Events	
State	

Table 5.227: Task Notify National System about Validation Errors

Task Retrieve Proof of Union Status in the Form of T2L or T2LF Data from Central Repository

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Retrieve Proof of Union Status in the Form of T2L or T2LF Data from Central Repository
Task Type	Call activity (collapsed)
Description	<p>The process starts when an electronic or non-electronic Proof presentation notification has been received by the PCO in order to retrieve T2L/F data from the CR. The Proof of Union Status system launches a query to the CR in order to retrieve all the details of a proof for a given MRN.</p> <p>The final situation of this process will be the following: The proof is retrieved from the CR and all its details are available.</p>
Actor	Proof of Union Status System
Input Data	
Output Data	
Previous Objects	<p>Central Repository</p> <p>Record Electronic Proof Presentation Notification</p> <p>Record Proof Presentation Notification</p> <p>Record Electronic Proof Presentation Notification from a National System</p>

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Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.228: Task Retrieve Proof of Union Status in the Form of T2L or T2LF Data from Central Repository

Event National System Notified of Validation Errors

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	National System Notified of Validation Errors
Event Type	End event
Description	When the Proof Presented is technically invalid, the National System will receive a validation error notification and the process will end.
Previous Objects	Notify National System about Validation Errors

Table 5.229: Event National System Notified of Validation Errors

Task Identify if T2L or T2LF Data can be Used

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if T2L or T2LF Data can be Used
Task Type	Service task
Description	<p>When the Response to Proof Query from the CR has been received by the Proof of Union Status system, it will automatically check if the presented proof can be used or not.</p> <p>The query response (included in the “Response to Proof Query - T2L-T2LF”) contains the Proof Status of the Presented Proof and can be one of the following:</p> <ol style="list-style-type: none"> 1) Presented Proof is Registered - The MRN of the Presented Proof is assigned to a proof which exists and its Proof Status is “E-Registered”; 2) Presented Proof is Expired - the MRN of the Presented Proof is assigned to a proof which exists but validity date has expired and its Proof Status is “E-Expired”; 3) Presented Proof is Already Used - the MRN of the Presented Proof is assigned to a proof that exists but has already been used and its Proof Status is “P-Used”; 4) Presented Proof is Partially Used - the MRN of the Presented Proof is assigned to a proof that has been partially used and its Proof Status is “P-Partially Used”. (Not applicable for T2L/F as it concerns only Presented Proofs in the form of Customs Goods Manifest data); 5) Presented Proof is Under Evaluation by a PCO - the MRN of the Presented Proof is assigned to a proof that has been presented and is under evaluation at a PCO and its Proof Status is “P-Waiting RA Results” or “P-

	<p>Waiting Control Decision” or “P-Waiting for Documentary Control Result” or “P-Waiting for Supplementary Documents” or “P-Waiting for Physical Control Result” or “P-Waiting Proof Usage Decision”.</p> <p>The non-existence of a Presented Proof is handled by the Proof of Union Status system as an exception when the MRN of the Presented Proof is received.</p> <p>The Proof of Union Status system identifies if the “Response to Proof Query- T2L-T2LF” concerns a proof that is valid to be used. For a proof in the form of T2L/F data the Proof Status of the returned Proof should have the value “E-Registered”.</p> <p>If the Proof is valid for use and additional documents have been provided in the Presentation Notification, The provided documents are added to the documents that were retrieved in the presented proof either at the Goods Shipment or Goods Item level (or both). For each supplementary document, the system keeps track of the submission date (Date).</p> <p>Final situation: Yes - The Proof is valid for use. No - The Proof is not valid for use.</p>
Actor	Proof of Union Status System
Input Data	MRN C_RET_RPQ PN Documents for T2L-T2LF
Output Data	Result Check If Proof is Valid C_RET_RPQ
Previous Objects	Retrieve Proof of Union Status in the Form of T2L or T2LF Data from Central Repository A Proof in the form of T2L or T2LF data can be used, if the returned Proof Status of the Presented Proof is “E-Registered”
Information Exchange	
Requirements	PUS_FUR_0017
Data Set	
Events	
State	

Table 5.230: Task Identify if T2L or T2LF Data can be Used

Event Notification that Proof cannot be Used Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Notification that Proof cannot be Used Received
Event Type	Message intermediate event (catch)

Description	The EO at Arrival receives a notification that the retrieved Proof cannot be used.
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof
Previous Objects	Notify Economic Operator at Arrival that T2L or T2LF data cannot be Used Submit Proof Presentation Notification - T2L - T2LF

Table 5.231: Event Notification that Proof cannot be Used Received

Task Notify Economic Operator at Arrival that T2L or T2LF data cannot be Used

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Economic Operator at Arrival that T2L or T2LF data cannot be Used
Task Type	Send task
Description	<p>When the Proof of Union Status System has identified that the Presented Proof that has been retrieved is not valid to be used, a response notification is automatically sent to the EO at Arrival. The message will always contain the MRN and the Proof Status.</p> <p>If the Presented Proof has already been presented and is under evaluation at a PCO and its Proof Status is “P-Waiting RA Results” or “P-Waiting Control Decision” or “P-Waiting for Documentary Control Result” or “P-Waiting for Supplementary Documents” or “P-Waiting for Physical Control Result” or “P-Waiting Proof Usage Decision”, the message will also include the “Proof Presentation Information”.</p> <p>If the Presented Proof has already been used and its Proof Status is “P-Used”, the message will also include the “Proof Presentation Information” and the “Use of Proof Information”.</p> <p>If the Presented Proof has expired and its Proof Status is “E-Expired”, the message will also include the “Validity End Date”.</p> <p>Final situation: The IE "IEP17 Notification for Proof Non-Valid to Be Used - T2L-T2LF" containing some information about a Non-Valid to be used Proof, is sent to the EO at Arrival.</p>
Actor	Proof of Union Status System
Input Data	E_RET_NOV C_RET_RPQ
Output Data	
Previous Objects	Does the Presentation come from National System Other than PoUS? Does the Presentation come from National System Other than PoUS?
Information Exchange	Notification that Proof cannot be Used - T2L-T2LF

Requirements	PUS_FUR_0036
Data Set	
Events	
State	

Table 5.232: Task Notify Economic Operator at Arrival that T2L or T2LF data cannot be Used

Task Identify if Presentation was Requested by National System Other than PoUS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Presentation was Requested by National System Other than PoUS
Task Type	Service task
Description	The Proof of Union Status system will identify if the Proof presentation request was initiated by a National System Other than PoUS. Final situation: Yes – The presentation for the Proof was initiated by a National System Other than PoUS. No – The presentation for the Proof was not initiated by a National System Other than PoUS.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Input Data	National System - NMSW Request Indication MRN
Output Data	Result Check if Request is from NMSW/National System Other than PoUS
Previous Objects	Can Proof be Used?
Information Exchange	
Requirements	PUS_FUR_0037
Data Set	
Events	
State	

Table 5.233: Task Identify if Presentation was Requested by National System Other than PoUS

Task Acknowledge Proof cannot be Used - T2L-T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Acknowledge Proof cannot be Used - T2L-T2LF
Task Type	User task
Description	<p>The Proof of Union Status System will inform the Customs Officer that the retrieved Proof presented can be used or not. A proof by the form of T2L/T2LF is not to be used, if the:</p> <ol style="list-style-type: none"> 1) Presented Proof is Expired - the MRN of the Presented Proof is assigned to a proof which exists but validity date has expired and its Proof Status is “E-Expired”; 2) Presented Proof is Already Used - the MRN of the Presented Proof is assigned to a proof that exists but has already been used and its Proof Status is “P-Used”; 3) Presented Proof is Under Evaluation by A PCO - the MRN of the Presented Proof is assigned to a proof that has been presented and is under evaluation at a PCO and its Proof Status is “P-Waiting RA Results” or “P-Waiting Control Decision” or “P-Waiting for Documentary Control Result” or “P-Waiting for Supplementary Documents” or “P-Waiting for Physical Control Result” or “P-Waiting Proof Usage Decision”. <p>Final situation: The Customs Officer acknowledges that the Proof is not to be used. The process will end and the status of the Presented Proof will remain as it is.</p>
Actor	Customs Officer
Input Data	C_RET_RPQ MRN
Output Data	MRN C_RET_RPQ
Previous Objects	Notify Economic Operator at Arrival that T2L or T2LF data cannot be Used
Information Exchange	
Requirements	PUS_FUR_0012
Data Set	
Events	
State	

Table 5.234: Task Acknowledge Proof cannot be Used - T2L-T2LF

Task Notify National System Other than PoUS that T2L / T2Lf data cannot be Used

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify National System Other than PoUS that T2L / T2Lf data cannot be

	Used
Task Type	Send task
Description	<p>When the Proof of Union Status System has identified that the Presented Proof that has been retrieved is not valid to be used and Proof presentation originates from National System Other than PoUS, a response notification is automatically sent to National System Other than PoUS. The message will always contain the MRN and the Proof Status.</p> <p>If the Presented Proof has already been presented and is under evaluation at a PCO and its Proof Status is “P-Waiting RA Results” or “P-Waiting Control Decision” or “P-Waiting for Documentary Control Result” or “P-Waiting for Supplementary Documents” or “P-Waiting for Physical Control Result” or “P-Waiting Proof Usage Decision”, the message will also include the “Proof Presentation Information”.</p> <p>If the Presented Proof has already been used and its Proof Status is “P-Used”, the message will also include the “Proof Presentation Information” and the “Use of Proof Information”.</p> <p>If the Presented Proof has expired and its Proof Status is “E-Expired”, the message will also include the “Validity End Date”.</p> <p>Final situation: The IE "IEP17 Notification for Proof Non-Valid to Be Used - T2L-T2LF" containing some information about a Non-Valid to be used Proof, is sent to the National System Other than PoUS.</p>
Actor	Proof of Union Status System
Input Data	C_RET_RPQ E_RET_NOV
Output Data	
Previous Objects	Does the Presentation come from National System Other than PoUS?
Information Exchange	Notification that Proof cannot be Used - T2L-T2LF
Requirements	PUS_FUR_0036
Data Set	
Events	
State	

Table 5.235: Task Notify National System Other than PoUS that T2L / T2Lf data cannot be Used

Task Identify Competent Member State for Risk Assessment - T2L-T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify Competent Member State for Risk Assessment - T2L-T2LF
Task Type	Service task

Description	<p>The system will identify the Member State who will be responsible for the risk analysis. The identification of the competent Member State will be based on the "Customs Office of Presentation" who received the Proof presentation notification.</p> <p>Depending on the identified Member State, the Proof of Union Status system will check if the respective national risk analysis system is to be consulted or not.</p> <p>Final situation:</p> <ul style="list-style-type: none"> - The competent Member State has been identified and the result is "No": no national risk analysis system is consulted. The state of the presented T2L/T2LF Proof changes to "P-Waiting Control Decision". - The competent Member State has been identified and the result is "Yes": the respective national risk analysis system is consulted. The state of the presented T2L/T2LF Proof changes to "P-Waiting RA Results".
Actor	Proof of Union Status System
Input Data	MRN Customs Office of Presentation
Output Data	Member State T2L/T2LF Proof State of T2L/T2LF Proof Result Check if National Risk Analysis System is Consulted
Previous Objects	Can Proof be Used?
Information Exchange	
Requirements	PUS_FUR_0019
Data Set	T2L/T2LF Proof
Events	Proof is Presented AND National RA System is not Consulted Proof is Presented AND National RA System is Consulted
State	P-Waiting RA Results P-Waiting Control Decision

Table 5.236: Task Identify Competent Member State for Risk Assessment - T2L-T2LF

Event Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Rejected
Event Type	End event
Description	When the Proof is not valid for use, the process will end with status

	'Rejected'.
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Previous Objects	Notification that Proof cannot be Used Received

Table 5.237: Event Rejected

Event Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Rejected
Event Type	End event
Description	When the Proof is not valid for use, the process will end with status 'Rejected'.
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Previous Objects	Acknowledge Proof cannot be Used - T2L-T2LF

Table 5.238: Event Rejected

Task Consult National Risk Analysis System

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Consult National Risk Analysis System
Task Type	Call activity (collapsed)
Description	The process starts when the Competent Member State performs a risk analysis in response to the relevant request by the PCO. The Proof of Union Status system sends the risk analysis request, receives and records the risk analysis response. This process has to be completed within a specific time limit. The final situation of this process will be one of the following: The risk analysis response from the competent Member State has been recorded; No risk analysis response from the competent Member State has been received and the time limit has expired.
Actor	Proof of Union Status System
Input Data	
Output Data	

Previous Objects	Is National Risk Analysis System to be Consulted?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.239: Task Consult National Risk Analysis System

Event Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Rejected
Event Type	End event
Description	When the Proof is not valid for use, the process will end with status 'Rejected'.
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Previous Objects	Notify National System Other than PoUS that T2L / T2Lf data cannot be Used

Table 5.240: Event Rejected

Event Time Limit to Receive Risk Analysis Result

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Time Limit to Receive Risk Analysis Result
Event Type	Timer intermediate event
Description	The timer for the reception of the risk analysis result from the competent Member State has expired. The relevant controls must be performed manually by the Customs Officer.
Appears also in the following processes	L4-PUS-01-01-Proof of Union Status in the Form of T2L or T2LF Data
Previous Objects	Consult National Risk Analysis System

Table 5.241: Event Time Limit to Receive Risk Analysis Result

Task Record Expiry of Time Limit to Receive Risk Analysis Result

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Expiry of Time Limit to Receive Risk Analysis Result
Task Type	Service task
Description	<p>When the time limit to receive the risk analysis result from the competent Member State expired, this is recorded in the Proof of Union Status system - linked to the reference number. The relevant controls must be performed manually by the Customs Officer. The state of the presented T2L/T2LF Proof is set to "P-Waiting Control Decision".</p> <p>Final Situation: The expiry of the timer to receive the risk analysis result is recorded. The state of the presented T2L/T2LF Proof is set to "P-Waiting Control Decision".</p>
Actor	Proof of Union Status System
Input Data	National Risk Analysis Result Reception Expiry Date MRN
Output Data	National Risk Analysis Result Reception Expiry Date T2L/T2LF Proof State of T2L/T2LF Proof
Previous Objects	Time Limit to Receive Risk Analysis Result
Information Exchange	
Requirements	PUS_FUR_0006 PUS_FUR_0071
Data Set	T2L/T2LF Proof
Events	Time Limit to Receive Risk Analysis Result Expiration is Recorded
State	P-Waiting Control Decision

Table 5.242: Task Record Expiry of Time Limit to Receive Risk Analysis Result

Task Identify If Risk Is Determined

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Risk Is Determined
Task Type	Service task
Description	<p>When Risk Analysis response has been received and recorded, the Proof of Union Status system will automatically check if any risk has been identified.</p> <p>A risk is identified if the "Risk Analysis Result Code" is one of the</p>

	<p>following:</p> <ul style="list-style-type: none"> ● Common risk identified – R; ● National risk identified – X; ● Combined risk identified (national & common) – Y; ● No risk analysis performed - Z. <p>No risk is identified if the "Risk Analysis Result Code" is No risk identified – N.</p> <p>Final situation:</p> <ul style="list-style-type: none"> - The result is "No": there is no risk identified. - The result is "Yes": there is risk identified.
Actor	Proof of Union Status System
Input Data	Risk Analysis Result Information MRN
Output Data	Result Check if Risk Is Determined
Previous Objects	Consult National Risk Analysis System
Information Exchange	
Requirements	PUS_FUR_0021
Data Set	
Events	
State	

Table 5.243: Task Identify If Risk Is Determined

Task Perform Controls by PCO

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Controls by PCO
Task Type	Call activity (collapsed)
Description	<p>When no National Risk Analysis system is to be consulted or if a National Risk Analysis system is consulted, when the Risk Analysis results is recorded, this process is initiated. In the case that a National Risk Analysis system is consulted and no risk has been identified, the decision to perform relevant controls has to be taken within a specific time limit. If this time limit expires, the control results will deem to be satisfactory.</p> <p>First of all, the Customs Officer has to decide if the relevant controls to verify that the goods are corresponding to the goods declared in the presented Proof, will be performed or not.</p> <p>If a timer has been set and:</p> <ul style="list-style-type: none"> ● no decision by the Customs Officer is taken, the process ends at the time limit and the control results will be considered satisfactory;

	<ul style="list-style-type: none"> ● the Customs Officer decides not to perform controls, the process ends and the control results will be considered satisfactory; ● the Customs Officer decides to perform the controls, the timer is cancelled so that the controls are manually completed and the documentary checks will first be done. <p>If no timer has been set and:</p> <ul style="list-style-type: none"> ● the Customs Officer decides not to perform controls, the process ends and the control results will be considered satisfactory; ● the Customs Officer decides to perform the controls, the documentary checks will first be done. <p>Then, the Customs Officer will decide if supplementary documents must be requested from the EO at Arrival. If that is the case, the Customs Officer will wait for the response from the EO at Arrival and when the documents are provided they will be controlled. The Customs Officer will register the control results based on the documentary checks and decide if physical controls need to be performed or not. In the case physical controls are performed, the Customs Officer will register the control results based on both documentary and physical checks.</p> <p>The final situation of this process will be one of the following:</p> <ul style="list-style-type: none"> * No control has been performed. * The control results have been recorded. * In case a timer function is triggered, the time limit to decide to perform the relevant controls has expired and the control results are considered satisfactory.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Input Data	
Output Data	
Previous Objects	Is Risk Determined?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.244: Task Perform Controls by PCO

Task Perform Controls by PCO

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Perform Controls by PCO
Task Type	Call activity (collapsed)
Description	<p>When no National Risk Analysis system is to be consulted or if a National Risk Analysis system is consulted, when the Risk Analysis results is recorded, this process is initiated. In the case that a National Risk Analysis system is consulted and no risk has been identified, the decision to perform relevant controls has to be taken within a specific time limit. If this time limit expires, the control results will deem to be satisfactory.</p> <p>First of all, the Customs Officer has to decide if the relevant controls to verify that the goods are corresponding to the goods declared in the presented Proof, will be performed or not.</p> <p>If a timer has been set and:</p> <ul style="list-style-type: none"> ● no decision by the Customs Officer is taken, the process ends at the time limit and the control results will be considered satisfactory; ● the Customs Officer decides not to perform controls, the process ends and the control results will be considered satisfactory; ● the Customs Officer decides to perform the controls, the timer is cancelled so that the controls are manually completed and the documentary checks will first be done. <p>If no timer has been set and:</p> <ul style="list-style-type: none"> ● the Customs Officer decides not to perform controls, the process ends and the control results will be considered satisfactory; ● the Customs Officer decides to perform the controls, the documentary checks will first be done. <p>Then, the Customs Officer will decide if supplementary documents must be requested from the EO at Arrival. If that is the case, the Customs Officer will wait for the response from the EO at Arrival and when the documents are provided they will be controlled. The Customs Officer will register the control results based on the documentary checks and decide if physical controls need to be performed or not. In the case physical controls are performed, the Customs Officer will register the control results based on both documentary and physical checks.</p> <p>The final situation of this process will be one of the following:</p> <ul style="list-style-type: none"> * No control has been performed. * The control results have been recorded. * In case a timer function is triggered, the time limit to decide to perform the relevant controls has expired and the control results are considered satisfactory.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Input Data	
Output Data	

Previous Objects	Record Expiry of Time Limit to Receive Risk Analysis Result Is Risk Determined? Is National Risk Analysis System to be Consulted?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.245: Task Perform Controls by PCO

Event Time Limit to Decide to Perform Controls

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Time Limit to Decide to Perform Controls
Event Type	Timer intermediate event
Description	The timer for the completion of the decision to perform relevant controls has expired. The control result is considered "Satisfactory."
Previous Objects	Perform Controls by PCO

Table 5.246: Event Time Limit to Decide to Perform Controls

Task Record Expiry of Time Limit to Decide to Perform Controls at the PCO

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Expiry of Time Limit to Decide to Perform Controls at the PCO
Task Type	Service task
Description	When the time limit to decide to perform the relevant control expired, this is recorded in the Proof of Union Status system - linked to the reference number. This implies that the relevant control is "A2 - Considered Satisfactory". The state of the presented T2L/T2LF Proof changes to "P-Waiting Proof Usage Decision". Final Situation: The expiry of the timer to decide to perform the relevant control is recorded. The state of the presented T2L/T2LF Proof changes to "P-Waiting Proof Usage Decision".
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof
Input Data	Relevant Control Decision Completion Expiry Date MRN

Output Data	State of T2L/T2LF Proof Relevant Control Decision Completion Expiry Date T2L/T2LF Proof
Previous Objects	Time Limit to Decide to Perform Controls
Information Exchange	
Requirements	PUS_FUR_0006 PUS_FUR_0052
Data Set	T2L/T2LF Proof
Events	Time Limit to Decide to Perform Controls Expiration is Recorded
State	P-Waiting Proof Usage Decision

Table 5.247: Task Record Expiry of Time Limit to Decide to Perform Controls at the PCO

Task Identify If Controls at the PCO Have Been Performed

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Controls at the PCO Have Been Performed
Task Type	Service task
Description	The Proof of Union Status system will identify if the relevant control has been performed or not. Final situation: - The result is "No": no relevant control has been performed. - The result is "Yes": the relevant control has been performed.
Actor	Proof of Union Status System
Input Data	Decision on Performing Relevant Control Indication MRN
Output Data	Decision on Performing Relevant Control Indication
Previous Objects	Perform Controls by PCO Perform Controls by PCO
Information Exchange	
Requirements	PUS_FUR_0031
Data Set	

Events	
State	

Table 5.248: Task Identify If Controls at the PCO Have Been Performed

Task Record Control Results at the PCO Considered Satisfactory

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Control Results at the PCO Considered Satisfactory
Task Type	Service task
Description	When the time limit expiration to decide to perform the relevant control has been recorded or the manual decision by the Customs Officer not to perform controls has been recorded, the data elements following the automatic control are automatically recorded in the Proof of Union Status system - linked to the reference number. The control result will automatically be set to “A2 - Considered Satisfactory” for both documentary and physical controls (details regarding the decision to perform the relevant controls, documentary control details, decision to perform physical control and physical control details will automatically be filled including the following justification “Control results automatically considered satisfactory because of timer expiration.”). Final situation: The automatic control result is recorded.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Input Data	MRN Control Result at Arrival
Output Data	Control Result at Arrival
Previous Objects	Record Expiry of Time Limit to Decide to Perform Controls at the PCO
Information Exchange	
Requirements	PUS_FUR_0006
Data Set	
Events	
State	

Table 5.249: Task Record Control Results at the PCO Considered Satisfactory

Task Identify Control Results at the PCO

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Identify Control Results at the PCO
Task Type	Service task
Description	The Proof of Union system will identify whether the control result is “A1-Satisfactory” or “P2-Different goods” or “P4-Missing goods” or "P5-Excess goods". Final situation: The control result has been identified.
Actor	Proof of Union Status System
Input Data	Control Result at Arrival MRN
Output Data	Control Result at Arrival
Previous Objects	Controls Have Been Performed?
Information Exchange	
Requirements	PUS_FUR_0039
Data Set	
Events	
State	

Table 5.250: Task Identify Control Results at the PCO

Task Record Control Results at the PCO Considered Satisfactory

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Control Results at the PCO Considered Satisfactory
Task Type	Service task
Description	When the time limit expiration to decide to perform the relevant control has been recorded or the manual decision by the Customs Officer not to perform controls has been recorded, the data elements following the automatic control are automatically recorded in the Proof of Union Status system - linked to the reference number. The control result will automatically be set to “A2 - Considered Satisfactory” for both documentary and physical controls (details regarding the decision to perform the relevant controls, documentary control details, decision to perform physical control and physical control details will automatically be filled including the following justification “Control results automatically considered satisfactory because of timer expiration.”). Final situation: The automatic control result is recorded.
Actor	Proof of Union Status System
Appears also in the following	L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof

processes	
Input Data	MRN Control Result at Arrival
Output Data	Control Result at Arrival
Previous Objects	Controls Have Been Performed?
Information Exchange	
Requirements	PUS_FUR_0006
Data Set	
Events	
State	

Table 5.251: Task Record Control Results at the PCO Considered Satisfactory

Task Identify if Presentation was Requested by National System Other than PoUS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Presentation was Requested by National System Other than PoUS
Task Type	Service task
Description	The Proof of Union Status system will identify if the Proof presentation request was initiated by a National System Other than PoUS. Final situation: Yes – The presentation for the Proof was initiated by a National System Other than PoUS. No – The presentation for the Proof was not initiated by a National System Other than PoUS.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Input Data	National System - NMSW Request Indication MRN
Output Data	Result Check if Request is from NMSW/National System Other than PoUS
Previous Objects	Control Results is Satisfactory or Different Goods or Missing Goods or Excess Goods?
Information Exchange	

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Requirements	PUS_FUR_0037
Data Set	
Events	
State	

Table 5.252: Task Identify if Presentation was Requested by National System Other than PoUS

Task Notify National System Other than PoUS of non-Use of Proof

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify National System Other than PoUS of non-Use of Proof
Task Type	Send task
Description	<p>When the control result is negative (“P2 - Different goods”), a response notification containing the MRN, the “Proof Status”, the “Control Result at Arrival”, is automatically sent to the National System Other than PoUS when the Proof presentation originates from National System Other than PoUS.</p> <p>Final situation: -The IE Notification "IEP19 Notification for Proof Usage" about non-usage of the proof is sent to the National System Other than PoUS.</p>
Actor	Proof of Union Status System
Input Data	E_REP_VAL E_REP_PRE
Output Data	
Previous Objects	Does the Presentation come from National System Other than PoUS?
Information Exchange	Notification for Proof Usage
Requirements	PUS_FUR_0036
Data Set	
Events	
State	

Table 5.253: Task Notify National System Other than PoUS of non-Use of Proof

Task Notify Economic Operator at Arrival of Negative Control Results at the PCO

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Economic Operator at Arrival of Negative Control Results at the PCO

Task Type	Send task
Description	<p>When the control result is negative (“P2 - Different goods”), a response notification containing the MRN, the “Proof Status”, the “Control Result at Arrival” is automatically sent to the EO at Arrival. The “Control Result at Arrival” will include the final control result. So, if both Physical and Documentary Control have been performed, the “Physical Control Result” will be visible to the EO at Arrival. If only the Documentary Control has been performed the “Documentary Control Result” will be visible to the EO at Arrival.</p> <p>Final situation: The IE Notification "IEP19 Notification for Proof Usage" about Negative Control Results at the PCO is sent to the EO at Arrival.</p>
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof
Input Data	E_REP_VAL E_REP_PRE
Output Data	
Previous Objects	Does the Presentation come from National System Other than PoUS? Does the Presentation come from National System Other than PoUS?
Information Exchange	Notification for Proof Usage
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.254: Task Notify Economic Operator at Arrival of Negative Control Results at the PCO

Event Control Result "Different" Notification Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Control Result "Different" Notification Received
Event Type	Message intermediate event (catch)
Description	The EO at Arrival receives a notification of the negative control result concerning the Proof presentation notification, because the declared goods are different from the ones presented.
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof

Previous Objects	Submit Proof Presentation Notification - T2L - T2LF Notify Economic Operator at Arrival of Negative Control Results at the PCO
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Table 5.255: Event Control Result "Different" Notification Received

Task Handle Proof Usage Registration - T2L-T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Proof Usage Registration - T2L-T2LF
Task Type	Call activity (collapsed)
Description	If no control has been performed or the control result is “A1 - Satisfactory” or “A2 - Considered Satisfactory” or “P4 - Missing goods” or “P5 - Excess goods”, this call activity is initiated. The Customs Officer must decide whether the retrieved Proof will be used or not. The final situation of this process will be one of the following: <ul style="list-style-type: none"> ● The decision on the use of Proof has been recorded; ● The time limit to handle the Proof usage registration has expired.
Actor	Proof of Union Status System
Input Data	
Output Data	
Previous Objects	Record Control Results at the PCO Considered Satisfactory Control Results is Satisfactory or Different Goods or Missing Goods or Excess Goods? Record Control Results at the PCO Considered Satisfactory
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.256: Task Handle Proof Usage Registration - T2L-T2LF

Event Proof not Used

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof not Used
Event Type	End event
Description	When the Proof is not to be used, the process will end with status 'Proof not Used'.
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof

	L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Previous Objects	Notify National System Other than PoUS of non-Use of Proof

Table 5.257: Event Proof not Used

Event Time Limit to Handle Proof Usage Registration

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Time Limit to Handle Proof Usage Registration
Event Type	Timer intermediate event
Description	The timer for the completion of the proof usage registration has expired. The proof is deemed to be used.
Previous Objects	Handle Proof Usage Registration - T2L-T2LF

Table 5.258: Event Time Limit to Handle Proof Usage Registration

Event Proof not Used

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof not Used
Event Type	End event
Description	When the Proof is not to be used, the process will end with status 'Proof not Used'.
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Previous Objects	Notify Economic Operator at Arrival of Negative Control Results at the PCO

Table 5.259: Event Proof not Used

Task Record Expiry of Time Limit to Handle Proof Usage Registration

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Expiry of Time Limit to Handle Proof Usage Registration
Task Type	Service task
Description	When the time limit to complete the Proof usage registration expired, this is recorded in the Proof of Union Status system - linked to the reference number. This implies that the Proof is deemed to be used.

	Final Situation: The expiry of the timer to complete the Proof usage registration is recorded.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof
Input Data	Proof Usage Registration Completion Expiry Date MRN
Output Data	Proof Usage Registration Completion Expiry Date
Previous Objects	Time Limit to Handle Proof Usage Registration
Information Exchange	
Requirements	PUS_FUR_0026
Data Set	
Events	
State	

Table 5.260: Task Record Expiry of Time Limit to Handle Proof Usage Registration

Event Proof not Used

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof not Used
Event Type	End event
Description	When the Proof is not to be used, the process will end with status 'Proof not Used'.
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Previous Objects	Control Result "Different" Notification Received

Table 5.261: Event Proof not Used

Task Identify if Proof is Used

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Proof is Used

Task Type	Service task
Description	<p>When the decision on the use of the presented Proof has been recorded, the Proof of Union Status system will identify if the presented Proof is used or not.</p> <p>Final situation: Yes – The presented proof is used and the usage details must be stored in the CR. No – The presented proof is not used and a respective notification must be sent to the EO at Arrival.</p>
Actor	Proof of Union Status System
Input Data	Use of Proof Information MRN
Output Data	Result Check If Proof Is to Be Used
Previous Objects	Handle Proof Usage Registration - T2L-T2LF
Information Exchange	
Requirements	PUS_FUR_0040
Data Set	
Events	
State	

Table 5.262: Task Identify if Proof is Used

Task Record Proof Deemed to Be Used

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Proof Deemed to Be Used
Task Type	Service task
Description	<p>When the time limit expiration to complete the Proof usage registration has been recorded, the data elements following the automatic processing of Proof usage registration are automatically recorded in the Proof of Union Status system - linked to the reference number. The proof usage decision will automatically be set to 'Yes' including the following explanation "Proof automatically deemed to be used because of timer expiration." and the System keeps track of the "Proof Usage Decision Date.</p> <p>Final situation: The automatic proof usage details are recorded.</p>
Actor	Proof of Union Status System
Input Data	MRN Use of Proof Information

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Output Data	State of T2L/T2LF Proof Use of Proof Information T2L/T2LF Proof
Previous Objects	Record Expiry of Time Limit to Handle Proof Usage Registration
Information Exchange	
Requirements	PUS_FUR_0006
Data Set	T2L/T2LF Proof
Events	Time Limit to Decide to Handle Proof Usage Expiration is Recorded
State	P-Used

Table 5.263: Task Record Proof Deemed to Be Used

Task Identify if Presentation was Requested by National System Other than PoUS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Presentation was Requested by National System Other than PoUS
Task Type	Service task
Description	The Proof of Union Status system will identify if the Proof presentation request was initiated by a National System Other than PoUS. Final situation: Yes – The presentation for the Proof was initiated by a National System Other than PoUS. No – The presentation for the Proof was not initiated by a National System Other than PoUS.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Input Data	National System - NMSW Request Indication MRN
Output Data	Result Check if Request is from NMSW/National System Other than PoUS
Previous Objects	Is the Proof Used?
Information Exchange	

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Requirements	PUS_FUR_0037
Data Set	
Events	
State	

Table 5.264: Task Identify if Presentation was Requested by National System Other than PoUS

Task Notify National System Other than PoUS about Non Usage of Valid Proof

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify National System Other than PoUS about Non Usage of Valid Proof
Task Type	Send task
Description	<p>When it has been identified that the Proof has not been used, a response notification containing the MRN, the negative “Proof Usage Decision” and the “Control Result at Arrival” from the CR, is automatically sent to the National System Other than PoUS when the Proof presentation originates from National System Other than PoUS.</p> <p>Final situation: The IE Notification "IEP19 Notification for Proof Usage" about the non usage of the presented proof is sent to the National System Other than PoUS.</p>
Actor	Proof of Union Status System
Input Data	E_REP_VAL E_REP_PRE
Output Data	
Previous Objects	Does the Presentation come from National System Other than PoUS?
Information Exchange	Notification for Proof Usage
Requirements	PUS_FUR_0036
Data Set	
Events	
State	

Table 5.265: Task Notify National System Other than PoUS about Non Usage of Valid Proof

Task Notify Economic Operator at Arrival about Non Usage of Valid Proof - T2L-T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Economic Operator at Arrival about Non Usage of Valid Proof - T2L-T2LF

Task Type	Send task
Description	<p>When it has been identified that the Proof has not been used, a response notification containing the MRN, the “Proof Status”, the “Control Result at Arrival” (if the control has been performed) and the “Use of Proof Information” (including the negative proof usage decision with a respective explanation and the proof usage decision date) is automatically sent to the EO at Arrival. If the “Control Result at Arrival” have been performed, it will include the final control result. So, if both Physical and Documentary Control have been performed, the “Physical Control Result” will be visible to the EO at Arrival. If only the Documentary Control has been performed the “Documentary Control Result” will be visible to the EO at Arrival.</p> <p>Final situation: The IE Notification "IEP19 Notification for Proof Usage" about the non usage of the presented proof is sent to the EO at Arrival.</p>
Actor	Proof of Union Status System
Input Data	E_REP_PRE E_REP_VAL
Output Data	
Previous Objects	Does the Presentation come from National System Other than PoUS? Does the Presentation come from National System Other than PoUS?
Information Exchange	Notification for Proof Usage
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.266: Task Notify Economic Operator at Arrival about Non Usage of Valid Proof - T2L-T2LF

Event Receive Proof Non-Usage Notification

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive Proof Non-Usage Notification
Event Type	Message intermediate event (catch)
Description	The EO at Arrival receives a notification regarding the non-use of the Proof.
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof
Previous Objects	Notify Economic Operator at Arrival about Non Usage of Valid Proof - T2L-T2LF

	Submit Proof Presentation Notification - T2L - T2LF
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Table 5.267: Event Receive Proof Non-Usage Notification

Event Proof not Used

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof not Used
Event Type	End event
Description	When the Proof is not to be used, the process will end with status 'Proof not Used'.
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Previous Objects	Receive Proof Non-Usage Notification

Table 5.268: Event Proof not Used

Event Proof not Used

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof not Used
Event Type	End event
Description	When the Proof is not to be used, the process will end with status 'Proof not Used'.
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Previous Objects	Notify National System Other than PoUS about Non Usage of Valid Proof

Table 5.269: Event Proof not Used

Event Proof not Used

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof not Used
Event Type	End event
Description	When the Proof is not to be used, the process will end with status 'Proof not Used'.

Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Previous Objects	Notify Economic Operator at Arrival about Non Usage of Valid Proof - T2L-T2LF

Table 5.270: Event Proof not Used

Task Store Use of Proof of Union Status in the Form of T2L or T2LF Data in Central Repository

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Store Use of Proof of Union Status in the Form of T2L or T2LF Data in Central Repository
Task Type	Call activity (collapsed)
Description	<p>The process starts when the usage details of proof have been registered by the Customs Officer or upon request of a National Proof of Union Status system through an exposed web service. The Proof of Union Status system sends an update proof query to the CR, to store the usage details of the proof and make them centrally available to all Member States.</p> <p>The final situation of this process will be the following: The usage details of the proof are recorded in the CR and are now centrally available.</p>
Actor	Proof of Union Status System
Input Data	
Output Data	
Previous Objects	Central Repository Record Proof Deemed to Be Used Is the Proof Used?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.271: Task Store Use of Proof of Union Status in the Form of T2L or T2LF Data in Central Repository

Event Receive Proof Usage Notification

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive Proof Usage Notification

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Event Type	Message intermediate event (catch)
Description	The EO at Arrival receives a notification regarding the use of the Proof.
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof
Previous Objects	Submit Proof Presentation Notification - T2L - T2LF Notify Economic Operator at Arrival about Use of Proof - T2L-T2LF

Table 5.272: Event Receive Proof Usage Notification

Task Notify Economic Operator at Arrival about Use of Proof - T2L-T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Economic Operator at Arrival about Use of Proof - T2L-T2LF
Task Type	Send task
Description	<p>When the Proof usage has been recorded, a response notification containing the MRN, the “Proof Status”, the “Control Result at Arrival” (if the control has been performed) and the “Use of Proof Information” (including the positive usage decision with an optional respective explanation and the proof usage decision date) is automatically sent to the EO at Arrival.</p> <p>If the “Control Result at Arrival” have been performed, it will include the final control result. So, if both Physical and Documentary Control have been performed, the “Physical Control Result” will be visible to the EO at Arrival. If only the Documentary Control has been performed the “Documentary Control Result” will be visible to the EO at Arrival.</p> <p>Final situation: The IE Notification "IEP19 Notification for Proof Usage" that the presented proof is used is sent to the EO at Arrival, the process ends with a “P-Used” status for the Presented Proof. Simultaneously a notification is sent to the respective National PoUS system, in case the Proof was created by a National PoUS system.</p>
Actor	Proof of Union Status System
Input Data	E_REP_VAL E_REP_PRE
Output Data	
Previous Objects	Store Use of Proof of Union Status in the Form of T2L or T2LF Data in Central Repository
Information Exchange	Notification for Proof Usage
Requirements	PUS_FUR_0005
Data Set	

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Events	
State	

Table 5.273: Task Notify Economic Operator at Arrival about Use of Proof - T2L-T2LF

Task Identify if Presentation was Requested by National System Other than PoUS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Presentation was Requested by National System Other than PoUS
Task Type	Service task
Description	The Proof of Union Status system will identify if the Proof presentation request was initiated by a National System Other than PoUS. Final situation: Yes – The presentation for the Proof was initiated by a National System Other than PoUS. No – The presentation for the Proof was not initiated by a National System Other than PoUS.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Input Data	National System - NMSW Request Indication MRN
Output Data	Result Check if Request is from NMSW/National System Other than PoUS
Previous Objects	Store Use of Proof of Union Status in the Form of T2L or T2LF Data in Central Repository
Information Exchange	
Requirements	PUS_FUR_0037
Data Set	
Events	
State	

Table 5.274: Task Identify if Presentation was Requested by National System Other than PoUS

Task Identify if Proof was Created by National PoUS System

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Proof was Created by National PoUS System
Task Type	Service task

Description	<p>When the Proof usage has been recorded, the Proof of Union Status system will identify if the proof was created by a National PoUS system or not. If the "National System Request Indication" of the Proof (as retrieved from the CR) is "Yes", the Proof was created by a National PoUS system.</p> <p>Additionally, in case the Proof was created by a National PoUS system, the respective Member State will be identified from the "Competent Customs Office", that was responsible for the creation of the Proof.</p> <p>Final situation: Yes – The Proof was created by a National PoUS system. No – The Proof was created by the Central PoUS system.</p>
Actor	Proof of Union Status System
Input Data	Proof Operation Information for T2L-T2LF MRN Competent Customs Office
Output Data	Member State Result Check if Proof was Created by National PoUS System
Previous Objects	Store Use of Proof of Union Status in the Form of T2L or T2LF Data in Central Repository
Information Exchange	
Requirements	PUS_FUR_0053 PUS_FUR_0054
Data Set	
Events	
State	

Table 5.275: Task Identify if Proof was Created by National PoUS System

Task Notify National System Other than PoUS about Use of Proof

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify National System Other than PoUS about Use of Proof
Task Type	Send task
Description	<p>When the Proof usage has been recorded, a response notification containing the MRN, the "Proof Status", the "Control Result at Arrival" (if the control has been performed) and the "Use of Proof Information" (including the positive usage decision with an optional respective explanation and the proof usage decision date) is automatically sent to the National System Other than PoUS when the Proof presentation originates from National System Other than PoUS.</p> <p>Final situation: -The IE Notification "IEP19 Notification for Proof Usage" for Proof Usage</p>

	referring to the usage of the proof is sent to the National System Other than PoUS.
Actor	Proof of Union Status System
Input Data	E_REP_VAL E_REP_PRE
Output Data	
Previous Objects	Does the Presentation come from National System Other than PoUS?
Information Exchange	Notification for Proof Usage
Requirements	PUS_FUR_0036
Data Set	
Events	
State	

Table 5.276: Task Notify National System Other than PoUS about Use of Proof

Task Notify National PoUS System about Proof Usage

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify National PoUS System about Proof Usage
Task Type	Send task
Description	When the Proof was created by a National PoUS system, a notification will automatically be sent to the National PoUS system to notify it of the Proof usage. Simultaneously a notification is sent to the Economic Operator at Arrival.
Actor	Proof of Union Status System
Input Data	E_REP_VAL E_REP_PRE
Output Data	
Previous Objects	Proof was Created in a National PoUS System?
Information Exchange	Notification for Proof Usage
Requirements	PUS_FUR_0036
Data Set	
Events	
State	

Table 5.277: Task Notify National PoUS System about Proof Usage

Event Proof Used

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof Used
Event Type	End event
Description	When the Proof is used, the process will end with status 'Proof Used'.
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Previous Objects	Receive Proof Usage Notification

Table 5.278: Event Proof Used

Event Proof Used

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof Used
Event Type	End event
Description	When the Proof is used, the process will end with status 'Proof Used'.
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Previous Objects	Notify Economic Operator at Arrival about Use of Proof - T2L-T2LF Does the Presentation come from National System Other than PoUS? Notify National System Other than PoUS about Use of Proof Notify National PoUS System about Proof Usage Proof was Created in a National PoUS System?

Table 5.279: Event Proof Used

5.13. Process L4-PUS-01-03-Retrieve Proof of Union Status in the Form of T2L or T2LF Data from Central Repository

5.13.1. Objective of Process

The process starts:

- either when an electronic or non-electronic Proof presentation notification has been received by the PCO;
- or when a Proof information request has been received by the CCO;

- or upon request of a National Proof of Union Status system / Other National system, through an exposed web service offered to the National systems in order to retrieve T2L or T2LF data from the Central Repository.

The Proof of Union Status system launches a query to the Central Repository in order to retrieve all the details of a proof for a given MRN.

The final situation of this process will be the following:

The proof is retrieved from the Central Repository and all its details are available.

5.13.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-PUS-01-03
Full Name	Retrieve Proof of Union Status in the Form of T2L or T2LF Data from Central Repository
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-PUS-01-03
Existing Specifications	

Table 5.280: Process Details

5.13.3. Tasks and Events

Event Proof of Union Status in the Form of T2L or T2LF data is to be retrieved from Central Repository

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof of Union Status in the Form of T2L or T2LF data is to be retrieved from Central Repository
Event Type	Start event
Description	The process starts when a Proof is to be retrieved from the CR.
Previous Objects	

Table 5.281: Event Proof of Union Status in the Form of T2L or T2LF data is to be retrieved from Central Repository

Event Request from National System Received in order to Retrieve Proof from Central Repository

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Request from National System Received in order to Retrieve Proof from Central Repository
Event Type	Message start event
Description	The process starts upon request of a National Proof of Union Status system / Other National system through an exposed web service offered to the National systems, in order to retrieve the details of a Proof from the CR.

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Previous Objects	National Proof of Union Status System / National System other than PoUS
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Table 5.282: Event Request from National System Received in order to Retrieve Proof from Central Repository

Task Perform Validation of Proof Query Request from National System

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Validation of Proof Query Request from National System
Task Type	Service task
Description	<p>When the Proof query is received from a National Proof of Union Status system or other National system, it must be technically validated.</p> <p>Final situation:</p> <ul style="list-style-type: none"> - The result of the validation is positive: there are no validation errors and the Proof query request by a National system is valid. - The result of the validation is negative: the Proof query request by a National system contains validation errors and is invalid. The National Proof of Union system / Other National system will be notified of the validation errors.
Actor	Proof of Union Status System
Input Data	MRN
Output Data	National System Request Validation Result C_NPU_QUE
Previous Objects	Request from National System Received in order to Retrieve Proof from Central Repository
Information Exchange	Proof Query from National System T2L/T2LF
Requirements	PUS_FUR_0001 PUS_FUR_0002 PUS_FUR_0036 PUS_FUR_0003
Data Set	
Events	
State	

Table 5.283: Task Perform Validation of Proof Query Request from National System

Task Record Proof Query Request from National System - T2L - T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Proof Query Request from National System - T2L - T2LF

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Task Type	Service task
Description	When the Proof of Union Status system receives a Proof query from a National Proof of Union Status system or other National system, the data elements following this are automatically recorded in the Proof of Union Status system - linked to the reference number.
Actor	Proof of Union Status System
Input Data	MRN C_NPU_QUE
Output Data	C_NPU_QUE
Previous Objects	Is Validation Result Positive?
Information Exchange	
Requirements	PUS_FUR_0006
Data Set	
Events	
State	

Table 5.284: Task Record Proof Query Request from National System - T2L - T2LF

Task Notify National System about Validation Errors

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify National System about Validation Errors
Task Type	Send task
Description	When the Proof query received from a National Proof of Union Status system or other National system is invalid, a validation error notification is automatically sent to the National System containing more details on the validation errors. The process will end here. Final situation: A validation error notification (IEP40 National System Request Rejected) is sent to the National System, and the process ends.
Actor	Proof of Union Status System
Input Data	C_NPU_QUE National System Request Validation Result
Output Data	
Previous Objects	Is Validation Result Positive?
Information Exchange	National System Request Rejected

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Requirements	PUS_FUR_0036
Data Set	
Events	
State	

Table 5.285: Task Notify National System about Validation Errors

Task Retrieve Proof

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Retrieve Proof
Task Type	Send task
Description	<p>When the Customs Officer registered the retrieve proof notification and the notification is recorded by the Proof of Union Status system or when a Proof query is received from a National Proof of Union Status system or other National system, the system launches a query to the CR in order to retrieve the proof assigned to the given MRN. The result of this search can be one of the following:</p> <ol style="list-style-type: none"> 1) Proof is Registered - The MRN is assigned to a proof which exists and its Proof Status is “E-Registered”; 2) Proof is Expired - the MRN is assigned to a proof which exists but validity date has expired and its Proof Status is “E-Expired”; 3) Proof is Already Used - the MRN is assigned to a proof that exists but has already been used and its Proof Status is “P-Used”; 4) Proof is Partially Used - the MRN is assigned to a proof that has been partially used and its Proof Status is “P-Partially Used”. (Not applicable for T2L/F as it concerns only Proofs in the form of Customs Goods Manifest data); 5) Proof is Under Evaluation by a PCO - the MRN is assigned to a proof that has been presented and is under evaluation at a PCO and its Proof Status is “P-Waiting RA Results” or “P-Waiting Control Decision” or “P-Waiting for Documentary Control Result” or “P-Waiting for Supplementary Documents” or “P-Waiting for Physical Control Result” or “P-Waiting Proof Usage Decision”. <p>The non-existence of a Proof is handled by the Proof of Union Status system as an exception when the MRN of the Proof is received.</p> <p>Final situation: The Proof of Union Status system launches a Proof query to the CR in order to retrieve the details of a proof.</p>
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-03-Retrieve Proof of Union Status in the Form of CGM Data from Central Repository
Input Data	MRN

Output Data	C_REP_QUE
Previous Objects	Record Proof Query Request from National System - T2L - T2LF Proof of Union Status in the Form of T2L or T2LF data is to be retrieved from Central Repository
Information Exchange	Proof Query
Requirements	PUS_FUR_0011 PUS_FUR_0013
Data Set	
Events	
State	

Table 5.286: Task Retrieve Proof

Event National System Notified of Validation Errors

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	National System Notified of Validation Errors
Event Type	End event
Description	When the Proof Presented is technically invalid, the National System will receive a validation error notification and the process will end.
Appears also in the following processes	L4-PUS-02-03-Retrieve Proof of Union Status in the Form of CGM Data from Central Repository
Previous Objects	Notify National System about Validation Errors

Table 5.287: Event National System Notified of Validation Errors

Event Receipt of Response to Proof Query

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receipt of Response to Proof Query
Event Type	Message intermediate event (catch)
Description	This event indicates that the Proof of Union Status system receives the Response to Proof Query from the CR, based on the MRN provided in the Proof Query.
Appears also in the following processes	L4-PUS-02-03-Retrieve Proof of Union Status in the Form of CGM Data from Central Repository
Previous Objects	Central Repository

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PROCESS MODELS

	Retrieve Proof
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Table 5.288: Event Receipt of Response to Proof Query

Task Record Response to Proof Query - T2L - T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Response to Proof Query - T2L - T2LF
Task Type	Service task
Description	When the "Response to Proof Query - T2L - T2LF" IE is received, the data elements are automatically recorded in the Proof of Union Status system - linked to the reference number.
Actor	Proof of Union Status System
Input Data	MRN
Output Data	C_RET_RPQ
Previous Objects	Receipt of Response to Proof Query
Information Exchange	Response to Proof Query - T2L-T2LF
Requirements	PUS_FUR_0011 PUS_FUR_0006
Data Set	
Events	
State	

Table 5.289: Task Record Response to Proof Query - T2L - T2LF

Task Identify if Proof Query was Submitted from National PoUS System / Other National System - T2L - T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Proof Query was Submitted from National PoUS System / Other National System - T2L - T2LF
Task Type	Service task
Description	The system will identify if the Proof query, was submitted from the central Proof of Union Status system or from a National System. Final situation: Yes - The Proof was submitted from a National Proof of Union Status system / Other National system. No - The Proof was submitted from the central Proof of Union Status

	system.
Actor	Proof of Union Status System
Input Data	MRN National System - NMSW Request Indication
Output Data	Result Check if Request is from National PoUS System / Other National System
Previous Objects	Record Response to Proof Query - T2L - T2LF
Information Exchange	
Requirements	PUS_FUR_0037
Data Set	
Events	
State	

Table 5.290: Task Identify if Proof Query was Submitted from National PoUS System / Other National System - T2L - T2LF

Task Send Proof Query Response to National PoUS System / Other National System - T2L-T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Send Proof Query Response to National PoUS System / Other National System - T2L-T2LF
Task Type	Send task
Description	<p>When the Proof is retrieved from the CR and the source of the query is a National Proof of Union Status system or other National system, a query response is automatically sent to National system to send all the details of the retrieved Proof. The "Query Response Information" in the message defines the proof of union status milestones (i.e. checkpoint proof statuses in CR - "E-Registered", "E-Expired", "P-Used" and "P-Partially Used" (for CGM Proofs)). So if the returned proof is under an intermediate presentation status, the response to a a National Proof of Union Status system or other National system will include the checkpoint status "E-Registered" in case of a T2L/T2LF Proof or of the first presentation of a CGM Proof or the checkpoint status "P-Partially Used" for all subsequent presentations of a CGM Proof.</p> <p>Final situation: All details of the retrieved Proof from the CR are sent to the National Proof of Union Status system / other National system from which the query was submitted.</p>
Actor	Proof of Union Status System
Input Data	C_NPU_RPQ

Output Data	
Previous Objects	Is Proof Query from National PoUS System / Other National System?
Information Exchange	Response to Proof Query from National System T2L/T2LF
Requirements	PUS_FUR_0036
Data Set	
Events	
State	

Table 5.291: Task Send Proof Query Response to National PoUS System / Other National System - T2L-T2LF

Event Proof Retrieved from Central Repository

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof Retrieved from Central Repository
Event Type	End event
Description	This process ends when the Proof and all its details are retrieved from the CR.
Appears also in the following processes	L4-PUS-02-03-Retrieve Proof of Union Status in the Form of CGM Data from Central Repository
Previous Objects	Send Proof Query Response to National PoUS System / Other National System - T2L-T2LF Is Proof Query from National PoUS System / Other National System?

Table 5.292: Event Proof Retrieved from Central Repository

5.14. Process L4-PUS-01-04-Proof of Union Status in the Form of T2L or T2LF Data - Provide Information

5.14.1. Objective of Process

This L4 process ("Proof of Union Status in the form of T2L or T2LF Data - Provide Information") will start when a request for information has been made by an EO or a Customs Officer and the updated T2L/T2LF Proof information must be made available. The request may concern only the status or the full data of the T2L/T2LF Proof. The T2L/T2LF Proof information request will be validated and in case of validation errors the process will end, and the EO will be informed of the validation errors.

If the request concerns only the Status of the T2L/T2LF Proof, no validation is performed regarding the access of the initiator to the T2L/T2LF Proof and the Proof status will be disclosed to the Initiator.

If the request concerns the full data of the T2L/T2LF Proof, the system will validate if the initiator has access to the T2L/T2LF Proof. In case the initiator has access, the full data of the T2L/T2LF Proof will be disclosed to the Initiator. Otherwise, a respective notification will be send.

The final result of this process will be one of the following:

- The EO or Customs Officer receives the Status of the T2L/T2LF Proof;

- The EO or Customs Officer receives the latest full information of the T2L/T2LF Proof if he/she has access to the T2L/T2LF Proof;
- The EO or Customs Officer receives a respective notification in case of non-access to the T2L/T2LF Proof.

5.14.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-PUS-01-04
Full Name	Proof of Union Status in the Form of T2L or T2LF Data - Provide Information
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-PUS-01-03
Existing Specifications	

Table 5.293: Process Details

5.14.3. Tasks and Events

Event T2L/T2LF Proof Information Requested

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	T2L/T2LF Proof Information Requested
Event Type	Start event
Description	This process starts upon the submission of a T2L/F Information Request to the CCO by an EO, via an EU harmonized trader interface.
Previous Objects	

Table 5.294: Event T2L/T2LF Proof Information Requested

Task Submit a T2L/T2LF Proof Information Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Submit a T2L/T2LF Proof Information Request
Task Type	Send task
Description	<p>This process starts when the EO submits a request to the CCO, via the EU Customs Trader Portal, requiring the latest information concerning a T2L/T2LF Proof. The EO will indicate if the request concerns only the status or the full data of the T2L/T2LF Proof. This request will need to be validated by the Proof of Union Status system</p> <p>Final situation: IE sent from the EO to the CCO.</p>

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Actor	Economic Operator
Input Data	E_INF_REQ
Output Data	
Previous Objects	T2L/T2LF Proof Information Requested
Information Exchange	Proof Information Request
Requirements	PUS_FUR_0041
Data Set	
Events	
State	

Table 5.295: Task Submit a T2L/T2LF Proof Information Request

Event T2L/T2LF Proof Information Requested by Customs Officer

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	T2L/T2LF Proof Information Requested by Customs Officer
Event Type	Start event
Description	This process starts upon the submission of a T2L/F Information Request to the CCO by the Customs Officer.
Previous Objects	

Table 5.296: Event T2L/T2LF Proof Information Requested by Customs Officer

Task Register Proof Information Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Proof Information Request
Task Type	User task
Description	This process starts when the Customs Officer registers a request requiring the latest information concerning a Proof. The Customs Officer will indicate if the request concerns only the status or the full data of the Proof. Final situation: The Customs Officer registers the Proof information request to get the latest information of a proof from the CR.
Actor	Customs Officer
Appears also in the following processes	L4-PUS-02-05-Proof of Union Status in the Form of CGM Data - Provide Information
Input Data	MRN

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Output Data	Competent Customs Office Proof Information Request Operation Proof Reference
Previous Objects	T2L/T2LF Proof Information Requested by Customs Officer
Information Exchange	
Requirements	PUS_FUR_0004
Data Set	
Events	
State	

Table 5.297: Task Register Proof Information Request

Event T2L/T2LF Proof Information Request Validation Error Notification Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	T2L/T2LF Proof Information Request Validation Error Notification Received
Event Type	Message intermediate event (catch)
Description	The EO receives a notification of the validation errors concerning the Information Request.
Previous Objects	Submit a T2L/T2LF Proof Information Request Notify Economic Operator about Validation Errors

Table 5.298: Event T2L/T2LF Proof Information Request Validation Error Notification Received

Event T2L/T2LF Proof Information Request Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	T2L/T2LF Proof Information Request Received
Event Type	Message start event
Description	Process is initiated when the Proof of Union Status system automatically receives a T2L/T2LF Information Request from an EO.
Previous Objects	Submit a T2L/T2LF Proof Information Request

Table 5.299: Event T2L/T2LF Proof Information Request Received

Task Perform Validation of T2L/T2LF Proof Information Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Perform Validation of T2L/T2LF Proof Information Request
Task Type	Service task
Description	<p>When a request for information is received, it must be technically validated. The system will verify the data from the request. Syntactic checks should include, at minimum:</p> <ul style="list-style-type: none"> - Data compliance to its associated Rules & Conditions. <p>When the system validates the information request as positive, the process will continue. When the system validates the T2L/T2LF proof information request as negative, the system will send a notification to the EO with the details of the validation errors.</p> <p>Final situation:</p> <ul style="list-style-type: none"> - The result of the T2L/T2LF Proof Information Request is positive (the request is valid) and the process continues. - The result of the T2L/T2LF Proof Information Request is negative (contains validation errors), the EO receives a notification and the process will end.
Actor	Proof of Union Status System
Input Data	
Output Data	E_INF_REQ Proof Information Request Validation Result
Previous Objects	T2L/T2LF Proof Information Request Received
Information Exchange	Proof Information Request
Requirements	PUS_FUR_0001 PUS_FUR_0003 PUS_FUR_0002
Data Set	
Events	
State	

Table 5.300: Task Perform Validation of T2L/T2LF Proof Information Request

Event Economic Operator Notified of Validation Errors

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Economic Operator Notified of Validation Errors
Event Type	End event
Description	The process ends here when the EO/PC has been notified of the validation errors occurring in the Information Request.
Appears also in the following	L4-PUS-01-04-Proof of Union Status in the Form of T2L or T2LF Data - Provide Information

processes	L4-PUS-02-05-Proof of Union Status in the Form of CGM Data - Provide Information
Previous Objects	T2L/T2LF Proof Information Request Validation Error Notification Received

Table 5.301: Event Economic Operator Notified of Validation Errors

Task Notify Economic Operator about Validation Errors

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Economic Operator about Validation Errors
Task Type	Send task
Description	When the Proof information request has proven to be invalid, a validation error notification is automatically sent to the EO/PC containing more details on the validation errors. The process will end here. Final situation: IEP31 – Validation Errors on Proof Information Request Notification is sent to the EO/PC, and the process ends.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-05-Proof of Union Status in the Form of CGM Data - Provide Information
Input Data	Request Rejection Date Functional Error Proof Reference
Output Data	
Previous Objects	Is Validation Result Positive?
Information Exchange	Validation Errors on Proof Information Request Notification
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.302: Task Notify Economic Operator about Validation Errors

Event Economic Operator Notified of Validation Errors

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Economic Operator Notified of Validation Errors

Event Type	End event
Description	The process ends here when the EO/PC has been notified of the validation errors occurring in the Information Request.
Appears also in the following processes	L4-PUS-01-04-Proof of Union Status in the Form of T2L or T2LF Data - Provide Information L4-PUS-02-05-Proof of Union Status in the Form of CGM Data - Provide Information
Previous Objects	Notify Economic Operator about Validation Errors

Table 5.303: Event Economic Operator Notified of Validation Errors

Task Record T2L/T2LF Proof Information Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record T2L/T2LF Proof Information Request
Task Type	Service task
Description	When the T2L/T2LF Proof information request is valid, the data elements following this are automatically recorded in the Proof of Union Status system - linked to the reference number.
Actor	Proof of Union Status System
Input Data	MRN E_INF_REQ
Output Data	E_INF_REQ
Previous Objects	Is Validation Result Positive?
Information Exchange	
Requirements	PUS_FUR_0006
Data Set	
Events	
State	

Table 5.304: Task Record T2L/T2LF Proof Information Request

Task Record Proof Information Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Proof Information Request
Task Type	Service task

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Description	After the Customs Officer registers the Proof information request, the data elements following this registration are automatically recorded in the Proof of Union Status system - linked to the reference number.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-05-Proof of Union Status in the Form of CGM Data - Provide Information
Input Data	Competent Customs Office Proof Information Request Operation Proof Reference
Output Data	Proof Information Request Operation Competent Customs Office Proof Reference
Previous Objects	Register Proof Information Request
Information Exchange	
Requirements	PUS_FUR_0006
Data Set	
Events	
State	

Table 5.305: Task Record Proof Information Request

Task Retrieve Proof of Union Status in the Form of T2L or T2LF Data from Central Repository.

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Retrieve Proof of Union Status in the Form of T2L or T2LF Data from Central Repository.
Task Type	Call activity (collapsed)
Description	The process starts when a T2L/T2LF Proof information request has been received by the CCO in order to retrieve T2L/T2LF data from the CR. The Proof of Union Status system launches a query to the CR in order to retrieve all the details of a proof for a given MRN. The final situation of this process will be the following: The proof is retrieved from the CR and all its details are available.
Actor	Proof of Union Status System
Input Data	
Output Data	
Previous Objects	Central Repository Record Proof Information Request

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	Record T2L/T2LF Proof Information Request
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.306: Task Retrieve Proof of Union Status in the Form of T2L or T2LF Data from Central Repository.

Task Identify If Full Data of the T2L/T2LF Proof is Requested

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Full Data of the T2L/T2LF Proof is Requested
Task Type	Service task
Description	When the Response to Proof Query from the CR has been received by the Proof of Union Status system, the system will automatically check if the request concerns the full data of the T2L/T2LF Proof or just the Status. Final situation: Yes - The request concerns the full data of the retrieved T2L/T2LF Proof. No - The request concerns only the Status of the retrieved T2L/T2LF Proof.
Actor	Proof of Union Status System
Input Data	C_RET_RPQ MRN Retrieve full data indication
Output Data	Result Check If Full Data is Requested
Previous Objects	Retrieve Proof of Union Status in the Form of T2L or T2LF Data from Central Repository.
Information Exchange	
Requirements	PUS_FUR_0046
Data Set	
Events	
State	

Table 5.307: Task Identify If Full Data of the T2L/T2LF Proof is Requested

Task Identify if Request Comes from a Customs Office

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Identify if Request Comes from a Customs Office
Task Type	Service task
Description	When the Response to Proof Query from the CR has been received by the Proof of Union Status system, the system will automatically check if the T2L/T2LF Proof information request comes from an EO or a Customs Officer. Final situation: Yes - The request was made by a Customs Officer. No – The request was made by an EO.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-01-04-Proof of Union Status in the Form of T2L or T2LF Data - Provide Information
Input Data	MRN Person requesting the latest proof details identification No. Competent Customs Office
Output Data	Result Check If Proof Information Request is Initiated by a Customs Office
Previous Objects	Is Full Data to be Provided?
Information Exchange	
Requirements	PUS_FUR_0047
Data Set	
Events	
State	

Table 5.308: Task Identify if Request Comes from a Customs Office

Task Acknowledge T2L/T2LF Proof Status

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Acknowledge T2L/T2LF Proof Status
Task Type	User task
Description	The Proof of Union Status system will present to the Customs Officer the Proof Status of the retrieved T2L/T2LF Proof. Final situation: The Customs Officer acknowledges the Proof Status of the retrieved T2L/T2LF Proof. The process will end with a status “T2L/T2LF Proof Information Presented”.
Actor	Customs Officer

Input Data	Proof Status MRN
Output Data	Proof Status MRN
Previous Objects	Request is from a Customs Office
Information Exchange	
Requirements	PUS_FUR_0012
Data Set	
Events	
State	

Table 5.309: Task Acknowledge T2L/T2LF Proof Status

Event T2L/T2LF Proof Information Presented

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	T2L/T2LF Proof Information Presented
Event Type	End event
Description	This process ends when the requested Proof Information Request was successfully presented.
Appears also in the following processes	L4-PUS-01-04-Proof of Union Status in the Form of T2L or T2LF Data - Provide Information
Previous Objects	Acknowledge T2L/T2LF Proof Status

Table 5.310: Event T2L/T2LF Proof Information Presented

Event T2L/T2LF Proof Information Notification Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	T2L/T2LF Proof Information Notification Received
Event Type	Message intermediate event (catch)
Description	The EO receives a notification on the presentation of the Information of the Proof.
Previous Objects	Submit a T2L/T2LF Proof Information Request Notify Economic Operator of the T2L/T2LF Proof Status

Table 5.311: Event T2L/T2LF Proof Information Notification Received

Task Notify Economic Operator of the T2L/T2LF Proof Status

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Economic Operator of the T2L/T2LF Proof Status
Task Type	Send task
Description	When the Proof information request was initiated by an EO, a notification IE is automatically sent to the EO to notify him about the Status of the T2L/T2LF Proof. Final situation: A response to T2L/T2LF Proof Information Request is sent to the EO, and the process end with status "T2L/T2LF Proof Information Presented".
Actor	Proof of Union Status System
Input Data	E_INF_RES Person requesting the latest proof details identification No.
Output Data	
Previous Objects	Request is from a Customs Office
Information Exchange	Response to T2L/T2LF Proof Information Request
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.312: Task Notify Economic Operator of the T2L/T2LF Proof Status

Event T2L/T2LF Proof Information Presented

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	T2L/T2LF Proof Information Presented
Event Type	End event
Description	This process ends when the requested Proof Information Request was successfully presented.
Appears also in the following processes	L4-PUS-01-04-Proof of Union Status in the Form of T2L or T2LF Data - Provide Information
Previous Objects	T2L/T2LF Proof Information Notification Received

Table 5.313: Event T2L/T2LF Proof Information Presented

Event T2L/T2LF Proof Information Presented

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	T2L/T2LF Proof Information Presented
Event Type	End event
Description	This process ends when the requested Proof Information Request was successfully presented.
Appears also in the following processes	L4-PUS-01-04-Proof of Union Status in the Form of T2L or T2LF Data - Provide Information
Previous Objects	Notify Economic Operator of the T2L/T2LF Proof Status

Table 5.314: Event T2L/T2LF Proof Information Presented

Task Identify If T2L or T2LF data are Valid to be Provided

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If T2L or T2LF data are Valid to be Provided
Task Type	Service task
Description	<p>When the Response to Proof Query from the CR has been received by the Proof of Union Status system, the system will automatically check if the EO who submitted the request is indicated in T2L/F proof data. An EO has access to the detailed Proof data, if he/she is indicated in the T2L/T2LF Proof data as "Person requesting a Proof of customs Union goods" or "Person presenting a Proof of customs Union goods" or "Representative at Departure" or "Representative at Arrival". For the Customs Officers, anyone having the MRN of a Proof can access the detailed Proof data.</p> <p>Final situation: Yes - The initiator has access to the Proof data, so the data can be provided. No - The initiator has no access to the Proof data, so the data cannot be provided.</p>
Actor	Proof of Union Status System
Input Data	Person requesting the latest proof details identification No. MRN C_RET_RPQ
Output Data	Result Check If User has Access to a Proof
Previous Objects	Is Full Data to be Provided?
Information Exchange	
Requirements	PUS_FUR_0048
Data Set	

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Events	
State	

Table 5.315: Task Identify If T2L or T2LF data are Valid to be Provided

Task Identify if Request Comes from a Customs Office

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Request Comes from a Customs Office
Task Type	Service task
Description	When the Response to Proof Query from the CR has been received by the Proof of Union Status system, the system will automatically check if the T2L/T2LF Proof information request comes from an EO or a Customs Officer. Final situation: Yes - The request was made by a Customs Officer. No – The request was made by an EO.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-01-04-Proof of Union Status in the Form of T2L or T2LF Data - Provide Information
Input Data	MRN Person requesting the latest proof details identification No. Competent Customs Office
Output Data	Result Check If Proof Information Request is Initiated by a Customs Office
Previous Objects	Is Proof Valid to be Provided?
Information Exchange	
Requirements	PUS_FUR_0047
Data Set	
Events	
State	

Table 5.316: Task Identify if Request Comes from a Customs Office

Task Acknowledge Proof Information is not Valid to be Provided

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Acknowledge Proof Information is not Valid to be Provided
Task Type	User task

Description	The Proof of Union Status system will inform the Customs Officer that the proof information is not valid to be provided, because the respective Customs Office is not indicated in the proof data. Final situation: The Customs Officer acknowledges that the proof information is not valid to be provided. The process will end with a status "Rejected".
Actor	Customs Officer
Appears also in the following processes	L4-PUS-02-05-Proof of Union Status in the Form of CGM Data - Provide Information
Input Data	Result Check If User has Access to a Proof MRN Proof Status
Output Data	MRN Proof Status Result Check If User has Access to a Proof
Previous Objects	Request is from a Customs Office
Information Exchange	
Requirements	PUS_FUR_0012
Data Set	
Events	
State	

Table 5.317: Task Acknowledge Proof Information is not Valid to be Provided

Task Identify if Request Comes from a Customs Office

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Request Comes from a Customs Office
Task Type	Service task
Description	When the Response to Proof Query from the CR has been received by the Proof of Union Status system and the system has identified that the initiator has access to the retrieved T2L/T2LF Proof, the system will automatically check if the T2L/T2LF Proof information request comes from an EO or a Customs Officer. Final situation: Yes - The request was made by a Customs Officer. No – The request was made by an EO.
Actor	Proof of Union Status System

Input Data	Competent Customs Office Person requesting the latest proof details identification No. MRN
Output Data	Result Check If Proof Information Request is Initiated by a Customs Office
Previous Objects	Is Proof Valid to be Provided?
Information Exchange	
Requirements	PUS_FUR_0047
Data Set	
Events	
State	

Table 5.318: Task Identify if Request Comes from a Customs Office

Event Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Rejected
Event Type	End event
Description	The process ends here when Proof Information is not valid to be provided and Information Request is rejected.
Appears also in the following processes	L4-PUS-02-01-03-Handle Endorsement of a Proof in the Form of CGM Data L4-PUS-01-04-Proof of Union Status in the Form of T2L or T2LF Data - Provide Information L4-PUS-02-05-Proof of Union Status in the Form of CGM Data - Provide Information
Previous Objects	Acknowledge Proof Information is not Valid to be Provided

Table 5.319: Event Rejected

Event T2L/T2LF Proof Information Request Validation Error Notification Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	T2L/T2LF Proof Information Request Validation Error Notification Received
Event Type	Message intermediate event (catch)
Description	The EO receives a notification of the negative Information Request, because the Proof Information is not valid to be provided.

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Previous Objects	Submit a T2L/T2LF Proof Information Request Notify Economic Operator that T2L or T2LF data are not Valid to be Provided
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Table 5.320: Event T2L/T2LF Proof Information Request Validation Error Notification Received

Task Notify Economic Operator that T2L or T2LF data are not Valid to be Provided

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Economic Operator that T2L or T2LF data are not Valid to be Provided
Task Type	Send task
Description	When the T2L/T2LF Proof data are not valid to be provided and the Proof information request was initiated by an EO, a notification IE is automatically sent to the EO to notify him of the errors occurring. This IE will contain details of the error, which is related to the EO who submits the request mismatch against the user indicated in the T2L/T2LF Proof data as "Person requesting a Proof of customs Union goods" or "Person presenting a Proof of customs Union goods" or "Representative at Departure" or "Representative at Arrival". Final situation: A response to T2L/T2LF Proof Information Request is sent to the EO, and the process end with status "Rejected".
Actor	Proof of Union Status System
Input Data	E_INF_RES Person requesting the latest proof details identification No.
Output Data	
Previous Objects	Request is from a Customs Office
Information Exchange	Response to T2L/T2LF Proof Information Request
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.321: Task Notify Economic Operator that T2L or T2LF data are not Valid to be Provided

Event Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Rejected

Event Type	End event
Description	The process ends here when Proof Information is not valid to be provided and Information Request is rejected.
Appears also in the following processes	L4-PUS-02-01-03-Handle Endorsement of a Proof in the Form of CGM Data L4-PUS-01-04-Proof of Union Status in the Form of T2L or T2LF Data - Provide Information L4-PUS-02-05-Proof of Union Status in the Form of CGM Data - Provide Information
Previous Objects	T2L/T2LF Proof Information Request Validation Error Notification Received

Table 5.322: Event Rejected

Event Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Rejected
Event Type	End event
Description	The process ends here when Proof Information is not valid to be provided and Information Request is rejected.
Appears also in the following processes	L4-PUS-02-01-03-Handle Endorsement of a Proof in the Form of CGM Data L4-PUS-01-04-Proof of Union Status in the Form of T2L or T2LF Data - Provide Information L4-PUS-02-05-Proof of Union Status in the Form of CGM Data - Provide Information
Previous Objects	Notify Economic Operator that T2L or T2LF data are not Valid to be Provided

Table 5.323: Event Rejected

Task Acknowledge Proof Information - T2L-T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Acknowledge Proof Information - T2L-T2LF
Task Type	User task
Description	The Proof of Union Status system will provide to the Customs Officer the full data with the latest details of the Proof as they were retrieved from the CR because the respective Customs Office is indicated in the T2L/T2LF proof data Final situation: The Customs Officer acknowledges the full data with the latest proof

	information as they were retrieved from the CR. The process will end with a status “T2L/T2LF Proof Information Presented”.
Actor	Customs Officer
Input Data	MRN T2L/T2LF Proof Information Response Full Data
Output Data	T2L/T2LF Proof Information Response Full Data MRN
Previous Objects	Request is from a Customs Office?
Information Exchange	
Requirements	PUS_FUR_0012
Data Set	
Events	
State	

Table 5.324: Task Acknowledge Proof Information - T2L-T2LF

Event T2L/T2LF Proof Information Presented

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	T2L/T2LF Proof Information Presented
Event Type	End event
Description	This process ends when the requested Proof Information Request was successfully presented.
Appears also in the following processes	L4-PUS-01-04-Proof of Union Status in the Form of T2L or T2LF Data - Provide Information
Previous Objects	Acknowledge Proof Information - T2L-T2LF

Table 5.325: Event T2L/T2LF Proof Information Presented

Event T2L/T2LF Proof Information Notification Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	T2L/T2LF Proof Information Notification Received
Event Type	Message intermediate event (catch)
Description	The EO receives a notification on the presentation of the Information of the Proof.
Previous Objects	Notify Economic Operator of T2L/T2LF Proof Information Submit a T2L/T2LF Proof Information Request

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Table 5.326: Event T2L/T2LF Proof Information Notification Received

Task Notify Economic Operator of T2L/T2LF Proof Information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Economic Operator of T2L/T2LF Proof Information
Task Type	Send task
Description	<p>When the T2L/T2LF Proof data are valid to be provided and the Proof information request was initiated by an EO, a notification IE is automatically sent to the EO to notify him of the full data with the latest details of the Proof as they were retrieved from the CR.</p> <p>Final situation: A response to T2L/T2LF Proof Information Request is sent, and the process end with status “T2L/T2LF Proof Information Presented”.</p>
Actor	Proof of Union Status System
Input Data	Person requesting the latest proof details identification No. E_INF_RES
Output Data	
Previous Objects	Request is from a Customs Office?
Information Exchange	Response to T2L/T2LF Proof Information Request
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.327: Task Notify Economic Operator of T2L/T2LF Proof Information

Event T2L/T2LF Proof Information Presented

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	T2L/T2LF Proof Information Presented
Event Type	End event
Description	This process ends when the requested Proof Information Request was successfully presented.
Appears also in the following processes	L4-PUS-01-04-Proof of Union Status in the Form of T2L or T2LF Data - Provide Information

Previous Objects	T2L/T2LF Proof Information Notification Received
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Table 5.328: Event T2L/T2LF Proof Information Presented

Event T2L/T2LF Proof Information Presented

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	T2L/T2LF Proof Information Presented
Event Type	End event
Description	This process ends when the requested Proof Information Request was successfully presented.
Appears also in the following processes	L4-PUS-01-04-Proof of Union Status in the Form of T2L or T2LF Data - Provide Information
Previous Objects	Notify Economic Operator of T2L/T2LF Proof Information

Table 5.329: Event T2L/T2LF Proof Information Presented

5.15. Process L4-PUS-01-05-Proof of Union Status in the Form of T2L or T2LF Data - Expire Proof

5.15.1. Objective of Process

This L4 process is an automatic administrative process to expire the Proofs for which the validity time has expired, and they have not yet been presented at a PCO. This process is scheduled to be initiated on a daily basis. The Proof of Union Status system identifies the Proofs that have expired and for each of them, updates their information in the CR in order to mark them as expired.

Finally, the respective PC or AI is notified about the expiration of each Proof and in case the Proof was created by a National PoUS system, the National PoUS system is also notified.

5.15.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-PUS-01-05
Full Name	Proof of Union Status in the Form of T2L or T2LF Data - Expire Proof
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	N/A
Existing Specifications	

Table 5.330: Process Details

5.15.3. Tasks and Events

Task Issue Expiration Reference Number

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Issue Expiration Reference Number
Task Type	Service task
Description	<p>Before retrieving the Proofs that have to expire, the Proof of Union Status system will automatically generate a unique reference number that will be linked to the expiration process instance. The number will start with the expiration date followed by a sequence number. This reference number will be the unique identifier during the whole process of Proof archiving.</p> <p>Final situation: Unique reference number is generated and linked to the expiration process.</p>
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-06-Proof of Union Status in the Form of CGM Data - Archive Proof
Input Data	Proof Expiration Date
Output Data	Proof Expiration Reference Number
Previous Objects	Automatic Daily Initiation to Check the Proofs that have Expired without any Use of them
Information Exchange	
Requirements	PUS_FUR_0055
Data Set	
Events	
State	

Table 5.331: Task Issue Expiration Reference Number

Event Automatic Daily Initiation to Check the Proofs that have Expired without any Use of them

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Automatic Daily Initiation to Check the Proofs that have Expired without any Use of them
Event Type	Timer start event
Description	Process is initiated periodically at a specific time so as to check for Proofs that have an expiration of validity time.
Appears also in the following processes	L4-PUS-02-06-Proof of Union Status in the Form of CGM Data - Archive Proof

Previous Objects	

Table 5.332: Event Automatic Daily Initiation to Check the Proofs that have Expired without any Use of them

Task Retrieve Proofs for Expiration

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Retrieve Proofs for Expiration
Task Type	Service task
Description	When the process is initiated, the system launches a query to the CR in order to retrieve the proofs for which the "Validity end date" is equal to the current date. A Proof can be expired if the "Validity end date" has expired and the Proof has not yet been presented at a PCO. Final situation: The Proof of Union Status system launches a Proof query to the CR in order to retrieve the proofs that are to expire.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-06-Proof of Union Status in the Form of CGM Data - Archive Proof
Input Data	Proof Expiration Date Proof Expiration Reference Number
Output Data	
Previous Objects	Issue Expiration Reference Number
Information Exchange	Proof Query for Expiration
Requirements	PUS_FUR_0011 PUS_FUR_0042
Data Set	
Events	
State	

Table 5.333: Task Retrieve Proofs for Expiration

Event Receipt of Response to Proof Query for Expiration

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receipt of Response to Proof Query for Expiration
Event Type	Message intermediate event (catch)
Description	This event indicates that the Proof of Union Status system receives the

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	Response to Proof Query for expiration from the CR, based on the date provided in the Proof Query for expiration.
Appears also in the following processes	L4-PUS-02-06-Proof of Union Status in the Form of CGM Data - Archive Proof
Previous Objects	Central Repository Retrieve Proofs for Expiration

Table 5.334: Event Receipt of Response to Proof Query for Expiration

Task Record Response to Proof Query for Expiration - T2L - T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Response to Proof Query for Expiration - T2L - T2LF
Task Type	Service task
Description	When the "Response to Proof Query for Expiration - T2L - T2LF" IE is received, the data elements are automatically recorded in the Proof of Union Status system - linked to the reference number. The system identifies if Proofs for expiration have been retrieved. By default all the returned Proofs that are to be expired have the "Expired Indication" = "No".
Actor	Proof of Union Status System
Input Data	Proof Expiration Reference Number
Output Data	C_PAR_RPQ Result Check If Proof Proofs for Expiration Have Been Retrieved
Previous Objects	Receipt of Response to Proof Query for Expiration
Information Exchange	Response to Proof Query for Expiration - T2L-T2LF
Requirements	PUS_FUR_0006 PUS_FUR_0011 PUS_FUR_0043
Data Set	
Events	
State	

Table 5.335: Task Record Response to Proof Query for Expiration - T2L - T2LF

Event No Proofs for expiration.

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
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Event Name	No Proofs for expiration.
Event Type	End event
Description	The process ends here when there are no Proofs for expiration.
Appears also in the following processes	L4-PUS-02-06-Proof of Union Status in the Form of CGM Data - Archive Proof
Previous Objects	Has any Proof for Expiration Been Retrieved?

Table 5.336: Event No Proofs for expiration.

Task Select Proof for Expiration

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Select Proof for Expiration
Task Type	Service task
Description	<p>When the system has identified the Proofs that are to expire, the system automatically records the "Proof Expiration Date" and selects the first proof that has not been set as expired (Expire Indication = "No"). For this specific proof the state of the T2L/T2LF Proof changes to "E-Expired". Then the process continues with the expiration of the selected proof in the CR.</p> <p>Final situation: The Proof of Union Status system records the "Proof Expiration Date" and selects the proof to expire. The state of the selected T2L/T2LF Proof changes to "E-Expired"</p>
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-06-Proof of Union Status in the Form of CGM Data - Archive Proof
Input Data	Proof Expiration Date Proof Expiration Reference Number Proofs to Be Expired
Output Data	State of T2L/T2LF Proof Proof Expiration Date Proof for Expiration T2L/T2LF Proof
Previous Objects	Has any Proof for Expiration Been Retrieved? Have All Proofs Expired?
Information Exchange	
Requirements	PUS_FUR_0006

Data Set	T2L/T2LF Proof
Events	Proof Expiration is Recorded
State	E-Expired

Table 5.337: Task Select Proof for Expiration

Task Store Expiration of Proof T2L-T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Store Expiration of Proof T2L-T2LF
Task Type	Send task
Description	<p>When the Proof of Union Status system has selected the proof that is to expire, the system sends the expiration details of a Proof to the CR and waits for a reply/confirmation that the expiration of the Proof has been successfully stored. All information related to the expiration of the Proof of Union status are included in the update of the Proof of Union status assigned to the MRN.</p> <p>Final situation: The updated proof is recorded in the CR and is now centrally available.</p>
Actor	Proof of Union Status System
Input Data	Register Expiration of Proof Operation Information T2L/T2LF Proof Expiration Reference Number Proof Expiration Date
Output Data	C_PUT_ARC
Previous Objects	Select Proof for Expiration
Information Exchange	Register Expiration of Proof T2L/T2LF
Requirements	PUS_FUR_0011
Data Set	
Events	
State	

Table 5.338: Task Store Expiration of Proof T2L-T2LF

Event Receipt of Notification for the Registration of the Expiration of Proof

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
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Event Name	Receipt of Notification for the Registration of the Expiration of Proof
Event Type	Message intermediate event (catch)
Description	PoUS receives a notification about the registration of Proof Expiration.
Appears also in the following processes	L4-PUS-02-06-Proof of Union Status in the Form of CGM Data - Archive Proof
Previous Objects	Store Expiration of Proof T2L-T2LF Central Repository

Table 5.339: Event Receipt of Notification for the Registration of the Expiration of Proof

Task Record Notification for the Registration of Expiration - T2L-T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Notification for the Registration of Expiration - T2L-T2LF
Task Type	Service task
Description	When the confirmation from the CR, that the proof assigned to the given MRN has been successfully expired is received, the data elements following this are automatically recorded in the Proof of Union Status system - linked to the reference number.
Actor	Proof of Union Status System
Input Data	Proof Expiration Reference Number
Output Data	C_PUS_ARR
Previous Objects	Receipt of Notification for the Registration of the Expiration of Proof
Information Exchange	Notification for the Registration of Expiration
Requirements	PUS_FUR_0006
Data Set	
Events	
State	

Table 5.340: Task Record Notification for the Registration of Expiration - T2L-T2LF

Task Record Proof Expiration Details

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Proof Expiration Details
Task Type	Service task

Description	When the Proof has expired in the CR, the system automatically set the respective "Expired Indication" to "Yes". Final situation: The Proof of Union Status system updates the Expired Indication for a specific MRN.
Actor	Proof of Union Status System
Input Data	Proof for Expiration Proof Expiration Reference Number
Output Data	Proof for Expiration
Previous Objects	Record Notification for the Registration of Expiration - T2L-T2LF
Information Exchange	
Requirements	PUS_FUR_0006
Data Set	
Events	
State	

Table 5.341: Task Record Proof Expiration Details

Task Identify if Expired Proof was Created by National PoUS System

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Expired Proof was Created by National PoUS System
Task Type	Service task
Description	When the expiration of the Proof has been recorded, the Proof of Union Status system will identify if the proof was created by a National PoUS system or not. If the "National System - NMSW Request Indication" of the Proof (as retrieved from the CR) is "Yes", the Proof was created by a National PoUS system. Additionally, in case the Proof was created by a National PoUS system, the respective Member State will be identified from the "Competent Customs Office", that was responsible for the creation of the Proof. Final situation: Yes – The Proof was created by a National PoUS system. No – The Proof was created by the Central PoUS system.
Actor	Proof of Union Status System
Input Data	Proof Expiration Reference Number Proof Operation Information for T2L-T2LF Competent Customs Office

Output Data	Result Check if Proof was Created by National PoUS System Member State
Previous Objects	Record Proof Expiration Details
Information Exchange	
Requirements	PUS_FUR_0054 PUS_FUR_0053
Data Set	
Events	
State	

Table 5.342: Task Identify if Expired Proof was Created by National PoUS System

Task Notify Person Concerned/Authorised Issuer about Expiration of Proof - T2L-T2LF

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Person Concerned/Authorised Issuer about Expiration of Proof - T2L-T2LF
Task Type	Send task
Description	The MRN of the proof that has expired and the expiration details are automatically sent to the PC or AI in the Proof Expiration Notification. Final situation: A T2L/T2LF Proof Expiration Notification is sent to the PC or AI. Simultaneously a notification is sent to the respective National PoUS system, in case the Proof was created by a National PoUS system.
Actor	Proof of Union Status System
Input Data	Proof Expiration Reference Number E_PAR_NOT
Output Data	
Previous Objects	Record Proof Expiration Details
Information Exchange	Proof Expiration Notification
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.343: Task Notify Person Concerned/Authorised Issuer about Expiration of Proof - T2L-T2LF

Task Notify National PoUS System about Proof Expiration

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify National PoUS System about Proof Expiration
Task Type	Send task
Description	When the Proof was created by a National PoUS system, a notification will automatically be sent to the National PoUS system to notify it of the expiration of the Proof. Simultaneously a notification is sent to the PC or AI.
Actor	Proof of Union Status System
Input Data	C_PAR_NAN
Output Data	
Previous Objects	Proof was Created in a National PoUS System?
Information Exchange	Notification of National PoUS System on Proof Expiration
Requirements	PUS_FUR_0036
Data Set	
Events	
State	

Table 5.344: Task Notify National PoUS System about Proof Expiration

Task Identify if All Proofs Have Expired

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if All Proofs Have Expired
Task Type	Service task
Description	When all the involved parts have been notified about the expiration of a Proof, the system will identify if all retrieved Proofs have expired. If more Proofs need to expire, the system will handle the expiration of the next Proof. If all retrieved Proofs have expired the process will end. Final situation: - Yes: All Proofs have expired and the process ends; - No: All Proofs haven't expired and the process will continue with the expiration of the next Proof.
Actor	Proof of Union Status System
Input Data	Proof Expiration Reference Number Proofs to Be Expired

Output Data	Proofs to Be Expired Result Check If All Proof Proofs Have Been Expired
Previous Objects	Notify Person Concerned/Authorised Issuer about Expiration of Proof - T2L-T2LF Proof was Created in a National PoUS System? Notify National PoUS System about Proof Expiration
Information Exchange	
Requirements	PUS_FUR_0049
Data Set	
Events	
State	

Table 5.345: Task Identify if All Proofs Have Expired

Event All Proofs are Expired

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	All Proofs are Expired
Event Type	End event
Description	The process ends here when all Proofs are Expired.
Appears also in the following processes	L4-PUS-02-06-Proof of Union Status in the Form of CGM Data - Archive Proof
Previous Objects	Have All Proofs Expired?

Table 5.346: Event All Proofs are Expired

5.16. Process L4-PUS-02-01-01-Risk Consultation for Endorsement Request in the Form of CGM Data

5.16.1. Objective of Process

This L4 process triggers the risk analysis process which is carried out by the national risk analysis system. The endorsement request data is sent to the risk analysis system and processed against risk rules (including common risk criteria and standards where applicable). The risk analysis system sends back the risk analysis result.

The final result of this process is that risk analysis for endorsement request is recorded in the Proof of Union Status system.

5.16.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
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Process ID	L4-PUS-02-01-01
Full Name	Risk Consultation for Endorsement Request in the Form of CGM Data
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-PUS-01-01
Existing Specifications	

Table 5.347: Process Details

5.16.3. Tasks and Events

Event National Risk Analysis system is to be consulted

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	National Risk Analysis system is to be consulted
Event Type	Conditional start event
Description	National Risk Analysis system is to be consulted
Appears also in the following processes	L4-PUS-02-02-01-Risk Consultation for Use of Proof in the Form of CGM Data L4-PUS-01-01-01-Risk Consultation for Endorsement/Registration Request in the Form of T2L or T2LF Data
Previous Objects	

Table 5.348: Event National Risk Analysis system is to be consulted

Task Notify Competent Member State that Risk Analysis is to be Performed for PoUS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Competent Member State that Risk Analysis is to be Performed for PoUS
Task Type	Send task
Description	The competent Member State is informed that a risk analysis is requested and receives all data needed to perform risk analysis.
Actor	Proof of Union Status System
Input Data	Risk Analysis Request Date Proof Operation Information Member State Proof Endorsement Request Information
Output Data	
Previous Objects	National Risk Analysis system is to be consulted

Information Exchange	Risk Analysis Request for Endorsement/Amendment Request CGM
Requirements	PUS_FUR_0020
Data Set	
Events	
State	

Table 5.349: Task Notify Competent Member State that Risk Analysis is to be Performed for PoUS

Event Risk Analysis Response from the Competent MS Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Risk Analysis Response from the Competent MS Received
Event Type	Message intermediate event (catch)
Description	This event indicates that the Proof of Union Status system has received the risk analysis response from the competent Member State.
Appears also in the following processes	L4-PUS-02-02-01-Risk Consultation for Use of Proof in the Form of CGM Data L4-PUS-01-01-01-Risk Consultation for Endorsement/Registration Request in the Form of T2L or T2LF Data
Previous Objects	Notify Competent Member State that Risk Analysis is to be Performed for PoUS Member State Competent for Risk Analysis

Table 5.350: Event Risk Analysis Response from the Competent MS Received

Task Record Risk Analysis Response from Competent MS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Risk Analysis Response from Competent MS
Task Type	Service task
Description	When the risk analysis response is received it is automatically recorded in the Proof of Union Status system. The state of the endorsement or registration request (depending on the request type) changes to "E-Waiting Control Decision".
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-02-01-Risk Consultation for Use of Proof in the Form of CGM Data L4-PUS-01-01-01-Risk Consultation for Endorsement/Registration Request in the Form of T2L or T2LF Data

Input Data	Request Type
Output Data	State of Endorsement/Registration Request Endorsement Request Member State Risk Analysis Result Date Registration Request Risk Analysis Result Information
Previous Objects	Risk Analysis Response from the Competent MS Received
Information Exchange	Risk Analysis Response
Requirements	PUS_FUR_0006 PUS_FUR_0020
Data Set	Endorsement Request Registration Request
Events	National RA Result is Recorded
State	E-Waiting Control Decision

Table 5.351: Task Record Risk Analysis Response from Competent MS

Event Risk Analysis Response Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Risk Analysis Response Received
Event Type	End event
Description	This process ends when the risk analysis response was successfully received and recorded in the Proof of Union Status system.
Appears also in the following processes	L4-PUS-02-02-01-Risk Consultation for Use of Proof in the Form of CGM Data L4-PUS-01-01-01-Risk Consultation for Endorsement/Registration Request in the Form of T2L or T2LF Data
Previous Objects	Record Risk Analysis Response from Competent MS

Table 5.352: Event Risk Analysis Response Received

5.17. Process L4-PUS-02-01-02-Proof of Union Status in the Form of CGM Data - Perform Controls by CCO

5.17.1. Objective of Process

When no National Risk Analysis system is to be consulted or if a National Risk Analysis system is consulted, when the Risk Analysis results is recorded, this process is initiated.

First of all, the Customs Officer has to decide if the relevant controls to verify that the goods are Union goods corresponding to the goods declared in the request, will be performed or not. In the case that a National Risk Analysis system is consulted and no risk has been identified, this decision has to be taken within a specific time limit. If this time limit expires, the control results will be considered satisfactory.

If a timer has been set and:

- the Customs Officer decides not to perform controls, the process ends;
- the Customs Officer decides to perform the controls, the timer is cancelled so that the controls are manually completed and the documentary checks will first be done.

If no timer has been set and:

- the Customs Officer decides not to perform controls, the process ends;
- the Customs Officer decides to perform the controls, the documentary checks will first be done.

Then, the Customs Officer will decide if supplementary documents must be requested from the PC. If that is the case, the Customs Officer will wait for the response from the PC and when the documents are provided they will be controlled. The Customs Officer will register the control results based on the documentary checks and if physical controls have been decided to be performed, the Customs Officer will register the control results based on both documentary and physical checks.

The final situation of this process will be one of the following:

- No control has been performed;
- The control results have been recorded;
- In case a timer function is triggered, the time limit to handle the relevant controls has expired.

5.17.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-PUS-02-01-02
Full Name	Proof of Union Status in the Form of CGM Data - Perform Controls by CCO
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-PUS-01-01
Existing Specifications	

Table 5.353: Process Details

5.17.3. Tasks and Events

Event Risk Analysis Recorded or No National Risk Analysis System was Consulted

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Risk Analysis Recorded or No National Risk Analysis System was

	Consulted
Event Type	Conditional start event
Description	This process starts when the Risk Analysis result has been recorded or no National Risk Analysis System was consulted.
Appears also in the following processes	L4-PUS-01-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Perform Controls by CCO
Previous Objects	

Table 5.354: Event Risk Analysis Recorded or No National Risk Analysis System was Consulted

Task Register Decision to Perform Relevant Control and Level of Control at the CCO

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Decision to Perform Relevant Control and Level of Control at the CCO
Task Type	User task
Description	<p>A Customs Officer must be able to view the details of the endorsement request and the result of the risk analysis (in case a risk analysis system was consulted) via the user interface of the Proof of Union Status system. The Customs Officer reviews the content and documents the details for:</p> <p>A decision regarding the execution of relevant controls;</p> <p>In case there is a decision to execute controls a decision is given on whether only documentary controls should take place or documentary and physical controls should take place.</p> <p>Final situation: The Customs Officer registers one of the following results:</p> <ul style="list-style-type: none"> -The result is no: the relevant control is not to be performed; -The result is yes with documentary controls: the relevant control is to be performed with documentary controls only; -The result is yes with documentary controls and physical controls to be performed.
Actor	Customs Officer
Input Data	Risk Analysis Result Information Proof Endorsement Request Information Proof Operation Information
Output Data	Perform Physical Control Decision Execution of Relevant Control Decision
Previous Objects	Risk Analysis Recorded or No National Risk Analysis System was Consulted
Information Exchange	

Requirements	PUS_FUR_0004
Data Set	
Events	
State	

Table 5.355: Task Register Decision to Perform Relevant Control and Level of Control at the CCO

Task Register Documentary Controls or Decision to Request Supplementary Documents and Optionally Update Decision on Physical Controls

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Documentary Controls or Decision to Request Supplementary Documents and Optionally Update Decision on Physical Controls
Task Type	User task
Description	<p>Based on the decision to perform relevant controls or not, a Customs Officer will first, manually execute documentary controls on the received endorsement request to verify that the goods are Union goods corresponding to the goods declared in the request.</p> <p>In case supplementary documents are required, the Customs Officer requests them from the PC.</p> <p>In case no supplementary documents are needed the Customs Officer registers the result of the control based on the documentary checks.</p> <p>The Customs Officer may update his decision on the execution of Physical Controls.</p> <p>If the Customs Officer checked the option about performing physical controls in the initial task of the Process, in this step the relevant decision can be revisited and a decision not to perform the physical controls may be entered. On the opposite case, the decision to perform physical controls may be entered in this step if it hadn't been decided in the first step.</p> <p>The result of the control may be one of the following:</p> <ul style="list-style-type: none"> ● "A1 – Satisfactory"; ● "P2 – Different goods"; ● "P3 – Non-union goods". <p>Final situation:</p> <p>The Customs Officer registers one of the following:</p> <ul style="list-style-type: none"> - Supplementary documents are needed and optionally the decision to perform Physical Controls is revisited; or - No supplementary documents are needed, the result of the control is registered and optionally the decision to perform Physical Controls is revisited;
Actor	Customs Officer
Input Data	Proof Operation Information Risk Analysis Result Information Proof Endorsement Request Information

Output Data	Perform Physical Control Decision Documentary Control at Departure
Previous Objects	Has a time limit been set? Cancel Time Limit to Decide to Perform Controls
Information Exchange	
Requirements	PUS_FUR_0004
Data Set	
Events	
State	

Table 5.356: Task Register Documentary Controls or Decision to Request Supplementary Documents and Optionally Update Decision on Physical Controls

Task Cancel Time Limit to Decide to Perform Controls

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Cancel Time Limit to Decide to Perform Controls
Task Type	Service task
Description	If a time limit has been set for the completion of the decision to perform controls and the CO has decided to perform controls, the timer is cancelled so that the controls are manually completed.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-02-04-Present Proof of Union Status in the Form of CGM Data - Perform Controls by PCO
Input Data	Relevant Control Decision Completion Expiry Date Proof Operation Information
Output Data	Relevant Control Decision Completion Expiry Date Time Limit to Perform Controls
Previous Objects	Has a time limit been set?
Information Exchange	
Requirements	PUS_FUR_0006
Data Set	Time Limit to Perform Controls
Events	Time Limit Cancelled

State	Time Limit Cancelled

Table 5.357: Task Cancel Time Limit to Decide to Perform Controls

Task Identify if Time Limit to Decide to Perform Controls has been set

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Time Limit to Decide to Perform Controls has been set
Task Type	Service task
Description	When the Customs Officer registered the decision to perform relevant controls, the system identifies if a timer that sets a time limit to take the decision to perform the relevant controls has been triggered.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-02-04-Present Proof of Union Status in the Form of CGM Data - Perform Controls by PCO
Input Data	Proof Operation Information Relevant Control Decision Completion Expiry Date
Output Data	Result Check if time limit to decide to perform controls has been set
Previous Objects	Is Control to be Performed?
Information Exchange	
Requirements	PUS_FUR_0006
Data Set	
Events	
State	

Table 5.358: Task Identify if Time Limit to Decide to Perform Controls has been set

Task Record Documentary Controls or Decision to Request Supplementary Documents or Decision on Physical Controls

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Documentary Controls or Decision to Request Supplementary Documents or Decision on Physical Controls
Task Type	Service task
Description	When the Customs Officer registered the decision to request supplementary documents from the PC or not, the data elements following this registration are automatically recorded in the Proof of Union Status system - linked to

	the reference number. Also, if there is an insertion of a decision or an update on the decision on Physical Controls, this is recorded in the system.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-02-04-Present Proof of Union Status in the Form of CGM Data - Perform Controls by PCO
Input Data	Proof Operation Information Documentary Control at Departure Perform Physical Control Decision
Output Data	Documentary Control at Departure State of CGM Proof Perform Physical Control Decision
Previous Objects	Register Documentary Controls or Decision to Request Supplementary Documents and Optionally Update Decision on Physical Controls
Information Exchange	
Requirements	PUS_FUR_0006 PUS_FUR_0050 PUS_FUR_0051
Data Set	
Events	
State	

Table 5.359: Task Record Documentary Controls or Decision to Request Supplementary Documents or Decision on Physical Controls

Task Record Decision to Perform Relevant Control and Level of Control at the CCO

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Decision to Perform Relevant Control and Level of Control at the CCO
Task Type	Service task
Description	<p>When the Customs Officer registered the decision for the execution of relevant control and level of control, the data elements following this registration are automatically recorded in the Proof of Union Status system - linked to the reference number.</p> <p>The system also identifies if the request is an endorsement or registration request. If the request is a registration request and the decision is not to perform the control, the system also keeps track of the proof acceptance date (Registration date).</p> <p>When the control is to be performed, the state of the endorsement or registration request (depending on the request type) changes to "E-Waiting</p>

	for Documentary Control Result". When the control is not to be performed, the state of the endorsement request changes to "E-Waiting for Endorsement Decision".
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-01-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Perform Controls by CCO
Input Data	Execution of Relevant Control Decision LRN Request Type Perform Physical Control Decision
Output Data	Perform Physical Control Decision State of Endorsement/Registration Request Registration date Endorsement Request Execution of Relevant Control Decision Registration Request
Previous Objects	Register Decision to Perform Relevant Control and Level of Control at the CCO
Information Exchange	
Requirements	PUS_FUR_0031 PUS_FUR_0051 PUS_FUR_0006
Data Set	Registration Request Endorsement Request
Events	Control is to be Performed Control is not to be Performed
State	E-Waiting for Endorsement Decision E-Waiting for Documentary Control Result

Table 5.360: Task Record Decision to Perform Relevant Control and Level of Control at the CCO

Event No Control Performed

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	No Control Performed
Event Type	End event
Description	The process ends here when no relevant controls are to be performed.

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Appears also in the following processes	L4-PUS-01-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Perform Controls by CCO
Previous Objects	Is Control to be Performed?

Table 5.361: Event No Control Performed

Task Identify if Request was Created by NMSW / National System Other than PoUS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Request was Created by NMSW / National System Other than PoUS
Task Type	Service task
Description	The Proof of Union Status system will identify if the proof was created by a NMSW / National System Other than PoUS or not. Final situation: Yes - The Proof was submitted from a NMSW/National System Other than PoUS. No - The Proof was submitted from the PC in the Central PoUS system.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof L4-PUS-02-01-02-Proof of Union Status in the Form of CGM Data - Perform Controls by CCO
Input Data	Proof Operation Information NMSW/National System Other than PoUS Request Indicator Member State
Output Data	Member State Result Check if Request is from NMSW/National System Other than PoUS
Previous Objects	More Supplementary Documents Requested? Supplementary Documents Requested?
Information Exchange	
Requirements	PUS_FUR_0037
Data Set	
Events	
State	

Table 5.362: Task Identify if Request was Created by NMSW / National System Other than PoUS

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PROCESS MODELS

Task Notify Person Concerned Of Request For Supplementary Documents

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Person Concerned Of Request For Supplementary Documents
Task Type	Send task
Description	When supplementary documents are requested, an IE will automatically be sent to the PC to request the provision of supplementary documents. In this case, the PC has been informed that supplementary documents are needed by the Customs Officer in order to perform the relevant controls.
Actor	Proof of Union Status System
Input Data	Proof Operation Information Requested Supplementary Documents Operation Master Consignment Information (MC) Request of Supplementary Documents Decision Competent Customs Office Person Lodging the Customs Goods Manifest
Output Data	
Previous Objects	Does the Request come from NMSW / National System Other than PoUS? Does the Request come from NMSW / National System Other than PoUS?
Information Exchange	Request for Supplementary Documents from CCO
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.363: Task Notify Person Concerned Of Request For Supplementary Documents

Task Notify NMSW / National System other than PoUS Of Request For Supplementary Documents

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify NMSW / National System other than PoUS Of Request For Supplementary Documents
Task Type	Send task
Description	When supplementary documents are requested and the request for the Proof comes from NMSW / National System Other than PoUS an IE will automatically be sent to the NMSW / National System Other than PoUS to request the provision of supplementary documents. In this case, the NMSW / National System Other than PoUS has been informed that supplementary documents are needed by the Customs Officer in order to perform the relevant controls.

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Actor	Proof of Union Status System
Input Data	Person Lodging the Customs Goods Manifest Request of Supplementary Documents Decision Requested Supplementary Documents Operation Master Consignment Information (MC) Proof Operation Information Competent Customs Office
Output Data	
Previous Objects	Does the Request come from NMSW / National System Other than PoUS?
Information Exchange	Request for Supplementary Documents from CCO
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.364: Task Notify NMSW / National System other than PoUS Of Request For Supplementary Documents

Task Identify if Request was Created by NMSW / National System Other than PoUS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Request was Created by NMSW / National System Other than PoUS
Task Type	Service task
Description	The Proof of Union Status system will identify if the proof was created by a NMSW / National System Other than PoUS or not. Final situation: Yes - The Proof was submitted from a NMSW/National System Other than PoUS. No - The Proof was submitted from the PC in the Central PoUS system.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof L4-PUS-02-01-02-Proof of Union Status in the Form of CGM Data - Perform Controls by CCO
Input Data	Proof Operation Information NMSW/National System Other than PoUS Request Indicator Member State

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Output Data	Member State Result Check if Request is from NMSW/National System Other than PoUS
Previous Objects	Notify Person Concerned Of Request For Supplementary Documents
Information Exchange	
Requirements	PUS_FUR_0037
Data Set	
Events	
State	

Table 5.365: Task Identify if Request was Created by NMSW / National System Other than PoUS

Event Supplementary Documents Received from Person Concerned

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Supplementary Documents Received from Person Concerned
Event Type	Conditional intermediate event
Description	
Appears also in the following processes	L4-PUS-02-02-04-Present Proof of Union Status in the Form of CGM Data - Perform Controls by PCO
Previous Objects	Notify NMSW / National System other than PoUS Of Request For Supplementary Documents

Table 5.366: Event Supplementary Documents Received from Person Concerned

Event Supplementary Documents Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Supplementary Documents Received
Event Type	Message intermediate event (catch)
Description	Supplementary documentation is received from the PC.
Previous Objects	Notify NMSW / National System other than PoUS Of Request For Supplementary Documents NMSW / National System Other than PoUS

Table 5.367: Event Supplementary Documents Received

Event Supplementary Documents Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
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Event Name	Supplementary Documents Received
Event Type	Message intermediate event (catch)
Description	Supplementary documentation is received from the PC.
Appears also in the following processes	L4-PUS-01-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Perform Controls by CCO L4-PUS-02-01-02-Proof of Union Status in the Form of CGM Data - Perform Controls by CCO
Previous Objects	Person Concerned Does the Request come from NMSW / National System Other than PoUS?

Table 5.368: Event Supplementary Documents Received

Task Notify NMSW / National System other than PoUS of already Received Supplementary Documents

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify NMSW / National System other than PoUS of already Received Supplementary Documents
Task Type	Send task
Description	When supplementary documents are received from PC, an IE will automatically be sent to the NMSW / National System Other than PoUS to notify that the supplementary documents request has been fulfilled from the PC and that the pending request for supplementary documents from the NMSW/ National System Other than PoUS will cease to exist.
Actor	Proof of Union Status System
Input Data	Person Lodging the Customs Goods Manifest Competent Customs Office Request of Supplementary Documents Decision Proof Operation Information
Output Data	State of Endorsement/Registration Request Supplementary Documents
Previous Objects	Supplementary Documents Received from Person Concerned
Information Exchange	Supplementary Documents already Received-CGM
Requirements	PUS_FUR_0005
Data Set	Supplementary Documents
Events	Supplementary Documents Received from Person Concerned

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State	E-Documents Received

Table 5.369: Task Notify NMSW / National System other than PoUS of already Received Supplementary Documents

Event Supplementary Documents Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Supplementary Documents Received
Event Type	Message intermediate event (catch)
Description	Supplementary documentation is received from the PC.
Appears also in the following processes	L4-PUS-01-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Perform Controls by CCO L4-PUS-02-01-02-Proof of Union Status in the Form of CGM Data - Perform Controls by CCO
Previous Objects	Person Concerned Does the Request come from NMSW / National System Other than PoUS?

Table 5.370: Event Supplementary Documents Received

Event Supplementary Documents Received from NMSW / National System other than PoUS

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Supplementary Documents Received from NMSW / National System other than PoUS
Event Type	Conditional intermediate event
Description	
Previous Objects	Does the Request come from NMSW / National System Other than PoUS?

Table 5.371: Event Supplementary Documents Received from NMSW / National System other than PoUS

Task Notify Person Concerned of already Received Supplementary Documents

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Person Concerned of already Received Supplementary Documents
Task Type	Send task
Description	When supplementary documents are received from NMSW / National System Other than PoUS, an IE will automatically be sent to the PC to notify that the supplementary documents request has been fulfilled from the NMSW / National System Other than PoUS and that the pending request for supplementary documents from the PC will cease to exist.
Actor	Proof of Union Status System

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Input Data	Person Lodging the Customs Goods Manifest Proof Operation Information Request of Supplementary Documents Decision Competent Customs Office
Output Data	Supplementary Documents State of Endorsement/Registration Request
Previous Objects	Supplementary Documents Received from NMSW / National System other than PoUS
Information Exchange	Supplementary Documents already Received-CGM
Requirements	PUS_FUR_0005
Data Set	Supplementary Documents
Events	Supplementary Documents Received from NMSW / National System other than PoUS
State	E-Documents Received

Table 5.372: Task Notify Person Concerned of already Received Supplementary Documents

Task Record Supplementary Documents

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Supplementary Documents
Task Type	Service task
Description	When the supplementary documentation is received and registered, the data elements following this registration are automatically recorded in the Proof of Union Status system - linked to the reference number. The supplementary documents are added to the documents that were included in the endorsement request.
Actor	Proof of Union Status System
Input Data	Master Consignment (MC) E_PUM_RES
Output Data	Supplementary Documents for Master Consignment (MC) Master Consignment (MC) State of Endorsement/Registration Request
Previous Objects	Notify NMSW / National System other than PoUS of already Received Supplementary Documents

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	Notify Person Concerned of already Received Supplementary Documents Supplementary Documents Received Supplementary Documents Received Supplementary Documents Received
Information Exchange	Response to Request for Supplementary Documents from CCO-CGM
Requirements	PUS_FUR_0005 PUS_FUR_0006
Data Set	
Events	
State	

Table 5.373: Task Record Supplementary Documents

Task Register Documentary Control Results and Optionally Update Decision on Physical Controls

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Documentary Control Results and Optionally Update Decision on Physical Controls
Task Type	User task
Description	<p>When the supplementary documents have been received, a Customs Officer will manually execute documentary controls on the received endorsement request to verify that the goods are Union goods corresponding to the goods declared in the request, taking into consideration the received supplementary documents. In case more supplementary documents are required, the Customs Officer requests them from the PC or/and NMSW / National System Other than PoUS. In case no more supplementary documents are needed the Customs Officer registers the result of the control based on the documentary checks. The result of the control may be one of the following:</p> <ul style="list-style-type: none"> ● “A1 – Satisfactory”; ● “P2 – Different goods”; ● “P3 – Non-union goods”. <p>The Customs Officer may update his decision on the execution of Physical Controls.</p> <p>Final situation:</p> <p>The Customs Officer registers one of the following:</p> <ul style="list-style-type: none"> - Supplementary documents are needed and optionally the decision to perform Physical Controls is revisited; or - No supplementary documents are needed, the result of the control is registered and optionally the decision to perform Physical Controls is revisited;
Actor	Customs Officer

Input Data	Risk Analysis Result Information Supplementary Documents for Master Consignment (MC) Proof Operation Information Proof Endorsement Request Information
Output Data	Perform Physical Control Decision Documentary Control at Departure
Previous Objects	Record Supplementary Documents
Information Exchange	
Requirements	PUS_FUR_0004
Data Set	
Events	
State	

Table 5.374: Task Register Documentary Control Results and Optionally Update Decision on Physical Controls

Task Record Documentary Control Results and Optionally Update Decision on Physical Controls

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Documentary Control Results and Optionally Update Decision on Physical Controls
Task Type	Service task
Description	<p>When the Customs Officer registered the decision to request more supplementary documents from the PC/AI or not, the data elements following this registration are automatically recorded in the Proof of Union Status system - linked to the reference number. When no supplementary documents are requested and the documentary control result is registered, the system keeps track of the "Control Date". Also, if there is an update on the decision on Physical Controls, this is recorded in the system. Any change in the applicability of the documents is also recorded in the system. The system also identifies if the request is an endorsement or registration request. If the request is a registration request, no more supplementary documents are requested, the documentary control result is "A1-Satisfactory" and no physical control is to be performed, the system also keeps track of the proof acceptance date (Registration date).</p> <p>The state of the endorsement request changes to:</p> <ul style="list-style-type: none"> ● "E-Waiting for Endorsement Decision", if documentary control result is "A1-Satisfactory" and no physical control is to be performed. <p>The state of the endorsement or registration request (depending on the request type) changes to:</p> <ul style="list-style-type: none"> ● "E-Waiting for Supplementary Documents", if supplementary documents are requested; ● "E-Rejected", if documentary control result is either "P2-Different

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	goods" or "P3-Non-union goods and no physical control is to be performed; ● “E-Waiting for Physical Control Result”, if documentary control result is recorded and physical control is to be performed.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-01-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Perform Controls by CCO
Input Data	Request Type Documentary Control at Departure Requested Supplementary Documents – T2L-T2LF Proof Operation Information Perform Physical Control Decision Goods Shipment for T2L-T2LF
Output Data	Documentary Control at Departure Requested Supplementary Documents – T2L-T2LF Goods Shipment for T2L-T2LF Registration Request Perform Physical Control Decision State of Endorsement/Registration Request Registration date Endorsement Request
Previous Objects	Register Documentary Control Results and Optionally Update Decision on Physical Controls
Information Exchange	
Requirements	PUS_FUR_0006 PUS_FUR_0050 PUS_FUR_0051
Data Set	Endorsement Request Registration Request
Events	Documentary Control Result is "A1-Satisfactory" AND no Physical Control is to be Performed Documentary Control Result is "P2-Different goods" OR "P3-Non-union goods AND No Physical Control is to be Performed Supplementary Documents are Requested Documentary Control Result is Recorded AND Physical Control is to be Performed
State	E-Rejected E-Waiting for Supplementary Documents E-Waiting for Endorsement Decision E-Waiting for Physical Control Result

Table 5.375: Task Record Documentary Control Results and Optionally Update Decision on Physical Controls

Task Identify if Physical Control is to be Performed

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Physical Control is to be Performed
Task Type	Service task
Description	When the documentary controls have been performed, the system identifies if physical controls have also been requested. If yes, physical controls will have to be registered.
Actor	Proof of Union Status System
Input Data	Perform Physical Control Decision Proof Operation Information
Output Data	Result Check if Physical Control is to Be Performed State of Endorsement/Registration Request
Previous Objects	More Supplementary Documents Requested? Supplementary Documents Requested?
Information Exchange	
Requirements	PUS_FUR_0006 PUS_FUR_0051
Data Set	
Events	
State	

Table 5.376: Task Identify if Physical Control is to be Performed

Task Register Physical Control Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Physical Control Results
Task Type	User task
Description	<p>The physical verification of the goods is a manual process performed by a Customs Officer in order to verify that the goods are Union goods corresponding to the goods declared in the request. The result of the control may be one of the following:</p> <ul style="list-style-type: none"> ● “A1 – Satisfactory”; ● “P2 – Different goods”; ● “P3 – Non-union goods”. <p>The Customs Officer registers the result of the control.</p>

	Final situation: The control result is registered.
Actor	Customs Officer
Input Data	Proof Endorsement Request Information Risk Analysis Result Information Proof Operation Information
Output Data	Control Result at Departure
Previous Objects	Is Physical Control to be Performed?
Information Exchange	
Requirements	PUS_FUR_0004
Data Set	
Events	
State	

Table 5.377: Task Register Physical Control Results

Event Control Results Recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Control Results Recorded
Event Type	End event
Description	The process ends here when the relevant controls based on the documentary and physical checks are recorded.
Appears also in the following processes	L4-PUS-01-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Perform Controls by CCO L4-PUS-02-01-02-Proof of Union Status in the Form of CGM Data - Perform Controls by CCO
Previous Objects	Is Physical Control to be Performed?

Table 5.378: Event Control Results Recorded

Task Record Physical Control Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Physical Control Results
Task Type	Service task
Description	When the Customs Officer registered the result of the physical control, the data elements following this registration are automatically recorded in the

	<p>Proof of Union Status system - linked to the reference number. The system keeps track of the "Control Date".</p> <p>The system also identifies if the request is an endorsement or registration request. If the request is a registration request and the physical control result is "A1-Satisfactory", the system also keeps track of the proof acceptance date (Registration date).</p> <p>The state of the endorsement request changes to:</p> <ul style="list-style-type: none"> ● "E-Waiting for Endorsement Decision", if physical control result is "A1-Satisfactory". <p>The state of the endorsement or registration request (depending on the request type) changes to:</p> <ul style="list-style-type: none"> ● "E-Rejected", if physical control result is either "P2-Different goods" or "P3-Non-union goods".
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-01-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Perform Controls by CCO
Input Data	Proof Operation Information Physical Control Result Request Type
Output Data	Registration date Endorsement Request State of Endorsement/Registration Request Physical Control Result Registration Request
Previous Objects	Register Physical Control Results
Information Exchange	
Requirements	PUS_FUR_0032 PUS_FUR_0006
Data Set	Endorsement Request Registration Request
Events	Physical Control Result is "P2-Different goods" OR "P3-Non-union goods" Physical Control Result is "A1-Satisfactory"
State	E-Waiting for Endorsement Decision E-Rejected

Table 5.379: Task Record Physical Control Results

Event Control Results Recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Control Results Recorded
Event Type	End event
Description	The process ends here when the relevant controls based on the documentary and physical checks are recorded.
Appears also in the following processes	L4-PUS-01-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Perform Controls by CCO L4-PUS-02-01-02-Proof of Union Status in the Form of CGM Data - Perform Controls by CCO
Previous Objects	Record Physical Control Results

Table 5.380: Event Control Results Recorded

5.18. Process L4-PUS-02-01-03-Handle Endorsement of a Proof in the Form of CGM Data

5.18.1. Objective of Process

Before the registration of a Proof in the form of Customs Goods Manifest, this process is initiated . The Customs Officer has to decide if the endorsement request will be accepted or not. If the requested validity of the Proof is longer than the default value of 90 days, the Customs Officer will have to decide if the longer requested validity will be accepted or not.

The final situation of this process will be one of the following:

- The endorsement of the Proof has been confirmed.
- The endorsement of the Proof has been rejected.
- In case a timer function is introduced, the time limit to handle the endorsement of the Proof has expired.

5.18.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-PUS-02-01-03
Full Name	Handle Endorsement of a Proof in the Form of CGM Data
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-PUS-01-01,L3-PUS-01-04
Existing Specifications	

Table 5.381: Process Details

5.18.3. Tasks and Events

Event Endorsement Decision to Be Taken

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Endorsement Decision to Be Taken
Event Type	Start event
Description	When a Proof of Union Status request in the form of Customs Goods Manifest has been validated, this process will be initiated.
Previous Objects	

Table 5.382: Event Endorsement Decision to Be Taken

Task Register Endorsement Decision - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Endorsement Decision - CGM
Task Type	User task
Description	<p>Only valid Endorsement Requests will reach this point in the process, which implies that the state of the request must be Waiting for Decision. At this point, the Customs Officer must be able to view the details of the Endorsement Request via a user interface to the Proof of Union Status System. The Customs Officer will review the content and document the details of the decision regarding the Endorsement Request.</p> <p>Final situation: The Customs Officer registered one of the following results:</p> <ul style="list-style-type: none"> - Accept the endorsement and the process will continue to the "Register Validity Period Decision", if a validity longer than 90 days has been requested or to the "Register Proof" subprocess, if a validity less than 90 days has been requested; or - Reject the endorsement and the process will continue with the notification of the Person Concerned.
Actor	Customs Officer
Input Data	Proof Endorsement Request Information Proof Operation Information
Output Data	Endorsement Decision
Previous Objects	Endorsement Decision to Be Taken
Information Exchange	
Requirements	PUS_FUR_0004
Data Set	
Events	
State	

Table 5.383: Task Register Endorsement Decision - CGM

Task Record Endorsement Decision - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Endorsement Decision - CGM
Task Type	Service task
Description	<p>When the Customs Officer registered the endorsement decision, the data elements following this registration are automatically recorded in the Proof of Union Status System. It will be identified whether the decision is 'Endorsed' or 'Endorsement Rejected'. If the decision is 'Endorsed', the Proof of Union Status System automatically keeps track of the Proof Acceptance Date. If the decision is 'Endorsement Rejected', the state of the request changes to 'Rejected'.</p> <p>If the endorsement is rejected, the Response Indicator indicates 'Endorsement Rejected'.</p> <p>Final situation: The Endorsement Decision is recorded.</p>
Actor	Proof of Union Status System
Input Data	Proof Operation Information Endorsement Decision
Output Data	Endorsement Request Proof Acceptance Date State of Endorsement/Registration Request Proof Operation Information Endorsement Decision
Previous Objects	Register Endorsement Decision - CGM
Information Exchange	
Requirements	PUS_FUR_0006
Data Set	Endorsement Request
Events	Decision 'Endorsed' Recorded Decision 'Endorsement Rejected' Recorded
State	Rejected Registered

Table 5.384: Task Record Endorsement Decision - CGM

Event Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Rejected
Event Type	End event
Description	The process ends here when Proof Information is not valid to be provided and Information Request is rejected.
Appears also in the following processes	L4-PUS-01-04-Proof of Union Status in the Form of T2L or T2LF Data - Provide Information L4-PUS-02-05-Proof of Union Status in the Form of CGM Data - Provide Information
Previous Objects	Confirm Endorsement?

Table 5.385: Event Rejected

Task Cancel Time Limit to Handle Endorsement

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Cancel Time Limit to Handle Endorsement
Task Type	Service task
Description	If a time limit has been set for the completion of the Handle Endorsement process and the requested validity is longer than 90 days, the timer is cancelled so that the decision on the validity period is manually defined.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-01-01-03-Handle Endorsement of a Proof in the Form of T2L or T2LF Data
Input Data	Endorsement Completion Expiry Date Proof Operation Information Result Check if Requested Validity Is Longer Than 90 Days
Output Data	Endorsement Completion Expiry Date
Previous Objects	Has a time limit been set?
Information Exchange	
Requirements	PUS_FUR_0073
Data Set	
Events	
State	

Table 5.386: Task Cancel Time Limit to Handle Endorsement

Task Identify If Requested Validity Is Longer Than 90 Days - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Requested Validity Is Longer Than 90 Days - CGM
Task Type	Service task
Description	The system will identify if the requested validity is longer than 90 days or not. Final situation: Yes - The requested validity is longer than 90 days. No - The requested validity is not longer than 90 days.
Actor	Proof of Union Status System
Input Data	Requested validity of the proof LRN
Output Data	Result Check if Requested Validity Is Longer Than 90 Days
Previous Objects	Confirm Endorsement?
Information Exchange	
Requirements	PUS_FUR_0024
Data Set	
Events	
State	

Table 5.387: Task Identify If Requested Validity Is Longer Than 90 Days - CGM

Task Identify if Time Limit to Handle Endorsement has been set

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Time Limit to Handle Endorsement has been set
Task Type	Service task
Description	When the requested validity period is longer than 90 days, the system identifies if a timer has been defined that sets a time limit for the completion of the Handle Endorsement Process.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-01-01-03-Handle Endorsement of a Proof in the Form of T2L or T2LF Data
Input Data	Endorsement Completion Expiry Date

	Proof Operation Information
Output Data	Result Check if time limit to handle endorsement has been set
Previous Objects	Validity Longer than 90 Days Requested?
Information Exchange	
Requirements	PUS_FUR_0072
Data Set	
Events	
State	

Table 5.388: Task Identify if Time Limit to Handle Endorsement has been set

Task Register Validity Period Decision - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Validity Period Decision - CGM
Task Type	User task
Description	<p>When the requested validity of a Proof is longer than 90 days, the Customs Officer will register the evaluation result on the extended validity period and document the details of the decision. When the result is positive, the extended validity period will be accepted. When the result is negative, the requested validity will not be accepted.</p> <p>Final situation: The Customs Officer registered one of the following results:</p> <ul style="list-style-type: none"> - The result is positive: the extended requested validity is accepted. - The result is negative: the extended requested validity is not accepted.
Actor	Customs Officer
Input Data	LRN Validity Period Information
Output Data	Validity Period Decision
Previous Objects	Has a time limit been set? Cancel Time Limit to Handle Endorsement
Information Exchange	
Requirements	PUS_FUR_0004
Data Set	

Events	
State	

Table 5.389: Task Register Validity Period Decision - CGM

Task Record Validity Period Decision - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Validity Period Decision - CGM
Task Type	Service task
Description	When the Customs Officer registered the decision for an extended validity period, the data elements following this registration are automatically recorded in the Proof of Union Status system - linked to the reference number. Final situation: The decision for an extended validity duration is recorded.
Actor	Proof of Union Status System
Input Data	LRN Validity Period Decision
Output Data	Validity Period Decision
Previous Objects	Register Validity Period Decision - CGM
Information Exchange	
Requirements	PUS_FUR_0035 PUS_FUR_0006
Data Set	
Events	
State	

Table 5.390: Task Record Validity Period Decision - CGM

Task Set Default Validity Period - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Set Default Validity Period - CGM
Task Type	Service task
Description	When a validity period longer than 90 days has not been accepted by the Customs Officer, the system will set the default value of 90 days as "Requested Validity of the Proof". Final situation:

	The "Requested Validity of the Proof" is set to 90 days.
Actor	Proof of Union Status System
Input Data	LRN Validity Period Decision
Output Data	Validity Period Information
Previous Objects	Confirm Validity Period?
Information Exchange	
Requirements	PUS_FUR_0006
Data Set	
Events	
State	

Table 5.391: Task Set Default Validity Period - CGM

Event Endorsement Confirmed

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Endorsement Confirmed
Event Type	End event
Description	The process ends here when the Endorsement Request has been confirmed.
Previous Objects	Validity Longer than 90 Days Requested? Confirm Validity Period? Set Default Validity Period - CGM

Table 5.392: Event Endorsement Confirmed

5.19. Process L4-PUS-02-01-04-Store Proof of Union Status in the Form of CGM Data in Central Repository

5.19.1. Objective of Process

5.19.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-PUS-02-01-04
Full Name	Store Proof of Union Status in the Form of CGM Data in Central Repository

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Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-PUS-01-01
Existing Specifications	

Table 5.393: Process Details

5.19.3. Tasks and Events

Event Proof of Union Status in the Form of CGM data is to be stored in Central Repository

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof of Union Status in the Form of CGM data is to be stored in Central Repository
Event Type	Start event
Description	The process starts when the Proof has been registered.
Previous Objects	

Table 5.394: Event Proof of Union Status in the Form of CGM data is to be stored in Central Repository

Event National Proof of Union Status in the Form of CGM Received in order to be Stored in Central Repository

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	National Proof of Union Status in the Form of CGM Received in order to be Stored in Central Repository
Event Type	Message start event
Description	The process starts upon request of a National Proof of Union Status system through an exposed web service offered to the National systems, in order to store the details of a national Proof in the CR.
Previous Objects	National Proof of Union Status System

Table 5.395: Event National Proof of Union Status in the Form of CGM Received in order to be Stored in Central Repository

Task Perform Validation of National Proof Update Data

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Validation of National Proof Update Data
Task Type	Service task
Description	When the Proof storage data is received from a National Proof of Union Status system, it must be technically validated. Final situation:

	<ul style="list-style-type: none"> - The result of the validation is positive: there are no validation errors and the Proof storage data is valid. - The result of the validation is negative: the Proof storage data contains validation errors and is invalid. The National Proof of Union system will be notified of the validation errors.
Actor	Proof of Union Status System
Input Data	MRN
Output Data	National System Request Validation Result C_NPUM_STO
Previous Objects	National Proof of Union Status in the Form of CGM Received in order to be Stored in Central Repository
Information Exchange	Store National Proof CGM
Requirements	PUS_FUR_0036 PUS_FUR_0002 PUS_FUR_0001 PUS_FUR_0003
Data Set	
Events	
State	

Table 5.396: Task Perform Validation of National Proof Update Data

Task Record National Proof Storage Data - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record National Proof Storage Data - CGM
Task Type	Service task
Description	When the Proof of Union Status system receives proof data from a National Proof of Union Status system the data elements following this are automatically recorded in the Proof of Union Status system - linked to the reference number.
Actor	Proof of Union Status System
Input Data	MRN C_NPUM_STO
Output Data	C_NPUM_STO MRN
Previous Objects	Is Validation Result Positive?

Information Exchange	
Requirements	PUS_FUR_0006
Data Set	
Events	
State	

Table 5.397: Task Record National Proof Storage Data - CGM

Task Notify National System about Validation Errors

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify National System about Validation Errors
Task Type	Send task
Description	When the Proof storage data received from a National Proof of Union Status system is invalid, a validation error notification is automatically sent to the National System containing more details on the validation errors. The process will end here. Final situation: IEP40 National System Request Rejected is sent to the National System, and the process ends.
Actor	Proof of Union Status System
Input Data	National System Request Validation Result C_NPUM_STO
Output Data	Rejected National System Request Reference
Previous Objects	Is Validation Result Positive?
Information Exchange	National System Request Rejected
Requirements	PUS_FUR_0036
Data Set	
Events	
State	

Table 5.398: Task Notify National System about Validation Errors

Event National System Notified of Validation Errors

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
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Event Name	National System Notified of Validation Errors
Event Type	End event
Description	When the Proof Presented is technically invalid, the National System will receive a validation error notification and the process will end.
Appears also in the following processes	L4-PUS-01-01-04-Store Proof of Union Status in the Form of T2L or T2LF Data in Central Repository
Previous Objects	Notify National System about Validation Errors

Table 5.399: Event National System Notified of Validation Errors

Task Store Proof - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Store Proof - CGM
Task Type	Send task
Description	<p>When the Proof of Union Status system sends the proof to the CR, the system will wait for a reply/confirmation that the proof information has been successfully stored. All information, related to the proof of union status, from the original Endorsement/Registered request and additionally the proof acceptance date, the MRN and the validity end date are added to the “Proof Operation Information for CGM”. If the process has been initiated from a National Proof of Union Status system, all this information will be retrieved from the message received by the national system. The state of the Endorsement request changes to “Registered”. The status of the Proof in the CR is set to “Registered”.</p> <p>Final situation: The complete proof is recorded in the CR and is now centrally available.</p>
Actor	Proof of Union Status System
Input Data	MRN CGM Proof Data for Storage
Output Data	Endorsement Request State of Endorsement/Registration Request C_PUM_STO MRN
Previous Objects	Proof of Union Status in the Form of CGM data is to be stored in Central Repository Record National Proof Storage Data - CGM
Information Exchange	Store Proof - CGM
Requirements	PUS_FUR_0006 PUS_FUR_0011

Data Set	Endorsement Request
Events	Proof Registered
State	Registered

Table 5.400: Task Store Proof - CGM

Event Receipt of Notification for the Storage of Proof

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receipt of Notification for the Storage of Proof
Event Type	Message intermediate event (catch)
Description	This event indicates that the Proof of Union Status system receives the reply/confirmation from the CR, that the proof assigned to the given MRN has been successfully stored.
Appears also in the following processes	L4-PUS-01-01-04-Store Proof of Union Status in the Form of T2L or T2LF Data in Central Repository
Previous Objects	Store Proof - CGM Central Repository

Table 5.401: Event Receipt of Notification for the Storage of Proof

Task Record Notification for Proof Storage - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Notification for Proof Storage - CGM
Task Type	Service task
Description	When the confirmation from the CR, that the proof assigned to the given MRN has been successfully stored is received, the data elements following this are automatically recorded in the Proof of Union Status system - linked to the reference number.
Actor	Proof of Union Status System
Input Data	MRN
Output Data	C_PUS_STR
Previous Objects	Receipt of Notification for the Storage of Proof
Information Exchange	Notification for Proof Storage

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Requirements	PUS_FUR_0006
Data Set	
Events	
State	

Table 5.402: Task Record Notification for Proof Storage - CGM

Task Identify if Stored Proof was Created in National PoUS System - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Stored Proof was Created in National PoUS System - CGM
Task Type	Service task
Description	The system will identify if the proof that has been stored, was handled in the central Proof of Union Status system or in a National system. Final situation: Yes - The Proof was handled in a National Proof of Union Status system. No - The Proof was handled in the central Proof of Union Status system.
Actor	Proof of Union Status System
Input Data	National System - NMSW Request Indication MRN
Output Data	Result Check if Request is from National PoUS System / Other National System Member State
Previous Objects	Record Notification for Proof Storage - CGM
Information Exchange	
Requirements	PUS_FUR_0037
Data Set	
Events	
State	

Table 5.403: Task Identify if Stored Proof was Created in National PoUS System - CGM

Task Notify National PoUS System about Proof Storage - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify National PoUS System about Proof Storage - CGM
Task Type	Send task

Description	When the Proof is stored in the CR and the source of its data is a National Proof of Union Status system, a confirmation message is automatically sent to the National system to confirm the successful storage of the Proof. Final situation: Notification of successful proof storage in the CR is sent to the National Proof of Union Status system from which the data were received.
Actor	Proof of Union Status System
Input Data	C_PUS_STR Competent Customs Office Member State
Output Data	
Previous Objects	Is Proof from National PoUS System?
Information Exchange	Notification for National Proof Storage CGM
Requirements	PUS_FUR_0036
Data Set	
Events	
State	

Table 5.404: Task Notify National PoUS System about Proof Storage - CGM

Event Proof Stored in Central Repository

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof Stored in Central Repository
Event Type	End event
Description	This process ends when the Proof and all its details are stored in the CR.
Appears also in the following processes	L4-PUS-01-01-04-Store Proof of Union Status in the Form of T2L or T2LF Data in Central Repository
Previous Objects	Notify National PoUS System about Proof Storage - CGM Is Proof from National PoUS System?

Table 5.405: Event Proof Stored in Central Repository

5.20. Process L4-PUS-02-01-Proof of Union Status in the Form of CGM Data

5.20.1. Objective of Process

This L4 process depicts the steps for a Person Concerned to request that the proof of Union status of the goods in the form of CGM data is registered and accessible in a central location, in the case that the Proof of

Union Status of the goods will need to be verified. The request may also originate from a NMSW / National System Other than PoUS. This is requested via the Competent Customs Office, in the form of Customs Goods Manifest which may be provided as data elements within the regular cargo manifest and transmitted via the existing national mechanisms for receiving such submissions.

It concerns an endorsement request and the Customs Officer must endorse the proof.

The process is based on the assumption that only a fully validated proof will be stored in the Central Repository.

The main process flow consists of a request for endorsement from a Person Concerned or a NMSW / National System Other than PoUS. The message will be technically validated to ensure that it meets the minimum validity requirements, such as a valid message structure. The particulars of the requesting party will be checked (e.g. EORI number must be valid).

Then the Customs Officer must endorse the decision. Depending on the national needs, a risk analysis at national level can be requested. The endorsement decision is a manual task; however depending on the national needs a timer that would trigger an automatic processing of the endorsement could be introduced.

When a national risk analysis system has been consulted and no risk has been identified, the Customs Officer has a time limit to decide to perform the relevant controls. If the time limit expires the control result is deemed to be "Satisfactory". If the Customs Officer decides not to perform the controls or the control result is "Satisfactory" (i.e. manual control) or "Considered Satisfactory" (i.e. automatic control because of time limit expiration), the Customs Officer registers the decision on the endorsement of the proof. The Customs Officer decides if relevant controls will be performed or not. If the Customs Officer decides to perform the controls, the process continues with the control task.

The control task is a manual task executed by the Customs Officer, in the context of which only documentary checks or both documentary and physical checks are performed. If supplementary documents are needed, the Customs Officer may request them from the PC. There are four possible results from this control:

- In case the result is "Satisfactory", the request is endorsed;
- In case the result is "Different goods", the PC is notified about the rejection of the request;
- In case the result is "Non-union goods", the PC is notified about the rejection of the request;

If the Endorsement is rejected, a notification will be sent to the Person Concerned or to the Person Concerned and the NMSW / National System Other than PoUS if the request is initiated at the NMSW / National System Other than PoUS. In the case of an approved endorsement request or a risk analysis without any determined risk, a master reference number is allocated, and the validity period is set (the default period is 90 days unless otherwise requested). At this point the proof is considered registered. The final result will be communicated to the Person Concerned or to the Person Concerned and the NMSW / National System Other than PoUS if the request is initiated at the NMSW / National System Other than PoUS. Simultaneously the proof is recorded and stored into the Central Repository. The proof is now centrally available for use by all Customs Authorities. The PC may activate the option to get a printable SRD of the Proof through the relevant notification

The other L4 processes within this package that follows this process is CGM Proof Retrieval, CGM Proof Amendment, CGM Proof Provide Information, CGM Proof Presentation, CGM Proof Archiving. Proof of Union Status is an enabling process within European Customs. The data inputs for this process are:

- Enterprise Information (comes from process 'Enterprise information maintenance');
- EORI Record (comes from process 'Operators management').

5.20.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-PUS-02-01
Full Name	Proof of Union Status in the Form of CGM Data

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Assumptions	N/A
Constraints	When the state of proof changes to recorded, it is centrally available for use by all Customs Authorities. The use of the proof is not in scope of this model.
L3 Reference Models	L3-PUS-01-01
Existing Specifications	

Table 5.406: Process Details

5.20.3. Tasks and Events

Event CGM Endorsement Requested

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	CGM Endorsement Requested
Event Type	Start event
Description	This process starts upon the submission of a CGM Endorsement request to the CCO, via an EU harmonized trader interface.
Previous Objects	

Table 5.407: Event CGM Endorsement Requested

Task Submit CGM Endorsement Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Submit CGM Endorsement Request
Task Type	Send task
Description	This process starts when the PC submits, via the EU Customs Trader Portal, an endorsement request to the CCO in order to certify the Union status of goods declared in the form of CGM data. This request will need to be validated by the Proof of Union Status system. Final situation: IE sent from the PC to the CCO.
Actor	Person Concerned
Input Data	E_PUM_REQ
Output Data	
Previous Objects	CGM Endorsement Requested
Information Exchange	Endorsement Request - CGM
Requirements	PUS_FUR_0062 PUS_FUR_0029 PUS_FUR_0028

	PUS_FUR_0030
Data Set	
Events	
State	

Table 5.408: Task Submit CGM Endorsement Request

Event Endorsement Request Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Endorsement Request Received
Event Type	Message start event
Description	Process is initiated when the Proof of Union Status system automatically receives an Endorsement Request from a Person Concerned.
Previous Objects	Submit CGM Endorsement Request

Table 5.409: Event Endorsement Request Received

Event Validation Error Notification Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Validation Error Notification Received
Event Type	Message intermediate event (catch)
Description	The PC receives a notification of the validation errors concerning the endorsement request.
Previous Objects	Notify Person Concerned of Validation Errors Submit CGM Endorsement Request

Table 5.410: Event Validation Error Notification Received

Task Perform Validation of Endorsement Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Validation of Endorsement Request
Task Type	Service task
Description	<p>When the Endorsement Request is received, it is validated by the Proof of Union Status System. This includes a check that all required fields are populated, have the correct format and contain the correct data type.</p> <p>The following systems will be consulted:</p> <ul style="list-style-type: none"> - Economic Operators Registration and Identification (EORI) <p>When validating a received Endorsement Request, the Proof of Union</p>

	<p>Status System will have an interface with the EORI database in order to check that the provided EORI number is valid, and that the Person Concerned is a registered trader.</p> <ul style="list-style-type: none"> - Common Reference Data Management <p>When validating other data elements that can be verified.</p> <p>When the result of the validation is positive, the data elements of the Proof Request are recorded.</p> <p>When the result of the validation is negative, a notification must be sent to the applicant or to the applicant and the NMSW/National System Other than PoUS to notify them of the errors occurring and about the data and reason for rejection.</p> <p>Final situation:</p> <ul style="list-style-type: none"> - The result of the validation of the request is positive: there are no validation errors. - The result of the validation of the request is negative: the request contains validation errors.
Actor	Proof of Union Status System
Input Data	
Output Data	E_PUM_REQ Endorsement Request Validation Result
Previous Objects	Endorsement Request Received Endorsement Request by NMSW/National System Other than PoUS
Information Exchange	Endorsement Request - CGM
Requirements	PUS_FUR_0003 PUS_FUR_0001 PUS_FUR_0002
Data Set	
Events	
State	

Table 5.411: Task Perform Validation of Endorsement Request

Event Endorsement Request by NMSW/National System Other than PoUS

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Endorsement Request by NMSW/National System Other than PoUS
Event Type	Message start event
Description	Process is initiated when the Proof of Union Status system automatically receives an Endorsement Request from a NMSW/National System Other

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	than PoUS.
Previous Objects	NMSW / National System Other than PoUS

Table 5.412: Event Endorsement Request by NMSW/National System Other than PoUS

Event Person Concerned Notified of Validation Errors

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Person Concerned Notified of Validation Errors
Event Type	End event
Description	The process ends here when the Person Concerned has been notified of the validation errors occurring in the Endorsement Request.
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data L4-PUS-02-05-Proof of Union Status in the Form of CGM Data - Provide Information
Previous Objects	Validation Error Notification Received

Table 5.413: Event Person Concerned Notified of Validation Errors

Task Record Endorsement Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Endorsement Request
Task Type	Service task
Description	When the Endorsement Request is valid, the data elements following this are automatically recorded in the Proof of Union Status system. The LRN serves as reference until the MRN is assigned.
Actor	Proof of Union Status System
Input Data	LRN E_PUM_REQ
Output Data	E_PUM_REQ
Previous Objects	Is Result of Validation Positive?
Information Exchange	
Requirements	PUS_FUR_0006
Data Set	
Events	

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State	
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Table 5.414: Task Record Endorsement Request

Task Identify if Request was Created by NMSW / National System Other than PoUS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Request was Created by NMSW / National System Other than PoUS
Task Type	Service task
Description	The Proof of Union Status system will identify if the proof was created by a NMSW / National System Other than PoUS or not. Final situation: Yes - The Proof was submitted from a NMSW/National System Other than PoUS. No - The Proof was submitted from the PC in the Central PoUS system.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof L4-PUS-02-01-02-Proof of Union Status in the Form of CGM Data - Perform Controls by CCO
Input Data	Proof Operation Information NMSW/National System Other than PoUS Request Indicator Member State
Output Data	Member State Result Check if Request is from NMSW/National System Other than PoUS
Previous Objects	Is Result of Validation Positive?
Information Exchange	
Requirements	PUS_FUR_0037
Data Set	
Events	
State	

Table 5.415: Task Identify if Request was Created by NMSW / National System Other than PoUS

Task Identify Competent Member State for Risk Assessment - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify Competent Member State for Risk Assessment - CGM

Task Type	Service task
Description	<p>The system will identify the Member State who will be responsible for the risk analysis. The identification of the competent Member State will be based on the "Competent Customs Office" in the initial endorsement/registration request.</p> <p>Depending on the identified Member State, the Proof of Union Status system will check if the respective national risk analysis system is to be consulted or not.</p> <p>Final situation:</p> <ul style="list-style-type: none"> - The competent Member State has been identified and the result is "No": no national risk analysis system is consulted. - The competent Member State has been identified and the result is "Yes": the respective national risk analysis system is consulted.
Actor	Proof of Union Status System
Appears also in the following processes	<p>L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof</p> <p>L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof</p>
Input Data	<p>Proof Operation Information</p> <p>Competent Customs Office</p>
Output Data	<p>Member State</p> <p>Result Check if National Risk Analysis System is Consulted</p> <p>State of CGM Proof</p>
Previous Objects	Record Endorsement Request
Information Exchange	
Requirements	<p>PUS_FUR_0019</p> <p>PUS_FUR_0018</p>
Data Set	
Events	
State	

Table 5.416: Task Identify Competent Member State for Risk Assessment - CGM

Task Notify NMSW / National System Other than PoUS about Validation Errors

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify NMSW / National System Other than PoUS about Validation Errors
Task Type	Send task
Description	When the proof request received from a NMSW/National System other

	<p>than a dedicated PoUS National System is invalid, a validation error notification is automatically sent to the NMSW/National System other than PoUS containing more details on the validation errors. The process will end here.</p> <p>Final situation: IEP02 Request Rejected is sent to the NMSW/National System other than PoUS, and the process ends.</p>
Actor	Proof of Union Status System
Input Data	Proof Operation Functional Error Person Lodging the Customs Goods Manifest
Output Data	
Previous Objects	Does the Request come from NMSW / National System Other than PoUS?
Information Exchange	Request Rejected
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.417: Task Notify NMSW / National System Other than PoUS about Validation Errors

Task Notify Person Concerned of Validation Errors

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Person Concerned of Validation Errors
Task Type	Send task
Description	<p>When the validation result of the Endorsement Request is negative, an Error Notification is automatically sent to the Person Concerned, containing more details on the validation errors.</p> <p>Regardless of the origin of the request (PC or NMSW / National system other than PoUS) a notification is sent to the PC and if the request comes from the NMSW / National System other than PoUS is also sent to the NMSW / National System other than PoUS.</p> <p>Final situation: A Validation Error Notification is sent and the process ends.</p>
Actor	Proof of Union Status System
Input Data	Functional Error Person Lodging the Customs Goods Manifest Proof Operation

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Output Data	
Previous Objects	Does the Request come from NMSW / National System Other than PoUS? Does the Request come from NMSW / National System Other than PoUS?
Information Exchange	Request Rejected
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.418: Task Notify Person Concerned of Validation Errors

Event NMSW / National System Other than PoUS Notified of Validation Errors

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	NMSW / National System Other than PoUS Notified of Validation Errors
Event Type	End event
Description	When the Proof Presented is technically invalid, the NMSW/National System Other than PoUS will receive a validation error notification and the process will end.
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof
Previous Objects	Notify NMSW / National System Other than PoUS about Validation Errors

Table 5.419: Event NMSW / National System Other than PoUS Notified of Validation Errors

Event Person Concerned Notified of Validation Errors

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Person Concerned Notified of Validation Errors
Event Type	End event
Description	The process ends here when the Person Concerned has been notified of the validation errors occurring in the Endorsement Request.
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data L4-PUS-02-05-Proof of Union Status in the Form of CGM Data - Provide Information
Previous Objects	Notify Person Concerned of Validation Errors

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Table 5.420: Event Person Concerned Notified of Validation Errors

Task Consult National Risk Analysis System

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Consult National Risk Analysis System
Task Type	Call activity (collapsed)
Description	<p>The process starts when the Competent Member State performs a risk analysis in response to the relevant request by the CCO. The Proof of Union Status system sends the risk analysis request, receives and records the risk analysis response.</p> <p>The final situation of this process will be the following: The risk analysis response from the competent Member State has been recorded.</p>
Actor	Proof of Union Status System
Input Data	
Output Data	
Previous Objects	Is National Risk Analysis System to be Consulted?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.421: Task Consult National Risk Analysis System

Task Identify If there is a Risk - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If there is a Risk - CGM
Task Type	Service task
Description	<p>When Risk Analysis Response has been received and recorded, the System will automatically check if any risk has been determined.</p> <p>Final situation:</p> <ul style="list-style-type: none"> - The result is "No": there is no risk identified. - The result is "Yes": there is risk identified.
Actor	Proof of Union Status System
Input Data	<p>Risk Analysis Result Information</p> <p>LRN</p>

Output Data	Result Check if Risk Is Determined State of Endorsement/Registration Request
Previous Objects	Consult National Risk Analysis System
Information Exchange	
Requirements	PUS_FUR_0021
Data Set	
Events	
State	

Table 5.422: Task Identify If there is a Risk - CGM

Task Perform Controls by CCO

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Controls by CCO
Task Type	Call activity (collapsed)
Description	<p>When no National Risk Analysis system is to be consulted or if a National Risk Analysis system is consulted, when the Risk Analysis results is recorded, this process is initiated. In the case that a National Risk Analysis system is consulted and no risk has been identified, the decision to perform relevant controls has to be taken within a specific time limit. If this time limit expires, the control results will be considered to be satisfactory.</p> <p>First of all, the Customs Officer has to decide if the relevant controls to verify that the goods are Union goods corresponding to the goods declared in the request, will be performed or not.</p> <p>If a timer has been set and:</p> <ul style="list-style-type: none"> ● no decision by the Customs Officer is taken, the process ends at the time limit and the control results will be considered to be satisfactory; ● the Customs Officer decides not to perform controls, the process ends; ● the Customs Officer decides to perform the controls, the timer is cancelled so that the controls are manually completed and the documentary checks will first be done. <p>If no timer has been set and:</p> <ul style="list-style-type: none"> ● the Customs Officer decides not to perform controls, the process ends; ● the Customs Officer decides to perform the controls, the documentary checks will first be done. <p>Then, the Customs Officer will decide if supplementary documents must be requested from the PC. If that is the case, the Customs Officer will wait for the response from the PC and when the documents are provided they will be controlled. The Customs Officer will register the control results based on the documentary checks and if physical controls have been decided to</p>

	<p>be performed, the Customs Officer will register the control results based on both documentary and physical checks.</p> <p>The final situation of this process will be one of the following:</p> <ul style="list-style-type: none"> * No control has been performed. * The control results have been recorded. * In case a timer function is triggered, the time limit to decide to perform the relevant controls has expired and the control results are considered satisfactory.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof
Input Data	
Output Data	
Previous Objects	Risk Identified? Is National Risk Analysis System to be Consulted?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.423: Task Perform Controls by CCO

Task Perform Controls by CCO

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Controls by CCO
Task Type	Call activity (collapsed)
Description	<p>When no National Risk Analysis system is to be consulted or if a National Risk Analysis system is consulted, when the Risk Analysis results is recorded, this process is initiated. In the case that a National Risk Analysis system is consulted and no risk has been identified, the decision to perform relevant controls has to be taken within a specific time limit. If this time limit expires, the control results will be considered to be satisfactory.</p> <p>First of all, the Customs Officer has to decide if the relevant controls to verify that the goods are Union goods corresponding to the goods declared in the request, will be performed or not.</p> <p>If a timer has been set and:</p> <ul style="list-style-type: none"> ● no decision by the Customs Officer is taken, the process ends at the time limit and the control results will be considered to be satisfactory; ● the Customs Officer decides not to perform controls, the process ends;

	<ul style="list-style-type: none"> ● the Customs Officer decides to perform the controls, the timer is cancelled so that the controls are manually completed and the documentary checks will first be done. <p>If no timer has been set and:</p> <ul style="list-style-type: none"> ● the Customs Officer decides not to perform controls, the process ends; ● the Customs Officer decides to perform the controls, the documentary checks will first be done. <p>Then, the Customs Officer will decide if supplementary documents must be requested from the PC. If that is the case, the Customs Officer will wait for the response from the PC and when the documents are provided they will be controlled. The Customs Officer will register the control results based on the documentary checks and if physical controls have been decided to be performed, the Customs Officer will register the control results based on both documentary and physical checks.</p> <p>The final situation of this process will be one of the following:</p> <ul style="list-style-type: none"> * No control has been performed. * The control results have been recorded. * In case a timer function is triggered, the time limit to decide to perform the relevant controls has expired and the control results are considered satisfactory.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof
Input Data	
Output Data	
Previous Objects	Risk Identified?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.424: Task Perform Controls by CCO

Event Time Limit to Decide to Perform Controls

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Time Limit to Decide to Perform Controls
Event Type	Timer intermediate event
Description	The timer for the completion of the decision to perform relevant controls has expired. The control result is deemed to be "Satisfactory".

Appears also in the following processes	L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof
Previous Objects	Perform Controls by CCO

Table 5.425: Event Time Limit to Decide to Perform Controls

Task Identify If Controls at the CCO Have Been Performed

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Controls at the CCO Have Been Performed
Task Type	Service task
Description	The Proof of Union Status system will identify if the relevant control has been performed or not. Final situation: - The result is "No": no relevant control has been performed. - The result is "Yes": the relevant control has been performed.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-01-01-Proof of Union Status in the Form of T2L or T2LF Data L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof
Input Data	Decision on Performing Relevant Control Indication Proof Operation Information
Output Data	Decision on Performing Relevant Control Indication
Previous Objects	Perform Controls by CCO Perform Controls by CCO
Information Exchange	
Requirements	PUS_FUR_0031
Data Set	
Events	
State	

Table 5.426: Task Identify If Controls at the CCO Have Been Performed

Task Record Expiry of Time Limit to Decide to Perform Controls at the CCO

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Expiry of Time Limit to Decide to Perform Controls at the CCO

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Task Type	Service task
Description	<p>When the time limit to decide to perform the relevant control expired, this is recorded in the Proof of Union Status system - linked to the reference number. This implies that the relevant control is "A2 - Considered Satisfactory". The state of the endorsement request changes to "E-Waiting for Endorsement Decision".</p> <p>Final Situation: The expiry of the timer to decide to perform the relevant control is recorded. The state of the endorsement request changes to "E-Waiting for Endorsement Decision".</p>
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-01-01-Proof of Union Status in the Form of T2L or T2LF Data L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof
Input Data	Proof Operation Information Relevant Control Decision Completion Expiry Date
Output Data	Endorsement Request Relevant Control Decision Completion Expiry Date State of Endorsement/Registration Request
Previous Objects	Time Limit to Decide to Perform Controls
Information Exchange	
Requirements	PUS_FUR_0052 PUS_FUR_0006
Data Set	Endorsement Request
Events	Time Limit to Decide to Perform Controls Expiration is Recorded
State	E-Waiting for Endorsement Decision

Table 5.427: Task Record Expiry of Time Limit to Decide to Perform Controls at the CCO

Task Identify Control Results at the CCO

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify Control Results at the CCO
Task Type	Service task
Description	<p>The Proof of Union system will identify whether the control result is "A1-Satisfactory" or "P2-Different goods" or "P3-Non-union goods".</p> <p>If only documentary controls has been performed, the documentary control</p>

	result will be checked else if both documentary and physical controls have been performed, the physical control result will be checked. Final situation: The control result has been identified.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-01-01-Proof of Union Status in the Form of T2L or T2LF Data L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof
Input Data	Control Result at Departure Proof Operation Information
Output Data	Control Result at Departure
Previous Objects	Controls Have Been Performed?
Information Exchange	
Requirements	PUS_FUR_0032
Data Set	
Events	
State	

Table 5.428: Task Identify Control Results at the CCO

Task Record Control Results at the CCO Considered Satisfactory

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Control Results at the CCO Considered Satisfactory
Task Type	Service task
Description	<p>When the time limit expiration to decide to perform the relevant control (PC) or the time limit expiration to perform the relevant control (AI) has been recorded, the data elements following the automatic control are automatically recorded in the Proof of Union Status system - linked to the reference number. The data elements are also automatically recorded in the system following the Customs Officer's manual decision not to perform controls.</p> <p>In all the above cases, the control result will automatically be set to 'A2 – Considered Satisfactory' for both documentary and physical controls (details regarding the decision to perform the relevant controls, documentary control details, decision to perform physical control and physical control details will automatically be filled including the following justification “Control results automatically considered satisfactory because of timer expiration/manual decision not to perform controls.”).</p> <p>The system also identifies if the request is an endorsement or registration</p>

	request. If the request is a registration request, the system also keeps track of the proof acceptance date (Registration date). Final situation: The automatic control result is recorded.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-01-01-Proof of Union Status in the Form of T2L or T2LF Data L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof
Input Data	Request Type Control Result at Departure Proof Operation Information
Output Data	Control Result at Departure Registration date
Previous Objects	Record Expiry of Time Limit to Decide to Perform Controls at the CCO
Information Exchange	
Requirements	PUS_FUR_0006
Data Set	
Events	
State	

Table 5.429: Task Record Control Results at the CCO Considered Satisfactory

Task Identify if Request was Created by NMSW / National System Other than PoUS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Request was Created by NMSW / National System Other than PoUS
Task Type	Service task
Description	The Proof of Union Status system will identify if the proof was created by a NMSW / National System Other than PoUS or not. Final situation: Yes - The Proof was submitted from a NMSW/National System Other than PoUS. No - The Proof was submitted from the PC in the Central PoUS system.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof L4-PUS-02-01-02-Proof of Union Status in the Form of CGM Data -

	Perform Controls by CCO
Input Data	Proof Operation Information NMSW/National System Other than PoUS Request Indicator Member State
Output Data	Member State Result Check if Request is from NMSW/National System Other than PoUS
Previous Objects	Control Results is Satisfactory or Different Goods or Non-Union Goods?
Information Exchange	
Requirements	PUS_FUR_0037
Data Set	
Events	
State	

Table 5.430: Task Identify if Request was Created by NMSW / National System Other than PoUS

Task Notify NMSW / National System Other than PoUS about Negative Control Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify NMSW / National System Other than PoUS about Negative Control Results
Task Type	Send task
Description	When the result of the control at departure is “Non-union goods” or "Different goods", a response notification containing the LRN, the response indicator “Endorsement Rejected”, and the “Control Result at Departure”, is automatically sent to the NMSW/National System Other than PoUS in case the request originates from the NMSW/National System Other than the PoUS Final situation: The IE Endorsement response indicating 'Rejected' is sent to the NMSW/National System Other than PoUS and the process end with status “Rejected”.
Actor	Proof of Union Status System
Input Data	E_PUM_RES
Output Data	
Previous Objects	Does the Request come from NMSW / National System Other than PoUS?
Information Exchange	Endorsement Response
Requirements	PUS_FUR_0005

Data Set	
Events	
State	

Table 5.431: Task Notify NMSW / National System Other than PoUS about Negative Control Results

Event Negative Control Results Notification Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Negative Control Results Notification Received
Event Type	Message intermediate event (catch)
Description	The PC receives a notification of the negative control result concerning the endorsement request, because the declared goods are non-union goods or different from the ones controlled.
Previous Objects	Submit CGM Endorsement Request Notify Person Concerned of Negative Control Results

Table 5.432: Event Negative Control Results Notification Received

Task Handle Endorsement - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Endorsement - CGM
Task Type	Call activity (collapsed)
Description	<p>When a timer for automatic processing of endorsement is introduced, this call activity is initiated.</p> <p>The Customs Officer has to decide if the endorsement request will be accepted or not. If the requested validity of the Proof is longer than the default value of 90 days, the Customs Officer will have to decide if the longer requested validity will be accepted or not. In this case the timer set to allow for the automatic endorsement is cancelled.</p> <p>The final situation of this process will be one of the following:</p> <ul style="list-style-type: none"> - The endorsement of the Proof has been confirmed; - The endorsement of the Proof has been rejected; - The time limit to handle the endorsement of the Proof has expired.
Actor	Proof of Union Status System
Input Data	
Output Data	
Previous Objects	Control Results is Satisfactory or Different Goods or Non-Union Goods? Controls Have Been Performed? Record Control Results at the CCO Considered Satisfactory

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Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.433: Task Handle Endorsement - CGM

Task Notify Person Concerned of Negative Control Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Person Concerned of Negative Control Results
Task Type	Send task
Description	When the result of the control at departure is “Non-union goods” or "Different goods", a response notification containing the LRN, the response indicator “Endorsement Rejected”, and the “Control Result at Departure”, is automatically sent to the PC. Final situation: The IE Endorsement/Registration response indicating 'Rejected' is sent to the PC and the process end with status “Rejected”.
Actor	Proof of Union Status System
Input Data	E_PUM_RES
Output Data	
Previous Objects	Does the Request come from NMSW / National System Other than PoUS? Does the Request come from NMSW / National System Other than PoUS?
Information Exchange	Endorsement Response
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.434: Task Notify Person Concerned of Negative Control Results

Event Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Rejected
Event Type	End event
Description	The process ends here when the Endorsement Request is not endorsed and

	has been rejected.
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof L4-PUS-02-05-Proof of Union Status in the Form of CGM Data - Provide Information
Previous Objects	Notify NMSW / National System Other than PoUS about Negative Control Results

Table 5.435: Event Rejected

Event Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Rejected
Event Type	End event
Description	The process ends here when the Endorsement Request is not endorsed and has been rejected.
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof L4-PUS-02-05-Proof of Union Status in the Form of CGM Data - Provide Information
Previous Objects	Negative Control Results Notification Received

Table 5.436: Event Rejected

Event Timer to Complete Endorsement

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Timer to Complete Endorsement
Event Type	Timer intermediate event
Description	The timer for handling the endorsement of the Proof by the Customs Officer has expired. The endorsement of the Proof is deemed to be confirmed and in case of a requested validity longer than 90 days, the "Requested Validity" will be automatically set to the default value of 90 days.
Previous Objects	Handle Endorsement - CGM

Table 5.437: Event Timer to Complete Endorsement

Task Identify If Endorsement Is Confirmed

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Endorsement Is Confirmed
Task Type	Service task
Description	<p>The Proof of Union Status system will identify whether the endorsement decision is “Endorsed” or “Endorsement Rejected”. If the endorsement request originates from a NMSW/National System Other than PoUS a rejection notification is sent to the NMSW/National System Other than PoUS.</p> <p>Final situation:</p> <p>Yes – The endorsement has been confirmed and the proof is to be registered.</p> <p>No – The endorsement has been rejected and a respective notification must be sent to the PC (or to the PC and the NMSW/National System Other than PoUS).</p>
Actor	Proof of Union Status System
Input Data	LRN Endorsement/Registration Decision
Output Data	Result Check If Endorsement is Confirmed State of Endorsement/Registration Request
Previous Objects	Handle Endorsement - CGM
Information Exchange	
Requirements	PUS_FUR_0034
Data Set	
Events	
State	

Table 5.438: Task Identify If Endorsement Is Confirmed

Event Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Rejected
Event Type	End event
Description	The process ends here when the Endorsement Request is not endorsed and has been rejected.
Appears also in the following processes	<p>L4-PUS-02-01-Proof of Union Status in the Form of CGM Data</p> <p>L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof</p> <p>L4-PUS-02-05-Proof of Union Status in the Form of CGM Data - Provide Information</p>

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Previous Objects	Notify Person Concerned of Negative Control Results

Table 5.439: Event Rejected

Task Record Expiry of the Timer to Complete Endorsement - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Expiry of the Timer to Complete Endorsement - CGM
Task Type	Service task
Description	When the time limit to complete the endorsement of a Proof expired, this is recorded in the Proof of Union Status System - linked to the reference number. This implies that the Proof is deemed to be confirmed. Final Situation: The expiry of the timer to complete the endorsement of a Proof is recorded in the Proof of Union Status System.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof
Input Data	Endorsement Completion Expiry Date Proof Operation Information for CGM
Output Data	Endorsement Completion Expiry Date
Previous Objects	Timer to Complete Endorsement
Information Exchange	
Requirements	PUS_FUR_0006 PUS_FUR_0023
Data Set	
Events	
State	

Table 5.440: Task Record Expiry of the Timer to Complete Endorsement - CGM

Task Record Endorsement Deemed to Be Confirmed

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Endorsement Deemed to Be Confirmed
Task Type	Service task
Description	When the time limit expiration to complete the endorsement of a Proof has

	<p>been recorded, the data elements following the automatic processing of endorsement are automatically recorded in the Proof of Union Status system - linked to the reference number.</p> <p>The endorsement decision will automatically be set to 'Yes' including the following explanation "Proof endorsement automatically deemed to be confirmed because of timer expiration." and the System keeps track of the "Endorsement Decision Date" and proof acceptance date (Registration date). If the requested validity is longer than 90 days, the "Requested Validity of the Proof" data element will be automatically set to the default value of 90 days.</p> <p>Final situation: The automatic endorsement details are recorded.</p>
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-01-01-Proof of Union Status in the Form of T2L or T2LF Data L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof
Input Data	Proof Operation Information Endorsement Requested Validity of the proof
Output Data	Registration date Requested Validity of the proof Endorsement
Previous Objects	Record Expiry of the Timer to Complete Endorsement - CGM
Information Exchange	
Requirements	PUS_FUR_0006
Data Set	
Events	
State	

Table 5.441: Task Record Endorsement Deemed to Be Confirmed

Task Identify if Request was Created by NMSW / National System Other than PoUS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Request was Created by NMSW / National System Other than PoUS
Task Type	Service task
Description	<p>The Proof of Union Status system will identify if the proof was created by a NMSW / National System Other than PoUS or not.</p> <p>Final situation:</p>

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	Yes - The Proof was submitted from a NMSW/National System Other than PoUS. No - The Proof was submitted from the PC in the Central PoUS system.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof L4-PUS-02-01-02-Proof of Union Status in the Form of CGM Data - Perform Controls by CCO
Input Data	Proof Operation Information NMSW/National System Other than PoUS Request Indicator Member State
Output Data	Member State Result Check if Request is from NMSW/National System Other than PoUS
Previous Objects	Confirm Endorsement?
Information Exchange	
Requirements	PUS_FUR_0037
Data Set	
Events	
State	

Table 5.442: Task Identify if Request was Created by NMSW / National System Other than PoUS

Task Notify NMSW / National System Other than PoUS of Rejection of Endorsement - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify NMSW / National System Other than PoUS of Rejection of Endorsement - CGM
Task Type	Send task
Description	When the endorsement was rejected, a response notification containing the LRN, the Response Indicator 'Endorsement Rejected', and the Endorsement Rejection Justification, is automatically sent to the NMSW/National System Other than PoUS in case the Proof originates from a NMSW/National System Other than PoUS. Final situation: The IE Endorsement/Registration Response indicating 'Rejected' is sent to the NMSW/National System Other than PoUS.
Actor	Proof of Union Status System
Input Data	Endorsement Decision

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	LRN Control Result at Departure
Output Data	Response Information
Previous Objects	Does the Request come from NMSW / National System Other than PoUS?
Information Exchange	Endorsement Response
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.443: Task Notify NMSW / National System Other than PoUS of Rejection of Endorsement - CGM

Event Rejection of Endorsement Notification Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Rejection of Endorsement Notification Received
Event Type	Message intermediate event (catch)
Description	The PC receives a notification of the rejection of the endorsement request.
Previous Objects	Submit CGM Endorsement Request Notify Person Concerned of Rejection of Endorsement - CGM

Table 5.444: Event Rejection of Endorsement Notification Received

Task Notify Person Concerned of Rejection of Endorsement - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Person Concerned of Rejection of Endorsement - CGM
Task Type	Send task
Description	When the endorsement was rejected, a response notification containing the LRN, the Response Indicator 'Endorsement Rejected', and the Endorsement Rejection Justification, is automatically sent to the PC. Final situation: The IE Endorsement/Registration Response indicating 'Rejected' is sent to the PC.
Actor	Proof of Union Status System
Input Data	LRN Control Result at Departure Endorsement Decision

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Output Data	Response Information
Previous Objects	Does the Request come from NMSW / National System Other than PoUS? Does the Request come from NMSW / National System Other than PoUS?
Information Exchange	Endorsement Response
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.445: Task Notify Person Concerned of Rejection of Endorsement - CGM

Task Register Proof

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Proof
Task Type	Subprocess
Description	<p>The proof is currently to be registered. When this occurs, the Proof of Union Status System will issue an MRN and set a validity period.</p> <p>First, an MRN will be automatically generated by the Proof of Union Status System and allocated to the Endorsement Request. The MRN will serve as a unique identifier for the proof and will be used to refer to the proof for all future transactions.</p> <p>After allocating the MRN, the validity period is set. If no specific period is specified in the Endorsement Request, the period will be based on the default value of 90 days. Alternatively the Person Concerned may request an alternative validity period as per the Requested Validity of the Proof (expressed in days) referenced in the Endorsement Request. The Validity End Date is automatically calculated. The duration is based on calendar days - not working days - and is calculated from the Proof Acceptance Date. When Register Proof is completed, the process will continue to Record Proof.</p> <p>Final situation: An MRN is issued and the validity period is set.</p>
Actor	Proof of Union Status System
Input Data	
Output Data	

Previous Objects	Record Endorsement Deemed to Be Confirmed Confirm Endorsement? Proof Registered Set Validity Period - CGM Issue Master Reference Number - CGM Proof is to be Registered
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.446: Task Register Proof

Register Proof: Event Proof is to be Registered

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof is to be Registered
Event Type	Start event
Description	This subprocess starts when it is identified that an Endorsement Request is confirmed.
Previous Objects	

Table 5.447: Event Proof is to be Registered

Register Proof: Task Issue Master Reference Number - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Issue Master Reference Number - CGM
Task Type	Service task
Description	At this point, a master reference number (MRN) will be automatically generated by the Proof of Union Status System. Final situation: The Proof is assigned an MRN number.
Actor	
Input Data	LRN
Output Data	State of Endorsement/Registration Request MRN
Previous Objects	Proof is to be Registered

Information Exchange	
Requirements	PUS_FUR_0009
Data Set	
Events	
State	

Table 5.448: Task Issue Master Reference Number - CGM

Register Proof: Task Set Validity Period - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Set Validity Period - CGM
Task Type	Service task
Description	<p>After allocating the MRN, the validity end date is set based upon the Proof Acceptance Date.</p> <p>In case of a request from the Person Concerned for a validity period longer than 90 days, a decision from the Customs Officer has already be taken just after the endorsement decision. The validity end date is recorded in this task. The state of the Endorsement Request changes to 'Registered'.</p> <p>In case there was no request for a longer validity period, the validity end date is automatically set by the Proof of Union Status System, as the Validity Period is 90 days.</p> <p>Final situation:</p> <p>The data element 'Response Indicator' is now filled in and indicates 'Endorsed'.</p> <p>The Proof is assigned a Validity End Date and the state changes to 'Registered'.</p>
Actor	
Input Data	Validity Period Information MRN
Output Data	Endorsement Request Validity End Date State of Endorsement/Registration Request Response Indicator
Previous Objects	Issue Master Reference Number - CGM
Information Exchange	

Requirements	PUS_FUR_0010 PUS_FUR_0008
Data Set	Endorsement Request
Events	Validity Period Set
State	Registered

Table 5.449: Task Set Validity Period - CGM

Register Proof: Event Proof Registered

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof Registered
Event Type	End event
Description	This subprocess ends when the Endorsement Request has received an MRN and a validity period. The initiating process can continue.
Previous Objects	Set Validity Period - CGM

Table 5.450: Event Proof Registered

Event Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Rejected
Event Type	End event
Description	The process ends here when the Endorsement Request is not endorsed and has been rejected.
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof L4-PUS-02-05-Proof of Union Status in the Form of CGM Data - Provide Information
Previous Objects	Notify NMSW / National System Other than PoUS of Rejection of Endorsement - CGM

Table 5.451: Event Rejected

Event Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
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Event Name	Rejected
Event Type	End event
Description	The process ends here when the Endorsement Request is not endorsed and has been rejected.
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof L4-PUS-02-05-Proof of Union Status in the Form of CGM Data - Provide Information
Previous Objects	Rejection of Endorsement Notification Received

Table 5.452: Event Rejected

Event Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Rejected
Event Type	End event
Description	The process ends here when the Endorsement Request is not endorsed and has been rejected.
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof L4-PUS-02-05-Proof of Union Status in the Form of CGM Data - Provide Information
Previous Objects	Notify Person Concerned of Rejection of Endorsement - CGM

Table 5.453: Event Rejected

Task Store Proof of Union Status in the Form of CGM Data in Central Repository

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Store Proof of Union Status in the Form of CGM Data in Central Repository
Task Type	Call activity (collapsed)
Description	The process starts when the Proof is endorsed. The Proof of Union Status system sends the proof and all its details to the CR to make it centrally available to all Member States. The final situation of this process will be the following: The complete proof is recorded in the CR and is now centrally available.
Actor	Proof of Union Status System

Appears also in the following processes	L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof
Input Data	
Output Data	
Previous Objects	Central Repository Register Proof
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.454: Task Store Proof of Union Status in the Form of CGM Data in Central Repository

Task Identify if Request was Created by NMSW / National System Other than PoUS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Request was Created by NMSW / National System Other than PoUS
Task Type	Service task
Description	The Proof of Union Status system will identify if the proof was created by a NMSW / National System Other than PoUS or not. Final situation: Yes - The Proof was submitted from a NMSW/National System Other than PoUS. No - The Proof was submitted from the PC in the Central PoUS system.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof L4-PUS-02-01-02-Proof of Union Status in the Form of CGM Data - Perform Controls by CCO
Input Data	Proof Operation Information NMSW/National System Other than PoUS Request Indicator Member State
Output Data	Member State Result Check if Request is from NMSW/National System Other than PoUS
Previous Objects	Store Proof of Union Status in the Form of CGM Data in Central Repository

Information Exchange	
Requirements	PUS_FUR_0037
Data Set	
Events	
State	

Table 5.455: Task Identify if Request was Created by NMSW / National System Other than PoUS

Event Receive Endorsement Response Notification

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive Endorsement Response Notification
Event Type	Message intermediate event (catch)
Description	The PC receives a notification of the creation of the Proof.
Previous Objects	Submit CGM Endorsement Request Notify Confirmation of Proof Endorsement - CGM

Table 5.456: Event Receive Endorsement Response Notification

Task Notify Confirmation of Proof Endorsement - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Confirmation of Proof Endorsement - CGM
Task Type	Service task
Description	The MRN and the details of the proof are automatically sent to the Person Concerned in the Endorsement Response with Response Indicator 'Endorsed'. An option to get a printable SRD version is also included in the notification. Final situation: Endorsement Response with Response Indicator 'Endorsed' is sent to the Person Concerned.
Actor	Proof of Union Status System
Input Data	E_PUM_RES
Output Data	
Previous Objects	Does the Request come from NMSW / National System Other than PoUS? Does the Request come from NMSW / National System Other than PoUS?
Information Exchange	Endorsement Response

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Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.457: Task Notify Confirmation of Proof Endorsement - CGM

Task Notify NMSW / National System Other than PoUS for the Endorsement of Proof - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify NMSW / National System Other than PoUS for the Endorsement of Proof - CGM
Task Type	Send task
Description	The MRN and the details of the proof are automatically sent to the NMSW/National System Other than PoUS in the Endorsement Response with Response Indicator 'Endorsed' in case the Proof request was initiated by a NMSW/National System Other than PoUS. Final situation: Endorsement Response with Response Indicator 'Endorsed' is sent to the NMSW/National System Other than PoUS.
Actor	Proof of Union Status System
Input Data	E_PUM_RES
Output Data	
Previous Objects	Does the Request come from NMSW / National System Other than PoUS?
Information Exchange	Endorsement Response
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.458: Task Notify NMSW / National System Other than PoUS for the Endorsement of Proof - CGM

Event Endorsement Request Successfully Processed

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Endorsement Request Successfully Processed
Event Type	End event
Description	This process ends when the Endorsement Request was successfully

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	processed.
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof
Previous Objects	Receive Endorsement Response Notification

Table 5.459: Event Endorsement Request Successfully Processed

Event Endorsement Request Successfully Processed

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Endorsement Request Successfully Processed
Event Type	End event
Description	This process ends when the Endorsement Request was successfully processed.
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof
Previous Objects	Notify Confirmation of Proof Endorsement - CGM Notify NMSW / National System Other than PoUS for the Endorsement of Proof - CGM

Table 5.460: Event Endorsement Request Successfully Processed

5.21. Process L4-PUS-02-02-01-Risk Consultation for Use of Proof in the Form of CGM Data

5.21.1. Objective of Process

This L4 process triggers the risk analysis process which is carried out by the national risk analysis system. The endorsement request data is sent to the risk analysis system and processed against risk rules (including common risk criteria and standards where applicable). The risk analysis system sends back the risk analysis result.

The final result of this process is that risk analysis for Presentation request is recorded in the Proof of Union Status system.

5.21.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-PUS-02-02-01
Full Name	Risk Consultation for Use of Proof in the Form of CGM Data
Assumptions	N/A

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Constraints	No constraints.
L3 Reference Models	L3-PUS-01-03
Existing Specifications	

Table 5.461: Process Details

5.21.3. Tasks and Events

Event National Risk Analysis system is to be consulted

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	National Risk Analysis system is to be consulted
Event Type	Conditional start event
Description	National Risk Analysis system is to be consulted
Appears also in the following processes	L4-PUS-02-01-01-Risk Consultation for Endorsement Request in the Form of CGM Data L4-PUS-01-01-01-Risk Consultation for Endorsement/Registration Request in the Form of T2L or T2LF Data
Previous Objects	

Table 5.462: Event National Risk Analysis system is to be consulted

Task Notify Competent Member State that Risk Analysis is to be Performed for PoUS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Competent Member State that Risk Analysis is to be Performed for PoUS
Task Type	Send task
Description	The competent Member State is informed that a risk analysis is requested and receives all data needed to perform risk analysis.
Actor	Proof of Union Status System
Input Data	Member State Risk Analysis Request Date C_REM_RPQ MRN
Output Data	
Previous Objects	National Risk Analysis system is to be consulted
Information Exchange	Risk Analysis Request for Use Request CGM
Requirements	PUS_FUR_0020

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Data Set	
Events	
State	

Table 5.463: Task Notify Competent Member State that Risk Analysis is to be Performed for PoUS

Event Risk Analysis Response from the Competent MS Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Risk Analysis Response from the Competent MS Received
Event Type	Message intermediate event (catch)
Description	This event indicates that the Proof of Union Status system has received the risk analysis response from the competent Member State.
Appears also in the following processes	L4-PUS-02-01-01-Risk Consultation for Endorsement Request in the Form of CGM Data L4-PUS-01-01-01-Risk Consultation for Endorsement/Registration Request in the Form of T2L or T2LF Data
Previous Objects	Notify Competent Member State that Risk Analysis is to be Performed for PoUS Member State Competent for Risk Analysis

Table 5.464: Event Risk Analysis Response from the Competent MS Received

Task Record Risk Analysis Response from Competent MS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Risk Analysis Response from Competent MS
Task Type	Service task
Description	When the risk analysis response is received it is automatically recorded in the Proof of Union Status system. The state of the endorsement or registration request (depending on the request type) changes to "E-Waiting Control Decision".
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-01-01-Risk Consultation for Endorsement Request in the Form of CGM Data L4-PUS-01-01-01-Risk Consultation for Endorsement/Registration Request in the Form of T2L or T2LF Data
Input Data	Request Type
Output Data	State of Endorsement/Registration Request Endorsement Request Member State

	Risk Analysis Result Date Registration Request Risk Analysis Result Information
Previous Objects	Risk Analysis Response from the Competent MS Received
Information Exchange	Risk Analysis Response
Requirements	PUS_FUR_0006 PUS_FUR_0020
Data Set	Endorsement Request Registration Request
Events	National RA Result is Recorded
State	E-Waiting Control Decision

Table 5.465: Task Record Risk Analysis Response from Competent MS

Event Risk Analysis Response Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Risk Analysis Response Received
Event Type	End event
Description	This process ends when the risk analysis response was successfully received and recorded in the Proof of Union Status system.
Appears also in the following processes	L4-PUS-02-01-01-Risk Consultation for Endorsement Request in the Form of CGM Data L4-PUS-01-01-01-Risk Consultation for Endorsement/Registration Request in the Form of T2L or T2LF Data
Previous Objects	Record Risk Analysis Response from Competent MS

Table 5.466: Event Risk Analysis Response Received

5.22. Process L4-PUS-02-02-02-Handle Proof Usage Registration in the Form of CGM Data

5.22.1. Objective of Process

When a Proof in the form of Customs Goods Manifest has been presented, retrieved in the central repository and identified as valid to be used, this process is initiated. The Customs Officer has to decide whether the retrieved Proof will be used or not. If a Proof is not to be used, the person concerned at arrival is notified. If a Proof is to be used, the Customs Officer has to register the details of usage.

The final situation of this process will be one of the following:

- The Proof has been retrieved but not used and the person concerned at arrival has received a relevant notification.
- The Proof usage has been registered.
- In case a timer function is introduced, the time limit to handle the Proof usage registration has expired.

5.22.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-PUS-02-02-02
Full Name	Handle Proof Usage Registration in the Form of CGM
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-PUS-01-03
Existing Specifications	

Table 5.467: Process Details

5.22.3. Tasks and Events

Event Proof Usage to Be Registered

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof Usage to Be Registered
Event Type	Start event
Description	When a retrieved Proof in the form of Customs Goods Manifest is valid to be used, this process will be initiated.
Previous Objects	

Table 5.468: Event Proof Usage to Be Registered

Task Register Decision on Use of Proof - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Decision on Use of Proof - CGM
Task Type	User task
Description	When the Proof of Union system has automatically checked that the proof is valid for use and no risk has been identified or the Customs Officer has performed all the required controls on the fact that the proof refers to the goods presented and the control result is either "Satisfactory" or "Missing goods" or "Excess goods", the Customs Officer takes a decision whether the proof is to be used or not. When the decision is positive, the proof is to be used. In case of negative decision, the proof is not to be used.

	Final situation: The Customs Officer registered one of the following results: - The Proof is to be used; or - The Proof is not to be used.
Actor	Customs Officer
Input Data	MRN C_REM_RPQ
Output Data	Use of Proof Decision
Previous Objects	Proof Usage to Be Registered
Information Exchange	
Requirements	PUS_FUR_0012 PUS_FUR_0004
Data Set	
Events	
State	

Table 5.469: Task Register Decision on Use of Proof - CGM

Task Record Decision on Use of Proof - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Decision on Use of Proof - CGM
Task Type	Service task
Description	<p>When the Customs Officer registered the decision on the use of the Proof, the data elements following this registration are automatically recorded in the Proof of Union Status system - linked to the reference number. It will be identified whether the decision is "Is to be Used" or "Is not to be Used".</p> <p>If the decision is "Is to be Used", the Proof of Union Status system automatically keeps track of the "Register Use of Proof Date" and the state of the CGM Proof Presentation Notification changes to "Used".</p> <p>If the decision is "Is not to be Used", the state of the CGM Proof Presentation Notification changes to "Rejected".</p> <p>Final situation: The Use of Proof Decision is recorded.</p>

Actor	Proof of Union Status System
Input Data	Use of Proof Decision MRN
Output Data	Use of Proof Decision Result Check If Proof Is to Be Used State of CGM Presentation Notification
Previous Objects	Register Decision on Use of Proof - CGM
Information Exchange	
Requirements	PUS_FUR_0006
Data Set	
Events	
State	

Table 5.470: Task Record Decision on Use of Proof - CGM

Event Proof Usage Decision Recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof Usage Decision Recorded
Event Type	End event
Description	The process ends here when the Proof usage has been registered.
Previous Objects	Record Decision on Use of Proof - CGM

Table 5.471: Event Proof Usage Decision Recorded

5.23. Process L4-PUS-02-02-03-Store Usage of Proof of Union Status in the Form of CGM Data in Central Repository

5.23.1. Objective of Process

5.23.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-PUS-02-02-03
Full Name	Store Usage of Proof of Union Status in the Form of CGM Data in Central Repository
Assumptions	N/A

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Constraints	No constraints.
L3 Reference Models	L3-PUS-01-03
Existing Specifications	

Table 5.472: Process Details

5.23.3. Tasks and Events

Event Proof of Union Status in the Form of CGM data is to be Updated in Central Repository to Include Usage of Proof Data

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof of Union Status in the Form of CGM data is to be Updated in Central Repository to Include Usage of Proof Data
Event Type	Start event
Description	The process starts when the details of a Proof in the CR need to be updated to include the usage of Proof data.
Previous Objects	

Table 5.473: Event Proof of Union Status in the Form of CGM data is to be Updated in Central Repository to Include Usage of Proof Data

Event Request from National System Received in order to Store Proof Usage in Central Repository

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Request from National System Received in order to Store Proof Usage in Central Repository
Event Type	Message start event
Description	The process starts upon request of a National Proof of Union Status system through an exposed web service offered to the National systems, in order to update the details of a national Proof in the CR to include the usage of Proof data (for both cases Use of Proof / Non-Use of Proof).
Appears also in the following processes	L4-PUS-01-02-03-Store Usage of Proof of Union Status in the Form of T2L or T2LF Data in Central Repository
Previous Objects	National Proof of Union Status System

Table 5.474: Event Request from National System Received in order to Store Proof Usage in Central Repository

Task Perform Validation of Proof Update Data Request from National System

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Validation of Proof Update Data Request from National System

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Task Type	Service task
Description	<p>When the Proof update data is received from a National Proof of Union Status system, it must be technically validated.</p> <p>Final situation:</p> <ul style="list-style-type: none"> - The result of the validation is positive: there are no validation errors and the Proof update data is valid. - The result of the validation is negative: the Proof update data contains validation errors and is invalid. The National Proof of Union system will be notified of the validation errors.
Actor	Proof of Union Status System
Input Data	MRN
Output Data	National System Request Validation Result C_NPUM_RUP
Previous Objects	Request from National System Received in order to Store Proof Usage in Central Repository
Information Exchange	Register Use of Proof from National System CGM
Requirements	PUS_FUR_0002 PUS_FUR_0001 PUS_FUR_0003 PUS_FUR_0036
Data Set	
Events	
State	

Table 5.475: Task Perform Validation of Proof Update Data Request from National System

Task Record Proof Update Data Request from National System - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Proof Update Data Request from National System - CGM
Task Type	Service task
Description	When the Proof of Union Status system receives the usage details of a proof from a National Proof of Union system the data elements following this are automatically recorded in the Proof of Union Status system - linked to the reference number.
Actor	Proof of Union Status System
Input Data	MRN C_NPUM_RUP

Output Data	C_NPUM_RUP MRN
Previous Objects	Is Validation Result Positive?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.476: Task Record Proof Update Data Request from National System - CGM

Task Notify National System about Validation Errors

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify National System about Validation Errors
Task Type	Send task
Description	When the Proof update data received from a National Proof of Union Status system is invalid, a validation error notification is automatically sent to the National System containing more details on the validation errors. The process will end here. Final situation: IEP40 National System Request Rejected is sent to the National System, and the process ends.
Actor	Proof of Union Status System
Input Data	National System Request Validation Result C_NPUM_RUP
Output Data	Rejected National System Request Reference
Previous Objects	Is Validation Result Positive?
Information Exchange	National System Request Rejected
Requirements	PUS_FUR_0036
Data Set	
Events	
State	

Table 5.477: Task Notify National System about Validation Errors

Event National System Notified of Validation Errors

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	National System Notified of Validation Errors
Event Type	End event
Description	When the Proof Presented is technically invalid, the National System will receive a validation error notification and the process will end.
Appears also in the following processes	L4-PUS-01-02-03-Store Usage of Proof of Union Status in the Form of T2L or T2LF Data in Central Repository
Previous Objects	Notify National System about Validation Errors

Table 5.478: Event National System Notified of Validation Errors

Task Store Use of Proof - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Store Use of Proof - CGM
Task Type	Service task
Description	<p>When the Proof of Union Status system sends the usage details of a proof to the CR, the system will wait for a reply/confirmation that the usage details of the proof information have been successfully stored.</p> <p>All information, related to the usage of the proof of union status, will be included in the update of the Proof of Union status assigned to the given MRN. The status of the Proof in the CR is set to "Used" if the quantities set in the CGM endorsement are reached or to "Partially Used" if there are still quantities left to be used in a future presentation.</p> <p>CGM Proof may be partially used in a repeating mode until the full limits of the quantities endorsed are reached. Each time the CGM Proof is presented records are updated where the exact quantities presented of the Proof Goods are recorded in order to maintain a log of the quantities used compared to the quantities endorsed. Every time the CGM Proof is presented the already presented quantities of the Proof are retrieved and compared to the endorsed quantities of the Proof so as to derive the conclusion on whether the Proof may be used again and if this is possible to calculate the remaining allowed quantity to be presented for each Good included in the CGM Proof. If the full extent of the quantities endorsed is reached the log is finalised and the Proof status is set to "Used" in the "Store Use of Proof" task.</p> <p>In case supplementary documents were provided during the relevant controls, they will be included in the message in order to update the list of documents stored in the CR.</p> <p>If the process has been initiated from a National Proof of Union Status system, all this information will be retrieved from the message received by the national system.</p> <p>The state of the CGM Proof Presentation Notification changes to "Proof</p>

	Usage Registered". Final situation: The updated proof is recorded in the CR and is now centrally available.
Actor	Proof of Union Status System
Input Data	C_PUM_RUP
Output Data	CGM Proof Presentation Notification MRN State of CGM Presentation Notification C_PUM_RUP
Previous Objects	Proof of Union Status in the Form of CGM data is to be Updated in Central Repository to Include Usage of Proof Data Record Proof Update Data Request from National System - CGM
Information Exchange	Register Use of Proof - CG <input type="checkbox"/>
Requirements	PUS_FUR_0006 PUS_FUR_0011
Data Set	CGM Proof Presentation Notification
Events	Proof Usage Recorded
State	P-Used

Table 5.479: Task Store Use of Proof - CGM

Event Receipt of Notification for the Usage of Proof

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receipt of Notification for the Usage of Proof
Event Type	Message intermediate event (catch)
Description	This event indicates that the Proof of Union Status system receives the reply/confirmation from the CR, that the proof assigned to the given MRN has been successfully updated with the usage details.
Appears also in the following processes	L4-PUS-01-02-03-Store Usage of Proof of Union Status in the Form of T2L or T2LF Data in Central Repository
Previous Objects	Central Repository Store Use of Proof - CGM

Table 5.480: Event Receipt of Notification for the Usage of Proof

Task Record Notification for Proof Usage - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Notification for Proof Usage - CGM
Task Type	Service task
Description	When the confirmation from the CR, that the proof assigned to the given MRN has been successfully updated is received, the data elements following this are automatically recorded in the Proof of Union Status system - linked to the reference number.
Actor	Proof of Union Status System
Input Data	MRN
Output Data	C_REP_NOT
Previous Objects	Receipt of Notification for the Usage of Proof
Information Exchange	Notification for the Registration of Use of Proof
Requirements	PUS_FUR_0006
Data Set	
Events	
State	

Table 5.481: Task Record Notification for Proof Usage - CGM

Task Identify if Updated Proof was Handled in National PoUS System - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Updated Proof was Handled in National PoUS System - CGM
Task Type	Service task
Description	The system will identify if the proof that has been updated with the usage details, was handled in the central Proof of Union Status system or in a National system. Final situation: Yes - The Proof was handled in a National Proof of Union Status system. No - The Proof was handled in the central Proof of Union Status system.
Actor	Proof of Union Status System
Input Data	MRN National System - NMSW Request Indication
Output Data	Result Check if Request is from National PoUS System / Other National System

	Member State
Previous Objects	Record Notification for Proof Usage - CGM
Information Exchange	
Requirements	PUS_FUR_0037
Data Set	
Events	
State	

Table 5.482: Task Identify if Updated Proof was Handled in National PoUS System - CGM

Task Notify National PoUS System about Proof Usage - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify National PoUS System about Proof Usage - CGM
Task Type	Send task
Description	<p>When the Proof is updated with the usage details in the CR and the source of these data is a National Proof of Union Status system, a confirmation message is automatically sent to the National system to confirm the successful update of the proof.</p> <p>Final situation: Notification of successful proof update in the CR is sent to the National Proof of Union Status system from which the data were received.</p>
Actor	Proof of Union Status System
Input Data	Member State Competent Customs Office C_REP_NOT
Output Data	
Previous Objects	Is Proof from National PoUS System?
Information Exchange	Notification for the Registration of Use of Proof Request from National System CGM
Requirements	PUS_FUR_0036
Data Set	
Events	
State	

Table 5.483: Task Notify National PoUS System about Proof Usage - CGM

Event Proof Usage Stored in Central Repository

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof Usage Stored in Central Repository
Event Type	End event
Description	This process ends when the Proof usage is stored in the CR.
Appears also in the following processes	L4-PUS-01-02-03-Store Usage of Proof of Union Status in the Form of T2L or T2LF Data in Central Repository
Previous Objects	Notify National PoUS System about Proof Usage - CGM Is Proof from National PoUS System?

Table 5.484: Event Proof Usage Stored in Central Repository

5.24. Process L4-PUS-02-02-04-Present Proof of Union Status in the Form of CGM Data - Perform Controls by PCO

5.24.1. Objective of Process

When no National Risk Analysis system is to be consulted or if a National Risk Analysis system is consulted, when the Risk Analysis results is recorded, this process is initiated. In the case that a National Risk Analysis system is consulted and no risk has been identified, the decision to perform relevant controls has to be taken within a specific time limit. If this time limit expires and there is no decision by the Customs officer on whether to perform the controls or not, the control results will be considered satisfactory

First of all, the Customs Officer has to decide if the relevant controls to verify that the goods are corresponding to the goods declared in the request, will be performed or not.

If a timer has been set and:

- no decision by the Customs Officer is taken, the process ends at the time limit and the control results will be considered satisfactory;
- the Customs Officer decides not to perform controls, the process ends;
- the Customs Officer decides to perform the controls, the timer is cancelled so that the controls are manually completed and the documentary checks will first be done.

If no timer has been set and:

- the Customs Officer decides not to perform controls, the process ends;
- the Customs Officer decides to perform the controls, the documentary checks will first be done.

Then, the Customs Officer will decide if supplementary documents must be requested from the PC. If that is the case, the Customs Officer will wait for the response from the PC and when the documents are provided they will be controlled. If the request's origin is the NMSW/National System Other than PoUS then a notification about the provision of supplementary documents is also sent to the NMSW/National System Other than PoUS apart from the PC. If one of the parties fulfills first the requirement for the supplementary documents, a notification is triggered and sent to the other party that the supplementary documents have been provided and that process will proceed to the recording of the provided supplementary documents. The Customs Officer will register the control results based on the documentary checks and decide if physical controls need to be performed or not. In the case physical controls are performed, the Customs Officer will register the control results based on both documentary and physical checks.

The final situation of this process will be one of the following:

- No control has been performed.
- The control results have been recorded.

- In case a timer function is triggered, the time limit to decide to perform the relevant controls has expired and the control results are considered satisfactory.

5.24.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-PUS-02-02-04
Full Name	Present Proof of Union Status in the Form of CGM Data - Perform Controls by PCO
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-PUS-01-03
Existing Specifications	

Table 5.485: Process Details

5.24.3. Tasks and Events

Task Register Decision to Perform Relevant Control and Level of Control at the PCO

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Decision to Perform Relevant Control and Level of Control at the PCO
Task Type	User task
Description	<p>A Customs Officer must be able to view the details of the retrieved proof and the result of the risk analysis (in case a risk analysis system was consulted) via the user interface of the Proof of Union Status system. The Customs Officer reviews the content and document the details of the decision regarding the execution of relevant controls.</p> <p>In case there is a decision to execute controls a decision on whether only documentary controls should take place or documentary and physical controls should take place.</p> <p>Final situation: The Customs Officer registers one of the following results: -The result is no: the relevant control is not to be performed; -The result is yes with documentary controls: the relevant control is to be performed with documentary controls only; -The result is yes with documentary controls and physical controls to be performed.</p>
Actor	Customs Officer
Input Data	MRN C_REM_RPQ Risk Analysis Result Information

Output Data	Execution of Relevant Control Decision Perform Physical Control Decision
Previous Objects	Excess Goods Warning?
Information Exchange	
Requirements	PUS_FUR_0004
Data Set	
Events	
State	

Table 5.486: Task Register Decision to Perform Relevant Control and Level of Control at the PCO

Event Risk Analysis Recorded or No National Risk Analysis System was Consulted

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Risk Analysis Recorded or No National Risk Analysis System was Consulted
Event Type	Conditional start event
Description	This process starts when the Risk Analysis result has been recorded or no National Risk Analysis System was consulted.
Appears also in the following processes	L4-PUS-01-02-04-Present Proof of Union Status in the Form of T2L or T2LF Data - Perform Controls by PCO
Previous Objects	

Table 5.487: Event Risk Analysis Recorded or No National Risk Analysis System was Consulted

Task Identify if an Excess Goods Warning exists for the Proof Presentation

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if an Excess Goods Warning exists for the Proof Presentation
Task Type	Service task
Description	When there has been an Excess Goods Warning noted system handles the warning to activate the appropriate process tasks. In case a Warning exists CO has to perform controls. Data Element "Decision on Performing Relevant Control Indication" gets the value "Yes" so that the process is forwarded to step "Register Documentary Controls or Decision to request Supplementary Documents or Decision on Physical Controls". In case Excess Goods have been identified both Documentary and Physical Controls are bound to be performed.
Actor	Proof of Union Status System

Input Data	MRN Result Check If Excess Goods Exist C_REM_RPQ
Output Data	State of CGM Presentation Notification Result Check if Excess Goods Warning has been set
Previous Objects	Risk Analysis Recorded or No National Risk Analysis System was Consulted
Information Exchange	
Requirements	PUS_FUR_0006
Data Set	
Events	
State	

Table 5.488: Task Identify if an Excess Goods Warning exists for the Proof Presentation

Task Register Documentary Controls or Decision to Request Supplementary Documents or Decision on Physical Controls

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Documentary Controls or Decision to Request Supplementary Documents or Decision on Physical Controls
Task Type	User task
Description	<p>Based on the decision to perform relevant controls or not, a Customs Officer will first, manually execute documentary controls on the presented goods to verify that the goods are Union goods corresponding to the goods declared in the retrieved proof.</p> <p>In case supplementary documents are required, the Customs Officer requests them from the EO at Arrival.</p> <p>In case no supplementary documents are needed the Customs Officer registers the result of the control based on the documentary checks.</p> <p>The Customs Officer may insert or update his decision on the execution of Physical Controls. In case of existing "Excess Goods" then a decision to perform physical controls may be inserted as the task "Register Decision to Perform Relevant Control and level of Control at the PCO" has been skipped. In case of not existing "Excess Goods" then an update of the decision to perform physical controls may take place as the level of control has already been defined at the "Register Decision to Perform Relevant Control and level of Control at the PCO" task.</p> <p>The result of the control may be one of the following:</p> <ul style="list-style-type: none"> ● “A1 – Satisfactory”; ● “P2 – Different goods”;

	<ul style="list-style-type: none"> ● “P4 – Missing goods”; ● “P5 – Excess goods”. <p>Final situation: The Customs Officer registers one of the following: If there are excess goods:</p> <ul style="list-style-type: none"> - Supplementary documents are needed and optionally the decision to perform Physical Controls is made; or - No supplementary documents are needed, the result of the control is registered and optionally the decision to perform Physical Controls is made; <p>If there are no excess goods:</p> <ul style="list-style-type: none"> - Supplementary documents are needed and optionally the decision to perform Physical Controls is revisited; or - No supplementary documents are needed, the result of the control is registered and optionally the decision to perform Physical Controls is revisited;
Actor	Customs Officer
Input Data	MRN C_REM_RPQ Risk Analysis Result Information
Output Data	Documentary Control at Departure Perform Physical Control Decision
Previous Objects	Has a time limit been set? Cancel Time Limit to Decide to Perform Controls
Information Exchange	
Requirements	PUS_FUR_0004
Data Set	
Events	
State	

Table 5.489: Task Register Documentary Controls or Decision to Request Supplementary Documents or Decision on Physical Controls

Task Cancel Time Limit to Decide to Perform Controls

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Cancel Time Limit to Decide to Perform Controls
Task Type	Service task
Description	If a time limit has been set for the completion of the decision to perform controls and the CO has decided to perform controls, the timer is cancelled

	so that the controls are manually completed.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-01-02-Proof of Union Status in the Form of CGM Data - Perform Controls by CCO
Input Data	Relevant Control Decision Completion Expiry Date Proof Operation Information
Output Data	Relevant Control Decision Completion Expiry Date Time Limit to Perform Controls
Previous Objects	Has a time limit been set?
Information Exchange	
Requirements	PUS_FUR_0006
Data Set	Time Limit to Perform Controls
Events	Time Limit Cancelled
State	Time Limit Cancelled

Table 5.490: Task Cancel Time Limit to Decide to Perform Controls

Task Record Decision to Perform Relevant Control and Level of Control at the PCO

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Decision to Perform Relevant Control and Level of Control at the PCO
Task Type	Service task
Description	When the Customs Officer registered the decision for the execution of relevant control and the level of control, the data elements following this registration are automatically recorded in the Proof of Union Status system - linked to the reference number.
Actor	Proof of Union Status System
Input Data	MRN Perform Physical Control Decision Execution of Relevant Control Decision
Output Data	Execution of Relevant Control Decision Perform Physical Control Decision State of CGM Presentation Notification

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Previous Objects	Register Decision to Perform Relevant Control and Level of Control at the PCO
Information Exchange	
Requirements	PUS_FUR_0051 PUS_FUR_0031 PUS_FUR_0006
Data Set	
Events	
State	

Table 5.491: Task Record Decision to Perform Relevant Control and Level of Control at the PCO

Task Identify if Time Limit to Decide to Perform Controls has been set

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Time Limit to Decide to Perform Controls has been set
Task Type	Service task
Description	When the Customs Officer registered the decision to perform relevant controls, the system identifies if a timer that sets a time limit to take the decision to perform the relevant controls has been triggered.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-01-02-Proof of Union Status in the Form of CGM Data - Perform Controls by CCO
Input Data	Proof Operation Information Relevant Control Decision Completion Expiry Date
Output Data	Result Check if time limit to decide to perform controls has been set
Previous Objects	Excess Goods Warning? Is Control to be Performed?
Information Exchange	
Requirements	PUS_FUR_0006
Data Set	
Events	
State	

Table 5.492: Task Identify if Time Limit to Decide to Perform Controls has been set

Task Record Documentary Controls or Decision to Request Supplementary Documents or Decision on Physical Controls

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Documentary Controls or Decision to Request Supplementary Documents or Decision on Physical Controls
Task Type	Service task
Description	When the Customs Officer registered the decision to request supplementary documents from the PC or not, the data elements following this registration are automatically recorded in the Proof of Union Status system - linked to the reference number. Also, if there is an insertion of a decision or an update on the decision on Physical Controls, this is recorded in the system.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-01-02-Proof of Union Status in the Form of CGM Data - Perform Controls by CCO
Input Data	Proof Operation Information Documentary Control at Departure Perform Physical Control Decision
Output Data	Documentary Control at Departure State of CGM Proof Perform Physical Control Decision
Previous Objects	Register Documentary Controls or Decision to Request Supplementary Documents or Decision on Physical Controls
Information Exchange	
Requirements	PUS_FUR_0006 PUS_FUR_0050 PUS_FUR_0051
Data Set	
Events	
State	

Table 5.493: Task Record Documentary Controls or Decision to Request Supplementary Documents or Decision on Physical Controls

Event No Control Performed

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	No Control Performed
Event Type	End event

Description	The process ends here when no relevant controls are to be performed.
Appears also in the following processes	L4-PUS-01-02-04-Present Proof of Union Status in the Form of T2L or T2LF Data - Perform Controls by PCO
Previous Objects	Is Control to be Performed?

Table 5.494: Event No Control Performed

Task Identify if Presentation was Requested by NMSW / National System Other than PoUS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Presentation was Requested by NMSW / National System Other than PoUS
Task Type	Service task
Description	<p>When the Request for Supplementary Documents has been recorded, the Proof of Union Status system will identify if the Proof presentation request was initiated by a NMSW / National System Other than PoUS.</p> <p>Final situation:</p> <p>Yes – The presentation for the Proof was initiated by a NMSW / National System Other than PoUS.</p> <p>No – The presentation for the Proof was not initiated by a NMSW / National System Other than PoUS.</p>
Actor	Proof of Union Status System
Appears also in the following processes	<p>L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof</p> <p>L4-PUS-02-02-04-Present Proof of Union Status in the Form of CGM Data - Perform Controls by PCO</p>
Input Data	<p>MRN</p> <p>NMSW/National System Other than PoUS Request Indicator</p> <p>Member State</p>
Output Data	<p>Member State</p> <p>Result Check if Request is from NMSW/National System Other than PoUS</p>
Previous Objects	<p>Supplementary Documents Requested?</p> <p>More Supplementary Documents Requested?</p>
Information Exchange	
Requirements	PUS_FUR_0037
Data Set	
Events	

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State	
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Table 5.495: Task Identify if Presentation was Requested by NMSW / National System Other than PoUS

Task Notify Person Concerned Of Request For Supplementary Documents

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Person Concerned Of Request For Supplementary Documents
Task Type	Send task
Description	When supplementary documents are requested, an IE will automatically be sent to the PC to request the provision of supplementary documents. In this case, the PC has been informed that supplementary documents are needed by the Customs Officer in order to perform the relevant controls.
Actor	Proof of Union Status System
Input Data	MRN Competent Customs Office Requested Supplementary Documents Operation Master Consignment Information (MC) Person Presenting The Goods to Customs Request of Supplementary Documents Decision
Output Data	
Previous Objects	Does the Presentation come from NMSW / National System Other than PoUS? Does the Presentation come from NMSW / National System Other than PoUS?
Information Exchange	Request for Supplementary Documents from PCO-CGM
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.496: Task Notify Person Concerned Of Request For Supplementary Documents

Task Notify NMSW / National System other than PoUS Of Request For Supplementary Documents

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify NMSW / National System other than PoUS Of Request For Supplementary Documents
Task Type	Send task
Description	When supplementary documents are requested and the request for the Proof presentation comes from NMSW / National System Other than PoUS an IE

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	will automatically be sent to the NMSW / National System Other than PoUS to request the provision of supplementary documents. In this case, the NMSW / National System Other than PoUS has been informed that supplementary documents are needed by the Customs Officer in order to perform the relevant controls.
Actor	Proof of Union Status System
Input Data	Requested Supplementary Documents Operation Master Consignment Information (MC) Competent Customs Office Request of Supplementary Documents Decision MRN Person Presenting The Goods to Customs
Output Data	
Previous Objects	Does the Presentation come from NMSW / National System Other than PoUS?
Information Exchange	Request for Supplementary Documents from PCO-CGM
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.497: Task Notify NMSW / National System other than PoUS Of Request For Supplementary Documents

Task Identify if Presentation was Requested by NMSW / National System Other than PoUS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Presentation was Requested by NMSW / National System Other than PoUS
Task Type	Service task
Description	When the Request for Supplementary Documents has been recorded, the Proof of Union Status system will identify if the Proof presentation request was initiated by a NMSW / National System Other than PoUS. Final situation: Yes – The presentation for the Proof was initiated by a NMSW / National System Other than PoUS. No – The presentation for the Proof was not initiated by a NMSW / National System Other than PoUS.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof L4-PUS-02-02-04-Present Proof of Union Status in the Form of CGM Data

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	- Perform Controls by PCO
Input Data	MRN NMSW/National System Other than PoUS Request Indicator Member State
Output Data	Member State Result Check if Request is from NMSW/National System Other than PoUS
Previous Objects	Notify Person Concerned Of Request For Supplementary Documents
Information Exchange	
Requirements	PUS_FUR_0037
Data Set	
Events	
State	

Table 5.498: Task Identify if Presentation was Requested by NMSW / National System Other than PoUS

Event Supplementary Documents Received from Person Concerned

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Supplementary Documents Received from Person Concerned
Event Type	Conditional intermediate event
Description	
Appears also in the following processes	L4-PUS-02-01-02-Proof of Union Status in the Form of CGM Data - Perform Controls by CCO
Previous Objects	Notify NMSW / National System other than PoUS Of Request For Supplementary Documents

Table 5.499: Event Supplementary Documents Received from Person Concerned

Event Supplementary Documents Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Supplementary Documents Received
Event Type	Message intermediate event (catch)
Description	Supplementary documentation is received from the PC.
Appears also in the following processes	L4-PUS-02-02-04-Present Proof of Union Status in the Form of CGM Data - Perform Controls by PCO

Previous Objects	Notify NMSW / National System other than PoUS Of Request For Supplementary Documents NMSW / National System Other than PoUS
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Table 5.500: Event Supplementary Documents Received

Event Supplementary Documents Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Supplementary Documents Received
Event Type	Message intermediate event (catch)
Description	Supplementary documentation is received from the PC.
Appears also in the following processes	L4-PUS-02-02-04-Present Proof of Union Status in the Form of CGM Data - Perform Controls by PCO
Previous Objects	Does the Presentation come from NMSW / National System Other than PoUS? Economic Operator at Arrival

Table 5.501: Event Supplementary Documents Received

Task Notify NMSW / National System other than PoUS of already Received Supplementary Documents

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify NMSW / National System other than PoUS of already Received Supplementary Documents
Task Type	Send task
Description	When supplementary documents are received from PC, an IE will automatically be sent to the NMSW / National System Other than PoUS to notify that the supplementary documents request has been fulfilled from the PC and that the pending request for supplementary documents from the NMSW/ National System Other than PoUS will cease to exist.
Actor	Proof of Union Status System
Input Data	Competent Customs Office Proof Operation Information Person Presenting The Goods to Customs Request of Supplementary Documents Decision
Output Data	State of CGM Presentation Notification Supplementary Documents
Previous Objects	Supplementary Documents Received from Person Concerned

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Information Exchange	Supplementary Documents already Received-CGM
Requirements	PUS_FUR_0005
Data Set	
Events	Supplementary Documents Received from p
State	P-Documents Received

Table 5.502: Task Notify NMSW / National System other than PoUS of already Received Supplementary Documents

Event Supplementary Documents Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Supplementary Documents Received
Event Type	Message intermediate event (catch)
Description	Supplementary documentation is received from the PC.
Appears also in the following processes	L4-PUS-02-02-04-Present Proof of Union Status in the Form of CGM Data - Perform Controls by PCO
Previous Objects	Economic Operator at Arrival Does the Presentation come from NMSW / National System Other than PoUS?

Table 5.503: Event Supplementary Documents Received

Event Supplementary Documents Received from NMSW / National System other than PoUS

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Supplementary Documents Received from NMSW / National System other than PoUS
Event Type	Conditional intermediate event
Description	
Previous Objects	Does the Presentation come from NMSW / National System Other than PoUS?

Table 5.504: Event Supplementary Documents Received from NMSW / National System other than PoUS

Task Notify Person Concerned of already Received Supplementary Documents

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Person Concerned of already Received Supplementary Documents

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Task Type	Send task
Description	When supplementary documents are received from NMSW / National System Other than PoUS, an IE will automatically be sent to the PC to notify that the supplementary documents request has been fulfilled from the NMSW / National System Other than PoUS and that the pending request for supplementary documents from the PC will cease to exist.
Actor	Proof of Union Status System
Input Data	Request of Supplementary Documents Decision Competent Customs Office Person Presenting The Goods to Customs Proof Operation Information
Output Data	Supplementary Documents State of CGM Presentation Notification
Previous Objects	Supplementary Documents Received from NMSW / National System other than PoUS
Information Exchange	Supplementary Documents already Received-CGM
Requirements	PUS_FUR_0005
Data Set	Supplementary Documents
Events	Supplementary Documents Received from NMSW / National System other than PoUS
State	P-Documents Received

Table 5.505: Task Notify Person Concerned of already Received Supplementary Documents

Task Record Supplementary Documents

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Supplementary Documents
Task Type	Service task
Description	When the supplementary documentation is received and registered, the data elements following this registration are automatically recorded in the Proof of Union Status system - linked to the reference number. The supplementary documents are added to the documents that were included in the endorsement request.
Actor	Proof of Union Status System
Input Data	E_PPSM_RES Master Consignment (MC)

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Output Data	State of CGM Presentation Notification Supplementary Documents for Master Consignment (MC) Master Consignment (MC)
Previous Objects	Supplementary Documents Received Notify NMSW / National System other than PoUS of already Received Supplementary Documents Supplementary Documents Received Supplementary Documents Received Notify Person Concerned of already Received Supplementary Documents
Information Exchange	Response to Request for Supplementary Documents from PCO-CGM
Requirements	PUS_FUR_0006 PUS_FUR_0005
Data Set	
Events	
State	

Table 5.506: Task Record Supplementary Documents

Task Register Documentary Control Results and Optionally Update Decision on Physical Controls

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Documentary Control Results and Optionally Update Decision on Physical Controls
Task Type	User task
Description	<p>When the supplementary documents have been received, a Customs Officer will manually execute documentary controls on the presented goods to verify that the goods are Union goods corresponding to the goods declared in the retrieved proof, taking into consideration the received supplementary documents. In case more supplementary documents are required, the Customs Officer requests them from the EO at Arrival. In case no more supplementary documents are needed the Customs Officer registers the result of the control based on the documentary checks. The result of the control may be one of the following:</p> <ul style="list-style-type: none"> ● “A1 – Satisfactory”; ● “P2 – Different goods”; ● “P4 – Missing goods”; ● “P5 – Excess goods”. <p>The Customs Officer may update his decision on the execution of Physical Controls.</p> <p>Final situation:</p> <p>- Supplementary documents are needed and optionally the decision to</p>

	perform Physical Controls is revisited; or - No supplementary documents are needed, the result of the control is registered and optionally the decision to perform Physical Controls is revisited;
Actor	Customs Officer
Input Data	Supplementary Documents for Master Consignment (MC) MRN C_REM_RPQ Risk Analysis Result Information
Output Data	Perform Physical Control Decision Documentary Control at Arrival
Previous Objects	Record Supplementary Documents
Information Exchange	
Requirements	PUS_FUR_0004
Data Set	
Events	
State	

Table 5.507: Task Register Documentary Control Results and Optionally Update Decision on Physical Controls

Task Record Documentary Control Results and Optionally Update Decision on Physical Controls

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Documentary Control Results and Optionally Update Decision on Physical Controls
Task Type	Service task
Description	When the Customs Officer registered the decision to request more supplementary documents from the EO at Arrival or not, the data elements following this registration are automatically recorded in the Proof of Union Status system - linked to the reference number. Also, if there is an update on the decision on Physical Controls, this is recorded in the system.
Actor	Proof of Union Status System
Input Data	Documentary Control at Arrival Perform Physical Control Decision MRN
Output Data	Documentary Control at Arrival State of CGM Presentation Notification Perform Physical Control Decision

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Previous Objects	Register Documentary Control Results and Optionally Update Decision on Physical Controls
Information Exchange	
Requirements	PUS_FUR_0050 PUS_FUR_0051 PUS_FUR_0006
Data Set	
Events	
State	

Table 5.508: Task Record Documentary Control Results and Optionally Update Decision on Physical Controls

Task Identify if Physical Control is to be Performed

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Physical Control is to be Performed
Task Type	Service task
Description	When the documentary controls have been performed, the system identifies if physical controls have also been requested. If yes, physical controls will have to be registered.
Actor	Proof of Union Status System
Input Data	Perform Physical Control Decision MRN
Output Data	Result Check if Physical Control is to Be Performed State of CGM Presentation Notification
Previous Objects	Supplementary Documents Requested? More Supplementary Documents Requested?
Information Exchange	
Requirements	PUS_FUR_0006 PUS_FUR_0051
Data Set	
Events	
State	

Table 5.509: Task Identify if Physical Control is to be Performed

Task Register Physical Control Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Physical Control Results
Task Type	User task
Description	<p>The physical verification of the goods is a manual process performed by a Customs Officer in order to verify that the presented goods are Union goods corresponding to the goods declared in the retrieved proof. The result of the control may be one of the following:</p> <ul style="list-style-type: none"> ● “A1 – Satisfactory”; ● “P2 – Different goods”; ● “P4 – Missing goods”; ● “P5 – Excess goods”. <p>The Customs Officer registers the result of the control. Final situation: The control result is registered.</p>
Actor	Customs Officer
Input Data	Risk Analysis Result Information C_REM_RPQ MRN
Output Data	Control Result at Arrival
Previous Objects	Is Physical Control to be Performed?
Information Exchange	
Requirements	PUS_FUR_0004
Data Set	
Events	
State	

Table 5.510: Task Register Physical Control Results

Event Control Results Recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Control Results Recorded
Event Type	End event
Description	The process ends here when the relevant controls based on the documentary and physical checks are recorded.
Appears also in the following processes	L4-PUS-01-02-04-Present Proof of Union Status in the Form of T2L or T2LF Data - Perform Controls by PCO L4-PUS-02-02-04-Present Proof of Union Status in the Form of CGM Data - Perform Controls by PCO

Previous Objects	Is Physical Control to be Performed?

Table 5.511: Event Control Results Recorded

Task Record Physical Control Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Physical Control Results
Task Type	Service task
Description	When the Customs Officer registered the result of the physical control, the data elements following this registration are automatically recorded in the Proof of Union Status system - linked to the reference number. It will be identified whether the control result is "Satisfactory" or "Different goods" or "Missing goods" or "Excess goods". If the control result is "Different goods", the state of the CGM Proof presentation notification changes to "Rejected".
Actor	Proof of Union Status System
Input Data	MRN Control Result at Arrival
Output Data	State of CGM Presentation Notification CGM Proof Presentation Notification Control Result at Arrival
Previous Objects	Register Physical Control Results
Information Exchange	
Requirements	PUS_FUR_0006 PUS_FUR_0039
Data Set	CGM Proof Presentation Notification
Events	Control result "Different goods" Recorded
State	Registered

Table 5.512: Task Record Physical Control Results

Event Control Results Recorded

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Control Results Recorded

Event Type	End event
Description	The process ends here when the relevant controls based on the documentary and physical checks are recorded.
Appears also in the following processes	L4-PUS-01-02-04-Present Proof of Union Status in the Form of T2L or T2LF Data - Perform Controls by PCO L4-PUS-02-02-04-Present Proof of Union Status in the Form of CGM Data - Perform Controls by PCO
Previous Objects	Record Physical Control Results

Table 5.513: Event Control Results Recorded

5.25. Process L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof

5.25.1. Objective of Process

The “Present Proof” process is triggered by the electronic (IEP04 'Proof Presented') or the non-electronic reception (manually showing the SRD or a barcode or verbally communicating the MRN) of the proof presentation notification by the Customs Officer from the EO at Arrival. In case of an electronic notification, the “IEP04 Proof Presented” is technically validated. When the message is valid, the Proof of Union Status system records the electronic proof presentation notification. When it concerns a non-electronic presentation of proof, the Customs Officer registers the proof presentation notification and the Proof of Union Status system records it.

Then the system launches a proof query to the CR to retrieve the proof. The CR performs a search and generates a response to the proof query, which is sent back to the Proof of Union Status system. The query response (included in the “Response to Proof Query”) can have 5 possible values:

- 1) Registered - The MRN is assigned to a proof which exists and is valid. The proof details are included in the “Response to Proof Query”.
- 2) Proof Archived - the MRN is assigned to a proof that exists but validity date has expired. The proof details are included in the “Response to Proof Query”.
- 3) Used - the MRN is assigned to a proof that exists but has already been fully used. The proof details are included in the “Response to Proof Query”.
- 4) Partially used - the MRN is assigned to a proof that has been partially used (It concerns only the CGM case).
- 5) Query does not return a response - no proof is assigned to the MRN. No proof details are included in the “Response to Proof Query”.

The Proof of Union Status system identifies if the “Response to Proof Query” concerns a proof that is valid to be used. For a proof in the form of CGM data the query response could have the value “Registered” or “Partially Used”. If the proof is not valid to be used, the EO at Arrival is notified that the retrieved proof is not valid to be used. The Customs Officer is also notified accordingly, and the process ends. If the proof is not valid to be used and the "Present Proof" Request originates from the NMSW / National System Other than PoUS both the EO at arrival and the NMSW / National System Other than PoUS are notified that the retrieved proof is not valid to be used.

If the proof is valid to be used, a risk analysis at national level may be requested by the Customs Officer against risk rules (including common risk criteria and standards where applicable) (automatic process). This depends on the member state that is responsible for the risk assessment and if an interface between the central PoUS system and the respective national risk system is foreseen. When a national risk analysis system is consulted, the risk analysis result is recorded in the Proof of Union Status system. Then, the Customs Officer decides if relevant controls will be performed or not. If the Customs Officer decides to

perform the controls, the process continues with the control task. The control task is a manual task executed by the Customs Officer, in the context of which only documentary checks or both documentary and physical checks are performed. If supplementary documents are needed, the Customs Officer may request them from the PC. There are four possible results from this control:

- In case the result is “Satisfactory”, the goods match the goods declared in the proof and the Customs Officer registers the decision on the use of the proof;
- In case the result is “Different goods”, the EO at Arrival is notified about the non-use of the proof;
- In case the result is “Missing goods”, the proof can be used for the goods that arrived and the Customs Officer registers the decision on the use of the proof;
- In case the result is “Excess goods”, the proof can be used for the goods that are covered by it and the Customs Officer registers the decision on the use of the proof.

When a national risk analysis system has been consulted and no risk has been identified, the Customs Officer has a time limit to decide to perform the relevant controls. If the time limit expires the control result is deemed to be "Satisfactory". If the Customs Officer decides not to perform the controls or the control result is "Satisfactory" (i.e. manual control) or "Considered Satisfactory" (i.e. automatic control because of time limit expiration), the Customs Officer registers the decision on the use of the proof.

In case "Excess goods" have been identified the time limit to perform controls are disabled in any case and the control duration of the control process depends on the manual actions involved in the process.

The CR is updated with the usage information when the proof is used.

Finally, the EO at Arrival is notified about the use of the proof and in case the Proof was created by a National PoUS system, a notification is sent to the respective National PoUS system. If the proof originates from the NMSW / National System Other than PoUS both the EO at arrival and the NMSW / National System Other than PoUS are notified that the proof has been used.

5.25.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-PUS-02-02
Full Name	Proof of Union Status in the Form of CGM Data - Present Proof
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-PUS-01-03
Existing Specifications	

Table 5.514: Process Details

5.25.3. Tasks and Events

Event CGM Proof Presentation Notification

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	CGM Proof Presentation Notification
Event Type	Start event
Description	This process starts upon the submission of a CGM Proof presentation notification to the PCO, via an EU harmonized trader interface.

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Previous Objects	
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Table 5.515: Event CGM Proof Presentation Notification

Task Submit Proof Presentation Notification - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Submit Proof Presentation Notification - CGM
Task Type	Send task
Description	<p>This process starts when the EO at Arrival submits, via the EU Customs Trader Portal, a proof presentation notification to the PCO in order to retrieve a Proof for validation purposes and register proof usage information. This request will need to be validated by the Proof of Union Status system. In the proof presentation the exact quantities to be used should be declared.</p> <p>Final situation: IE sent from the EO at Arrival to the PCO.</p>
Actor	Economic Operator at Arrival
Input Data	E_REP_PRE
Output Data	
Previous Objects	CGM Proof Presentation Notification
Information Exchange	Proof Presented
Requirements	PUS_FUR_0056
Data Set	
Events	
State	

Table 5.516: Task Submit Proof Presentation Notification - CGM

Event Non-electronic Receipt of Proof

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Non-electronic Receipt of Proof
Event Type	Start event
Description	The EO at Arrival shows the SRD or manually communicates the MRN to the Customs Officer and the process starts.
Appears also in the following processes	L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof

Previous Objects	
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Table 5.517: Event Non-electronic Receipt of Proof

Task Register Proof Presentation Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Proof Presentation Notification
Task Type	User task
Description	<p>When the proof is presented (by non-electronic means) the Customs Officer registers the presentation notification to retrieve the proof from CR. The Customs Officer registers the MRN of the presented proof and the identification number of the “Person Presenting the Goods to Customs”. Optionally, they can add documents related to the presentation of the proof. The Proof of Union Status system will automatically fill the details of the “Person Presenting the Goods to Customs” and the Customs Office of the Customs Officer as the location of goods.</p> <p>Final situation: The Customs Officer registers the presentation notification to retrieve the proof.</p>
Actor	Customs Officer
Appears also in the following processes	L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Input Data	MRN
Output Data	Proof Presented by CO
Previous Objects	Non-electronic Receipt of Proof
Information Exchange	
Requirements	PUS_FUR_0004 PUS_FUR_0028
Data Set	
Events	
State	

Table 5.518: Task Register Proof Presentation Notification

Event Electronic Receipt of Proof Presented

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Electronic Receipt of Proof Presented

Event Type	Message start event
Description	When an MRN is electronically received, the process starts for the Customs Officer.
Appears also in the following processes	L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Previous Objects	Submit Proof Presentation Notification - CGM

Table 5.519: Event Electronic Receipt of Proof Presented

Event Validation Error Notification Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Validation Error Notification Received
Event Type	Message intermediate event (catch)
Description	
Appears also in the following processes	L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Previous Objects	Submit Proof Presentation Notification - CGM Notify Economic Operator at Arrival about Validation Errors

Table 5.520: Event Validation Error Notification Received

Task Perform Validation of Proof Presentation Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Validation of Proof Presentation Notification
Task Type	Service task
Description	<p>When the Proof presentation notification is received, it must be technically validated. This includes a check that all required fields are populated, have the correct format and contain the correct data type.</p> <p>The following systems will be consulted:</p> <ul style="list-style-type: none"> - Economic Operators Registration and Identification (EORI) <p>When validating a received Proof presentation notification, the Proof of Union Status system will have an interface with the EORI database in order to check that the provided EORI number is valid, and that the EO at Arrival is a registered trader.</p> <p>Final situation:</p> <ul style="list-style-type: none"> - The result of the validation is positive: there are no validation errors and the Proof presentation notification is valid. - The result of the validation is negative: the Proof presentation notification contains validation errors and is invalid. The EO at Arrival will be notified

	of the validation errors.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Input Data	MRN
Output Data	E_REP_PRE Proof Presented Validation Result
Previous Objects	Electronic Receipt of Proof Presented Electronic Receipt of Proof Presented from NMSW/National System Other than PoUS
Information Exchange	Proof Presented
Requirements	PUS_FUR_0002 PUS_FUR_0003 PUS_FUR_0001
Data Set	
Events	
State	

Table 5.521: Task Perform Validation of Proof Presentation Notification

Event Electronic Receipt of Proof Presented from NMSW/National System Other than PoUS

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Electronic Receipt of Proof Presented from NMSW/National System Other than PoUS
Event Type	Message start event
Description	When an MRN is electronically received from a NMSW/National System other than a dedicated PoUS National System, the process starts for the Customs Officer.
Previous Objects	NMSW / National System Other than PoUS

Table 5.522: Event Electronic Receipt of Proof Presented from NMSW/National System Other than PoUS

Event Economic Operator at Arrival Notified of Validation Errors

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Economic Operator at Arrival Notified of Validation Errors

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Event Type	End event
Description	When the Proof Presented is technically invalid, the EO at Arrival will receive a validation error notification and the process will end.
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Previous Objects	Validation Error Notification Received

Table 5.523: Event Economic Operator at Arrival Notified of Validation Errors

Task Record Proof Presentation Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Proof Presentation Notification
Task Type	Service task
Description	After the Customs Officer registers the Proof presentation notification, the data elements following this registration are automatically recorded in the Proof of Union Status system - linked to the reference number. The state of the CGM Proof presentation notification changes to "Waiting for Proof Details from CR".
Actor	Proof of Union Status System
Input Data	Person Presenting The Goods to Customs MRN Location of Goods Retrieve Proof Request Proof Query Information
Output Data	Proof Query Information CGM Proof Presentation Notification Location of Goods State of CGM Presentation Notification Person Presenting The Goods to Customs Retrieve Proof Request
Previous Objects	Register Proof Presentation Notification
Information Exchange	
Requirements	PUS_FUR_0006
Data Set	
Events	CGM Proof Presentation Notification Recorded

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State	Waiting for Proof Details from CR
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Table 5.524: Task Record Proof Presentation Notification

Task Identify if Presentation was Requested by NMSW / National System Other than PoUS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Presentation was Requested by NMSW / National System Other than PoUS
Task Type	Service task
Description	When the Request for Supplementary Documents has been recorded, the Proof of Union Status system will identify if the Proof presentation request was initiated by a NMSW / National System Other than PoUS. Final situation: Yes – The presentation for the Proof was initiated by a NMSW / National System Other than PoUS. No – The presentation for the Proof was not initiated by a NMSW / National System Other than PoUS.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof L4-PUS-02-02-04-Present Proof of Union Status in the Form of CGM Data - Perform Controls by PCO
Input Data	MRN NMSW/National System Other than PoUS Request Indicator Member State
Output Data	Member State Result Check if Request is from NMSW/National System Other than PoUS
Previous Objects	Is Validation Result Positive?
Information Exchange	
Requirements	PUS_FUR_0037
Data Set	
Events	
State	

Table 5.525: Task Identify if Presentation was Requested by NMSW / National System Other than PoUS

Task Notify Economic Operator at Arrival about Validation Errors

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Economic Operator at Arrival about Validation Errors
Task Type	Send task
Description	When the retrieve proof notification is invalid, a validation error notification is automatically sent to the EO at Arrival containing more details on the validation errors. The process will end here. Final situation: A validation error notification (IEP05 Presented Proof Rejected) is sent to the EO at Arrival, and the process ends.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Input Data	Rejected Proof Reference Functional Error
Output Data	
Previous Objects	Does the Presentation come from NMSW / National System Other than PoUS? Does the Presentation come from NMSW / National System Other than PoUS?
Information Exchange	Presented Proof Rejected
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.526: Task Notify Economic Operator at Arrival about Validation Errors

Task Record Electronic Proof Presentation Notification

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Electronic Proof Presentation Notification
Task Type	Service task
Description	When the Proof presentation notification is valid, the data elements following this are automatically recorded in the Proof of Union Status system - linked to the reference number. The state of the CGM Proof presentation notification changes to "Waiting for Proof Details from CR".
Actor	Proof of Union Status System

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Input Data	MRN E_REP_PRE
Output Data	E_REP_PRE State of CGM Presentation Notification Retrieve Proof Request CGM Proof Presentation Notification
Previous Objects	Is Validation Result Positive?
Information Exchange	
Requirements	PUS_FUR_0006
Data Set	
Events	CGM Proof Presentation Notification Recorded
State	Waiting for Proof Details from CR

Table 5.527: Task Record Electronic Proof Presentation Notification

Event Economic Operator at Arrival Notified of Validation Errors

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Economic Operator at Arrival Notified of Validation Errors
Event Type	End event
Description	When the Proof Presented is technically invalid, the EO at Arrival will receive a validation error notification and the process will end.
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Previous Objects	Notify Economic Operator at Arrival about Validation Errors

Table 5.528: Event Economic Operator at Arrival Notified of Validation Errors

Task Notify NMSW / National System Other than PoUS about Validation Errors

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify NMSW / National System Other than PoUS about Validation Errors
Task Type	Send task
Description	When the proof request received from a NMSW/National System other than a dedicated PoUS National System is invalid, a validation error

	notification is automatically sent to the NMSW/National System other than PoUS containing more details on the validation errors. The process will end here. Final situation: IEP02 Request Rejected is sent to the NMSW/National System other than PoUS, and the process ends.
Actor	Proof of Union Status System
Input Data	E_REP_PRE Proof Presented Validation Result
Output Data	Rejected Proof Reference
Previous Objects	Does the Presentation come from NMSW / National System Other than PoUS?
Information Exchange	Presented Proof Rejected
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.529: Task Notify NMSW / National System Other than PoUS about Validation Errors

Task Retrieve Proof of Union Status in the Form of CGM Data from Central Repository

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Retrieve Proof of Union Status in the Form of CGM Data from Central Repository
Task Type	Call activity (collapsed)
Description	The process starts when an electronic or non-electronic Proof presentation notification has been received by the PCO in order to retrieve CGM data from the CR. The Proof of Union Status system launches a query to the CR in order to retrieve all the details of a proof for a given MRN. The final situation of this process will be the following: The proof is retrieved from the CR and all its details are available.
Actor	Proof of Union Status System
Input Data	
Output Data	
Previous Objects	Central Repository Record Proof Presentation Notification Record Electronic Proof Presentation Notification

Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.530: Task Retrieve Proof of Union Status in the Form of CGM Data from Central Repository

Event NMSW / National System Other than PoUS Notified of Validation Errors

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	NMSW / National System Other than PoUS Notified of Validation Errors
Event Type	End event
Description	When the Proof Presented is technically invalid, the NMSW/National System Other than PoUS will receive a validation error notification and the process will end.
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof
Previous Objects	Notify NMSW / National System Other than PoUS about Validation Errors

Table 5.531: Event NMSW / National System Other than PoUS Notified of Validation Errors

Task Identify if CGM Data can be Used

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if CGM Data can be Used
Task Type	Service task
Description	<p>When the Response to Proof Query from the CR has been received by the Proof of Union Status system, it will automatically check if the proof presented can be used or not. The response indicator of the result to proof query has 5 possible values:</p> <ol style="list-style-type: none"> 1) Registered - The MRN is assigned to a Proof which is still valid. 2) Proof Archived - the MRN is assigned to a proof which is archived. 3) Used - the MRN is assigned to a proof that exists but has already been fully used. 4) Partially used - the MRN is assigned to a proof that has been partially used. 5) Query does not return a response - no proof is assigned to the MRN. <p>When the value of the response indicator is "Registered" or "Partially Used", the proof is valid for use. In all other cases the proof is not valid for use.</p>

	<p>When the value of the response indicator is "Partially Used" the already presented quantities per goods item are taken into consideration as they are retrieved for the Proof from the Central Repository so as to compare them with the endorsed quantities and the currently presented quantities and calculate the remaining quantities that may be presented and potential Excess quantities in the presentation of the proof.</p> <p>If there are Excess Goods a relevant warning (acting also as a flag) is generated.</p> <p>Additionally, the system will automatically check if the EO at Arrival who submitted the request is indicated in CGM proof data. An EO at Arrival has access if he/she is indicated in the CGM Proof data as "Person lodging the customs goods manifest" or "Person presenting a Proof of customs Union goods" or "Representative".</p> <p>Final situation:</p> <p>Yes - The Proof is Valid for use ("Registered" or "Partially Used") and the EO at Arrival has access to the Proof.</p> <p>No - The Proof is not valid for use and/or the EO at Arrival has no access to the Proof. The state of the CGM Proof presentation notification is set to "Rejected".</p>
Actor	Proof of Union Status System
Input Data	C_REM_RPQ MRN
Output Data	CGM Proof Presentation Notification Result Check If Excess Goods Exist Result Check If Proof is Valid State of CGM Presentation Notification Result Check If User has Access to a Proof C_REM_RPQ CGM Proof Presentation Notification
Previous Objects	Retrieve Proof of Union Status in the Form of CGM Data from Central Repository
Information Exchange	
Requirements	PUS_FUR_0048 PUS_FUR_0017
Data Set	CGM Proof Presentation Notification CGM Proof Presentation Notification
Events	"Non-Valid Proof" Identified "Valid Proof" Identified
State	P-Waiting Proof Usage Decision Registered

Table 5.532: Task Identify if CGM Data can be Used

Task Identify if Presentation was Requested by NMSW / National System Other than PoUS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Presentation was Requested by NMSW / National System Other than PoUS
Task Type	Service task
Description	When the Request for Supplementary Documents has been recorded, the Proof of Union Status system will identify if the Proof presentation request was initiated by a NMSW / National System Other than PoUS. Final situation: Yes – The presentation for the Proof was initiated by a NMSW / National System Other than PoUS. No – The presentation for the Proof was not initiated by a NMSW / National System Other than PoUS.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof L4-PUS-02-02-04-Present Proof of Union Status in the Form of CGM Data - Perform Controls by PCO
Input Data	MRN NMSW/National System Other than PoUS Request Indicator Member State
Output Data	Member State Result Check if Request is from NMSW/National System Other than PoUS
Previous Objects	Can Proof be Used?
Information Exchange	
Requirements	PUS_FUR_0037
Data Set	
Events	
State	

Table 5.533: Task Identify if Presentation was Requested by NMSW / National System Other than PoUS

Event Notification that Proof cannot be Used Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Notification that Proof cannot be Used Received

Event Type	Message intermediate event (catch)
Description	The EO at Arrival receives a notification that the retrieved Proof cannot be used.
Appears also in the following processes	L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Previous Objects	Submit Proof Presentation Notification - CGM Notify Economic Operator at Arrival that CGM data cannot be Used

Table 5.534: Event Notification that Proof cannot be Used Received

Task Notify Economic Operator at Arrival that CGM data cannot be Used

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Economic Operator at Arrival that CGM data cannot be Used
Task Type	Send task
Description	<p>When the Proof of Union Status System has identified that the retrieved Proof is not valid to be used and/or the EO at Arrival has no access to it, a response notification is automatically sent to the PC at Arrival. The message will always contain the MRN and an indication whether the EO at Arrival has access to the retrieved Proof or not.</p> <p>In the case the EO at Arrival has access to the retrieved Proof, the message will also include the "Query Response Information" from the Central Repository and the "Use of Proof Information" (if the Proof has already been presented but not used).</p> <p>In case the response indicator of the "Query response" is "Proof Archived", the message will also contain the "Validity End Date".</p> <p>Final situation: The IE "IEP54 Notification that Proof cannot be Used - CGM" containing some information about a Non-Valid to be Proof, is sent to the PC at Arrival.</p>
Actor	Proof of Union Status System
Input Data	E_REM_NOV
Output Data	
Previous Objects	Does the Presentation come from NMSW / National System Other than PoUS? Does the Presentation come from NMSW / National System Other than PoUS?
Information Exchange	Notification for Proof Non-Valid to Be Used - CGM
Requirements	PUS_FUR_0005

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Data Set	
Events	
State	

Table 5.535: Task Notify Economic Operator at Arrival that CGM data cannot be Used

Task Notify National System Other than PoUS that T2L/T2LF data cannot be Used

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify National System Other than PoUS that T2L/T2LF data cannot be Used
Task Type	Send task
Description	<p>When the Proof of Union Status System has identified that the retrieved Proof is not valid to be used (EO at Arrival has no access to it) and Proof presentation originates from NMSW/National System Other than PoUS , a response notification is automatically sent to NMSW/National System Other than PoUS. The message will always contain the MRN and an indication whether the EO at Arrival has access to the retrieved Proof or not.</p> <p>In the case the EO at Arrival has access to the retrieved Proof, the message will also include the "Query Response Information" from the Central Repository and the "Use of Proof Information" (if the Proof has already been presented but not used).</p> <p>In case the response indicator of the "Query response" is "Proof Archived", the message will also contain the "Validity End Date".</p> <p>Final situation: The IE "IEP54 Notification that Proof cannot be Used - CGM" containing some information about a Non-Valid to be used Proof, is sent to the NMSW/National System Other than PoUS.</p>
Actor	Proof of Union Status System
Input Data	E_REM_NOV
Output Data	
Previous Objects	Does the Presentation come from NMSW / National System Other than PoUS?
Information Exchange	Notification for Proof Non-Valid to Be Used - CGM
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.536: Task Notify National System Other than PoUS that T2L/T2LF data cannot be Used

Task Identify Competent Member State for Risk Assessment - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify Competent Member State for Risk Assessment - CGM
Task Type	Service task
Description	<p>The system will identify the Member State who will be responsible for the risk analysis. The identification of the competent Member State will be based on the "Competent Customs Office" in the initial endorsement/registration request.</p> <p>Depending on the identified Member State, the Proof of Union Status system will check if the respective national risk analysis system is to be consulted or not.</p> <p>Final situation:</p> <ul style="list-style-type: none"> - The competent Member State has been identified and the result is "No": no national risk analysis system is consulted. - The competent Member State has been identified and the result is "Yes": the respective national risk analysis system is consulted.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof
Input Data	Proof Operation Information Competent Customs Office
Output Data	Member State Result Check if National Risk Analysis System is Consulted State of CGM Proof
Previous Objects	Can Proof be Used?
Information Exchange	
Requirements	PUS_FUR_0019 PUS_FUR_0018
Data Set	
Events	
State	

Table 5.537: Task Identify Competent Member State for Risk Assessment - CGM

Task Acknowledge Proof cannot be Used - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Acknowledge Proof cannot be Used - CGM
Task Type	User task
Description	<p>The Proof of Union Status System will inform the Customs Officer that the retrieved Proof presented can be used or not. A proof by the form of CGM is not to be used, if the EO at Arrival has no access to the retrieved Proof and/or the response indicator of the result to proof query has one of the following values:</p> <p>1) Proof Archived - the MRN is assigned to a proof that exists but validity date has expired. The proof details are included in the Response to Proof Query.</p> <p>2) Used - the MRN is assigned to a proof that exists but has already been fully used. All registered quantities of Goods have been fully declared. The proof details are included in the Response to Proof Query.</p> <p>3) Query does not return a response - no proof is assigned to the MRN. No proof details are included in the Response to Proof Query.</p> <p>Final situation: The Customs Officer acknowledges that the Proof is not to be used. The process will end with a status "Rejected".</p>
Actor	Customs Officer
Input Data	C_REM_RPQ Result Check If User has Access to a Proof MRN
Output Data	MRN C_REM_RPQ Result Check If User has Access to a Proof
Previous Objects	Notify Economic Operator at Arrival that CGM data cannot be Used
Information Exchange	
Requirements	PUS_FUR_0012
Data Set	
Events	
State	

Table 5.538: Task Acknowledge Proof cannot be Used - CGM

Event Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Rejected
Event Type	End event

Description	When the Proof is not valid for use, the process will end with status 'Rejected'.
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Previous Objects	Notification that Proof cannot be Used Received

Table 5.539: Event Rejected

Event Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Rejected
Event Type	End event
Description	When the Proof is not valid for use, the process will end with status 'Rejected'.
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Previous Objects	Notify National System Other than PoUS that T2L/T2LF data cannot be Used

Table 5.540: Event Rejected

Task Consult National Risk Analysis System

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Consult National Risk Analysis System
Task Type	Call activity (collapsed)
Description	The process starts when the Competent Member State performs a risk analysis in response to the relevant request by the CCO. The Proof of Union Status system sends the risk analysis request, receives and records the risk analysis response. The final situation of this process will be the following: The risk analysis response from the competent Member State has been recorded.
Actor	Proof of Union Status System
Input Data	
Output Data	

Previous Objects	Is National Risk Analysis System to be Consulted?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.541: Task Consult National Risk Analysis System

Event Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Rejected
Event Type	End event
Description	When the Proof is not valid for use, the process will end with status 'Rejected'.
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Previous Objects	Acknowledge Proof cannot be Used - CGM

Table 5.542: Event Rejected

Task Identify If Risk Is Determined

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Risk Is Determined
Task Type	Service task
Description	When the risk analysis results are recorded the Proof of Union Status system will automatically identify if a risk has been identified. If "Risk Analysis Result Code = N", a risk is identified. Final situation: Yes – A risk has been identified. No – No risk has been identified.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof
Input Data	Risk Analysis Result Information MRN

Output Data	Result Check if Risk Is Determined State of CGM Proof
Previous Objects	Consult National Risk Analysis System
Information Exchange	
Requirements	PUS_FUR_0021
Data Set	
Events	
State	

Table 5.543: Task Identify If Risk Is Determined

Task Perform Controls by PCO

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Controls by PCO
Task Type	Call activity (collapsed)
Description	<p>When no National Risk Analysis system is to be consulted or if a National Risk Analysis system is consulted, when the Risk Analysis results is recorded, this process is initiated. In the case that a National Risk Analysis system is consulted and no risk has been identified, the decision to perform relevant controls has to be taken within a specific time limit. If this time limit expires and there is no decision by the Customs officer on whether to perform the controls or not, the control results will be considered satisfactory</p> <p>First of all, the Customs Officer has to decide if the relevant controls to verify that the goods are corresponding to the goods declared in the request, will be performed or not.</p> <p>If a timer has been set and:</p> <ul style="list-style-type: none"> ● no decision by the Customs Officer is taken, the process ends at the time limit and the control results will be considered to be satisfactory; ● the Customs Officer decides not to perform controls, the process ends; ● the Customs Officer decides to perform the controls, the timer is cancelled so that the controls are manually completed and the documentary checks will first be done. <p>If no timer has been set and:</p> <ul style="list-style-type: none"> ● the Customs Officer decides not to perform controls, the process ends; ● the Customs Officer decides to perform the controls, the documentary checks will first be done. <p>Then, the Customs Officer will decide if supplementary documents must be</p>

	<p>requested from the PC. If that is the case, the Customs Officer will wait for the response from the PC and when the documents are provided they will be controlled. If the request's origin is the NMSW/National System Other than PoUS then a notification about the provision of supplementary documents is also sent to the NMSW/National System Other than PoUS apart from the PC. If one of the parties fulfills first the requirement for the supplementary documents, a notification is triggered and sent to the other party that the supplementary documents have been provided and that process will proceed to the recording of the provided supplementary documents. The Customs Officer will register the control results based on the documentary checks and decide if physical controls need to be performed or not. In the case physical controls are performed, the Customs Officer will register the control results based on both documentary and physical checks.</p> <p>The final situation of this process will be one of the following:</p> <ul style="list-style-type: none"> * No control has been performed. * The control results have been recorded. * In case a timer function is triggered, the time limit to decide to perform the relevant controls has expired and the control results are considered satisfactory.
Actor	Proof of Union Status System
Input Data	
Output Data	
Previous Objects	Is Risk Determined?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.544: Task Perform Controls by PCO

Task Perform Controls by PCO

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Controls by PCO
Task Type	Call activity (collapsed)
Description	When no National Risk Analysis system is to be consulted or if a National Risk Analysis system is consulted, when the Risk Analysis results is recorded, this process is initiated. In the case that a National Risk Analysis system is consulted and no risk has been identified, the decision to perform relevant controls has to be taken within a specific time limit. If this time limit expires and there is no decision by the Customs officer on whether to perform the controls or not, the control results will be considered

	<p>satisfactory</p> <p>First of all, the Customs Officer has to decide if the relevant controls to verify that the goods are corresponding to the goods declared in the request, will be performed or not.</p> <p>If a timer has been set and:</p> <ul style="list-style-type: none"> ● no decision by the Customs Officer is taken, the process ends at the time limit and the control results will be considered to be satisfactory; ● the Customs Officer decides not to perform controls, the process ends; ● the Customs Officer decides to perform the controls, the timer is cancelled so that the controls are manually completed and the documentary checks will first be done. <p>If no timer has been set and:</p> <ul style="list-style-type: none"> ● the Customs Officer decides not to perform controls, the process ends; ● the Customs Officer decides to perform the controls, the documentary checks will first be done. <p>Then, the Customs Officer will decide if supplementary documents must be requested from the PC. If that is the case, the Customs Officer will wait for the response from the PC and when the documents are provided they will be controlled. If the request's origin is the NMSW/National System Other than PoUS then a notification about the provision of supplementary documents is also sent to the NMSW/National System Other than PoUS apart from the PC. If one of the parties fulfills first the requirement for the supplementary documents, a notification is triggered and sent to the other party that the supplementary documents have been provided and that process will proceed to the recording of the provided supplementary documents. The Customs Officer will register the control results based on the documentary checks and decide if physical controls need to be performed or not. In the case physical controls are performed, the Customs Officer will register the control results based on both documentary and physical checks.</p> <p>The final situation of this process will be one of the following:</p> <ul style="list-style-type: none"> * No control has been performed. * The control results have been recorded. * In case a timer function is triggered, the time limit to decide to perform the relevant controls has expired and the control results are considered satisfactory.
Actor	Proof of Union Status System
Input Data	
Output Data	
Previous Objects	<p>Is Risk Determined?</p> <p>Is National Risk Analysis System to be Consulted?</p>
Information Exchange	
Requirements	

Data Set	
Events	
State	

Table 5.545: Task Perform Controls by PCO

Event Time Limit to Decide to Perform Controls

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Time Limit to Decide to Perform Controls
Event Type	Timer intermediate event
Description	The timer for the completion of the decision to perform relevant controls has expired. The control result is Considered to be "Satisfactory".
Previous Objects	Perform Controls by PCO

Table 5.546: Event Time Limit to Decide to Perform Controls

Task Record Expiry of Time Limit to Decide to Perform Controls at the PCO

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Expiry of Time Limit to Decide to Perform Controls at the PCO
Task Type	Service task
Description	<p>When the time limit to decide to perform the relevant control expired, this is recorded in the Proof of Union Status system - linked to the reference number. This implies that the relevant control is "A2 - Considered Satisfactory". The state of the presented T2L/T2LF Proof changes to "P-Waiting Proof Usage Decision".</p> <p>Final Situation: The expiry of the timer to decide to perform the relevant control is recorded. The state of the presented T2L/T2LF Proof changes to "P-Waiting Proof Usage Decision".</p>
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Input Data	Relevant Control Decision Completion Expiry Date MRN
Output Data	State of T2L/T2LF Proof Relevant Control Decision Completion Expiry Date T2L/T2LF Proof
Previous Objects	Time Limit to Decide to Perform Controls

Information Exchange	
Requirements	PUS_FUR_0006 PUS_FUR_0052
Data Set	T2L/T2LF Proof
Events	Time Limit to Decide to Perform Controls Expiration is Recorded
State	P-Waiting Proof Usage Decision

Table 5.547: Task Record Expiry of Time Limit to Decide to Perform Controls at the PCO

Task Identify If Controls at the PCO Have Been Performed

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Controls at the PCO Have Been Performed
Task Type	Service task
Description	The Proof of Union Status system will identify if the relevant control has been performed or not. Final situation: - The result is "No": no relevant control has been performed. - The result is "Yes": the relevant control has been performed.
Actor	Proof of Union Status System
Input Data	MRN Decision on Performing Relevant Control Indication
Output Data	State of CGM Presentation Notification Decision on Performing Relevant Control Indication
Previous Objects	Perform Controls by PCO Perform Controls by PCO
Information Exchange	
Requirements	PUS_FUR_0031
Data Set	
Events	
State	

Table 5.548: Task Identify If Controls at the PCO Have Been Performed

Task Record Control Results at the PCO Considered Satisfactory

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Control Results at the PCO Considered Satisfactory
Task Type	Service task
Description	<p>When the time limit expiration to decide to perform the relevant control has been recorded, the data elements following the automatic control are automatically recorded in the Proof of Union Status system - linked to the reference number. The control result will automatically be set to “Considered Satisfactory” for both documentary and physical controls (details regarding the decision to perform the relevant controls, documentary control details, decision to perform physical control and physical control details will automatically be filled including the following justification “Control results automatically deemed to be satisfactory because of timer expiration.”).</p> <p>Final situation: The automatic control result is recorded.</p>
Actor	Proof of Union Status System
Input Data	MRN Control Result at Arrival
Output Data	State of CGM Presentation Notification Control Result at Arrival
Previous Objects	Record Expiry of Time Limit to Decide to Perform Controls at the PCO
Information Exchange	
Requirements	PUS_FUR_0006
Data Set	
Events	
State	

Table 5.549: Task Record Control Results at the PCO Considered Satisfactory

Task Identify Control Results at the PCO

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify Control Results at the PCO
Task Type	Service task
Description	<p>The Proof of Union system will identify whether the control result the control result is “Satisfactory” or “Different goods” or “Missing goods” or “Excess goods”.</p> <p>Final situation: The control result has been identified.</p>
Actor	Proof of Union Status System

Input Data	MRN Control Result at Arrival
Output Data	State of CGM Presentation Notification Control Result at Arrival
Previous Objects	Controls Have Been Performed?
Information Exchange	
Requirements	PUS_FUR_0039
Data Set	
Events	
State	

Table 5.550: Task Identify Control Results at the PCO

Task Identify if Presentation was Requested by NMSW / National System Other than PoUS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Presentation was Requested by NMSW / National System Other than PoUS
Task Type	Service task
Description	When the Request for Supplementary Documents has been recorded, the Proof of Union Status system will identify if the Proof presentation request was initiated by a NMSW / National System Other than PoUS. Final situation: Yes – The presentation for the Proof was initiated by a NMSW / National System Other than PoUS. No – The presentation for the Proof was not initiated by a NMSW / National System Other than PoUS.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof L4-PUS-02-02-04-Present Proof of Union Status in the Form of CGM Data - Perform Controls by PCO
Input Data	MRN NMSW/National System Other than PoUS Request Indicator Member State
Output Data	Member State Result Check if Request is from NMSW/National System Other than PoUS

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Previous Objects	Control Results is Satisfactory or Different Goods or Missing Goods or Excess Goods?
Information Exchange	
Requirements	PUS_FUR_0037
Data Set	
Events	
State	

Table 5.551: Task Identify if Presentation was Requested by NMSW / National System Other than PoUS

Task Notify NMSW / National System Other than PoUS of Non-Use of Proof

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify NMSW / National System Other than PoUS of Non-Use of Proof
Task Type	Send task
Description	<p>When the Goods Control is “Different goods”, a response notification containing the MRN, the “Proof To Be Used Indicator” is “Is not to be used”, the “Control Result at Arrival” and the "Query Response Information" from the CR, is automatically sent to the NMSW/National System Other than PoUS when the Proof presentation originates from NMSW/National System Other than PoUS.</p> <p>Final situation: The IE Notification for Proof Usage indicating “Is not to be used” is sent to the EO at Arrival.</p>
Actor	Proof of Union Status System
Input Data	E_REP_VAL
Output Data	
Previous Objects	Does the Presentation come from NMSW / National System Other than PoUS?
Information Exchange	Notification for Proof Usage
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.552: Task Notify NMSW / National System Other than PoUS of Non-Use of Proof

Task Notify Economic Operator at Arrival of Negative Control Results at the PCO

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Economic Operator at Arrival of Negative Control Results at the PCO
Task Type	Send task
Description	<p>When the control result is negative (“P2 - Different goods”), a response notification containing the MRN, the “Proof Status”, the “Control Result at Arrival” is automatically sent to the EO at Arrival. The “Control Result at Arrival” will include the final control result. So, if both Physical and Documentary Control have been performed, the “Physical Control Result” will be visible to the EO at Arrival. If only the Documentary Control has been performed the “Documentary Control Result” will be visible to the EO at Arrival.</p> <p>Final situation: The IE Notification "IEP19 Notification for Proof Usage" about Negative Control Results at the PCO is sent to the EO at Arrival.</p>
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Input Data	E_REP_VAL E_REP_PRE
Output Data	
Previous Objects	<p>Does the Presentation come from NMSW / National System Other than PoUS?</p> <p>Does the Presentation come from NMSW / National System Other than PoUS?</p>
Information Exchange	Notification for Proof Usage
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.553: Task Notify Economic Operator at Arrival of Negative Control Results at the PCO

Event Control Result "Different" Notification Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Control Result "Different" Notification Received

Event Type	Message intermediate event (catch)
Description	The EO at Arrival receives a notification of the negative control result concerning the Proof presentation notification, because the declared goods are different from the ones presented.
Appears also in the following processes	L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Previous Objects	Notify Economic Operator at Arrival of Negative Control Results at the PCO Submit Proof Presentation Notification - CGM

Table 5.554: Event Control Result "Different" Notification Received

Task Handle Proof Usage Registration - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Proof Usage Registration - CGM
Task Type	Call activity (collapsed)
Description	If no control has been performed or the control results is "Satisfactory" or Considered to be "Satisfactory" or "Missing goods" or "Excess goods", this call activity is initiated. The Customs Officer must decide whether the retrieved Proof will be used or not. The final situation of this process will be the following: * The decision on the use of Proof has been recorded; * The time limit to handle the Proof usage registration has expired.
Actor	Proof of Union Status System
Input Data	
Output Data	
Previous Objects	Record Control Results at the PCO Considered Satisfactory Controls Have Been Performed? Control Results is Satisfactory or Different Goods or Missing Goods or Excess Goods?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.555: Task Handle Proof Usage Registration - CGM

Event Proof not Used

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof not Used
Event Type	End event
Description	When the Proof is not to be used, the process will end with status 'Proof not Used'.
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Previous Objects	Notify NMSW / National System Other than PoUS of Non-Use of Proof

Table 5.556: Event Proof not Used

Event Proof not Used

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof not Used
Event Type	End event
Description	When the Proof is not to be used, the process will end with status 'Proof not Used'.
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Previous Objects	Control Result "Different" Notification Received

Table 5.557: Event Proof not Used

Event Time Limit to Handle Proof Usage Registration

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Time Limit to Handle Proof Usage Registration
Event Type	Timer intermediate event
Description	The timer for the completion of the proof usage registration has expired. The proof is deemed to be used.
Previous Objects	Handle Proof Usage Registration - CGM

Table 5.558: Event Time Limit to Handle Proof Usage Registration

Event Proof not Used

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EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof not Used
Event Type	End event
Description	When the Proof is not to be used, the process will end with status 'Proof not Used'.
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Previous Objects	Notify Economic Operator at Arrival of Negative Control Results at the PCO

Table 5.559: Event Proof not Used

Task Record Expiry of Time Limit to Handle Proof Usage Registration

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Expiry of Time Limit to Handle Proof Usage Registration
Task Type	Service task
Description	When the time limit to complete the Proof usage registration expired, this is recorded in the Proof of Union Status system - linked to the reference number. This implies that the Proof is deemed to be used. Final Situation: The expiry of the timer to complete the Proof usage registration is recorded.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Input Data	Proof Usage Registration Completion Expiry Date MRN
Output Data	Proof Usage Registration Completion Expiry Date
Previous Objects	Time Limit to Handle Proof Usage Registration
Information Exchange	
Requirements	PUS_FUR_0026
Data Set	
Events	

State	
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Table 5.560: Task Record Expiry of Time Limit to Handle Proof Usage Registration

Task Identify if Proof is Used

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Proof is Used
Task Type	Service task
Description	When the decision on the use of Proof has been recorded, the Proof of Union Status system will identify if the is used or not. Final situation: Yes – The proof is used and the usage details must be stored in the CR. No – The proof is not used and a respective notification must be sent to the EO at Arrival.
Actor	Proof of Union Status System
Input Data	MRN Use of Proof Decision
Output Data	Result Check If Proof Is to Be Used State of CGM Presentation Notification
Previous Objects	Handle Proof Usage Registration - CGM
Information Exchange	
Requirements	PUS_FUR_0040
Data Set	
Events	
State	

Table 5.561: Task Identify if Proof is Used

Task Record Proof Deemed to Be Used

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Proof Deemed to Be Used
Task Type	Service task
Description	When the time limit expiration to complete the Proof usage registration has been recorded, the data elements following the automatic processing of Proof usage registration are automatically recorded in the Proof of Union Status system - linked to the reference number. The decision will automatically be set to 'Is to be Used' and the System keeps track of the "Register Use of Proof Date". The state of the CGM Proof presentation

	notification changes to “Used” Final situation: The automatic proof usage details are recorded.
Actor	Proof of Union Status System
Input Data	Use of Proof Decision MRN
Output Data	Use of Proof Storage Date State of CGM Presentation Notification CGM Proof Presentation Notification Use of Proof Decision
Previous Objects	Record Expiry of Time Limit to Handle Proof Usage Registration
Information Exchange	
Requirements	PUS_FUR_0006
Data Set	CGM Proof Presentation Notification
Events	Decision "Is to be used" Recorded
State	P-Used

Table 5.562: Task Record Proof Deemed to Be Used

Task Identify if Presentation was Requested by NMSW / National System Other than PoUS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Presentation was Requested by NMSW / National System Other than PoUS
Task Type	Service task
Description	When the Request for Supplementary Documents has been recorded, the Proof of Union Status system will identify if the Proof presentation request was initiated by a NMSW / National System Other than PoUS. Final situation: Yes – The presentation for the Proof was initiated by a NMSW / National System Other than PoUS. No – The presentation for the Proof was not initiated by a NMSW / National System Other than PoUS.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof L4-PUS-02-02-04-Present Proof of Union Status in the Form of CGM Data

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Input Data	MRN NMSW/National System Other than PoUS Request Indicator Member State
Output Data	Member State Result Check if Request is from NMSW/National System Other than PoUS
Previous Objects	Is the Proof Used?
Information Exchange	
Requirements	PUS_FUR_0037
Data Set	
Events	
State	

Table 5.563: Task Identify if Presentation was Requested by NMSW / National System Other than PoUS

Task Notify NMSW / National System Other than PoUS about Non Usage of Valid Proof - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify NMSW / National System Other than PoUS about Non Usage of Valid Proof - CGM
Task Type	Send task
Description	<p>When it has been identified that the Proof has not been used, a response notification containing the MRN, the "Proof to be Used Indicator" "Is not to Be Used", the "Proof not to Be Used Justification" and the "Query Response Information" from the CR, is automatically sent to the NMSW/National System Other than PoUS when the Proof presentation originates from NMSW/National System Other than PoUS.</p> <p>Final situation: The IE Notification for Proof Usage indicating "Is not to be used" is sent to the EO at Arrival.</p>
Actor	Proof of Union Status System
Input Data	E_REP_VAL
Output Data	
Previous Objects	Does the Presentation come from NMSW / National System Other than PoUS?
Information Exchange	Notification for Proof Usage

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Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.564: Task Notify NMSW / National System Other than PoUS about Non Usage of Valid Proof - CGM

Event Proof not Used

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof not Used
Event Type	End event
Description	When the Proof is not to be used, the process will end with status 'Proof not Used'.
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Previous Objects	Notify NMSW / National System Other than PoUS about Non Usage of Valid Proof - CGM

Table 5.565: Event Proof not Used

Task Notify Economic Operator at Arrival about Non Usage of Valid Proof - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Economic Operator at Arrival about Non Usage of Valid Proof - CGM
Task Type	Send task
Description	When it has been identified that the Proof has not been used, a response notification containing the MRN, the "Proof to be Used Indicator" "Is not to Be Used", the "Proof not to Be Used Justification" and the "Query Response Information" from the CR, is automatically sent to the EO at Arrival. Final situation: The IE Notification for Proof Usage indicating "Is not to be used" is sent to the EO at Arrival.
Actor	Proof of Union Status System
Input Data	E_REP_VAL
Output Data	

Previous Objects	Does the Presentation come from NMSW / National System Other than PoUS? Does the Presentation come from NMSW / National System Other than PoUS?
Information Exchange	Notification for Proof Usage
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.566: Task Notify Economic Operator at Arrival about Non Usage of Valid Proof - CGM

Task Store Use of Proof of Union Status in the Form of CGM Data in Central Repository

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Store Use of Proof of Union Status in the Form of CGM Data in Central Repository
Task Type	Call activity (collapsed)
Description	The process starts when the usage details of proof have been registered by the Customs Officer or upon request of a National Proof of Union Status system through an exposed web service. The Proof of Union Status system sends an update proof query to the CR, to store the usage details of the proof and make them centrally available to all Member States. The final situation of this process will be the following: The usage details of the proof are recorded in the CR and are now centrally available.
Actor	Proof of Union Status System
Input Data	
Output Data	
Previous Objects	Central Repository Record Proof Deemed to Be Used Is the Proof Used?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.567: Task Store Use of Proof of Union Status in the Form of CGM Data in Central Repository

Event Receive Proof Non-Usage Notification

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive Proof Non-Usage Notification
Event Type	Message intermediate event (catch)
Description	The EO at Arrival receives a notification regarding the non-use of the Proof.
Appears also in the following processes	L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Previous Objects	Notify Economic Operator at Arrival about Non Usage of Valid Proof - CGM Submit Proof Presentation Notification - CGM

Table 5.568: Event Receive Proof Non-Usage Notification

Event Proof not Used

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof not Used
Event Type	End event
Description	When the Proof is not to be used, the process will end with status 'Proof not Used'.
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Previous Objects	Receive Proof Non-Usage Notification

Table 5.569: Event Proof not Used

Event Proof not Used

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof not Used
Event Type	End event
Description	When the Proof is not to be used, the process will end with status 'Proof not Used'.
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof

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Previous Objects	Notify Economic Operator at Arrival about Non Usage of Valid Proof - CGM
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Table 5.570: Event Proof not Used

Task Identify if Presentation was Requested by NMSW / National System Other than PoUS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Presentation was Requested by NMSW / National System Other than PoUS
Task Type	Service task
Description	When the Request for Supplementary Documents has been recorded, the Proof of Union Status system will identify if the Proof presentation request was initiated by a NMSW / National System Other than PoUS. Final situation: Yes – The presentation for the Proof was initiated by a NMSW / National System Other than PoUS. No – The presentation for the Proof was not initiated by a NMSW / National System Other than PoUS.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof L4-PUS-02-02-04-Present Proof of Union Status in the Form of CGM Data - Perform Controls by PCO
Input Data	MRN NMSW/National System Other than PoUS Request Indicator Member State
Output Data	Member State Result Check if Request is from NMSW/National System Other than PoUS
Previous Objects	Store Use of Proof of Union Status in the Form of CGM Data in Central Repository
Information Exchange	
Requirements	PUS_FUR_0037
Data Set	
Events	
State	

Table 5.571: Task Identify if Presentation was Requested by NMSW / National System Other than PoUS

Task Identify if Proof was Created by National PoUS System

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Proof was Created by National PoUS System
Task Type	Service task
Description	<p>When the Proof usage has been recorded, the Proof of Union Status system will identify if the proof was created by a National PoUS system or not. If the "National System Request Indication" of the Proof (as retrieved from the CR) is "Yes", the Proof was created by a National PoUS system.</p> <p>Additionally, in case the Proof was created by a National PoUS system, the respective Member State will be identified from the "Competent Customs Office", that was responsible for the creation of the Proof.</p> <p>Final situation: Yes – The Proof was created by a National PoUS system. No – The Proof was created by the Central PoUS system.</p>
Actor	Proof of Union Status System
Input Data	Competent Customs Office MRN
Output Data	Member State Result Check if Proof was Created by National PoUS System
Previous Objects	Store Use of Proof of Union Status in the Form of CGM Data in Central Repository
Information Exchange	
Requirements	PUS_FUR_0054 PUS_FUR_0053
Data Set	
Events	
State	

Table 5.572: Task Identify if Proof was Created by National PoUS System

Event Receive Proof Usage Notification

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive Proof Usage Notification
Event Type	Message intermediate event (catch)
Description	The EO at Arrival receives a notification regarding the use of the Proof.
Appears also in the following processes	L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof

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Previous Objects	Submit Proof Presentation Notification - CGM Notify Economic Operator at Arrival about Use of Proof - CGM

Table 5.573: Event Receive Proof Usage Notification

Task Notify Economic Operator at Arrival about Use of Proof - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Economic Operator at Arrival about Use of Proof - CGM
Task Type	Send task
Description	When the Proof usage has been recorded, a response notification containing the MRN, the "Proof to be Used Indicator" 'Is to Be Used', the "Query Response Information" from the CR and the "Register Usage of Proof Date", is automatically sent to the EO at Arrival. Final situation: Notification for the use of Proof with "Proof To Be Used Indicator" "Is to be Used" is sent to the EO at Arrival and the process ends with a status "Used".
Actor	Proof of Union Status System
Input Data	E_REP_VAL
Output Data	
Previous Objects	Store Use of Proof of Union Status in the Form of CGM Data in Central Repository
Information Exchange	Notification for Proof Usage
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.574: Task Notify Economic Operator at Arrival about Use of Proof - CGM

Task Notify NMSW / National System Other than PoUS about Use of Proof - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify NMSW / National System Other than PoUS about Use of Proof - CGM
Task Type	Send task
Description	When the Proof usage has been recorded, a response notification containing

	<p>the MRN, the "Proof to be Used Indicator" 'Is to Be Used', the "Query Response Information" from the CR and the "Register Usage of Proof Date", is automatically sent to the NMSW/National System Other than PoUS when the Proof presentation originates from NMSW/National System Other than PoUS.</p> <p>Final situation: Notification for the use of Proof with "Proof To Be Used Indicator" "Is to be Used" is sent to the EO at Arrival and the process ends with a status "Used".</p>
Actor	Proof of Union Status System
Input Data	E_REP_VAL
Output Data	
Previous Objects	Does the Presentation come from NMSW / National System Other than PoUS?
Information Exchange	Notification for Proof Usage
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.575: Task Notify NMSW / National System Other than PoUS about Use of Proof - CGM

Event Proof Used

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof Used
Event Type	End event
Description	When the Proof is used, the process will end with status 'Proof Used'.
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Previous Objects	Receive Proof Usage Notification

Table 5.576: Event Proof Used

Task Notify National PoUS System about Proof Usage

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Notify National PoUS System about Proof Usage
Task Type	Send task
Description	When the Proof was created by a National PoUS system, a notification will automatically be sent to the National PoUS system to notify it of the Proof usage.
Actor	Proof of Union Status System
Input Data	C_PUNM_USE
Output Data	
Previous Objects	Proof was Created in a National PoUS System?
Information Exchange	Notification of National PoUS System on Proof Usage - CGM
Requirements	PUS_FUR_0036
Data Set	
Events	
State	

Table 5.577: Task Notify National PoUS System about Proof Usage

Event Proof Used

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof Used
Event Type	End event
Description	When the Proof is used, the process will end with status 'Proof Used'.
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof L4-PUS-01-02-Proof of Union Status in the Form of T2L or T2LF Data - Present Proof
Previous Objects	Notify Economic Operator at Arrival about Use of Proof - CGM Notify National PoUS System about Proof Usage Proof was Created in a National PoUS System? Does the Presentation come from NMSW / National System Other than PoUS? Notify NMSW / National System Other than PoUS about Use of Proof - CGM

Table 5.578: Event Proof Used

5.26. Process L4-PUS-02-03-Retrieve Proof of Union Status in the Form of CGM Data from Central Repository

5.26.1. Objective of Process

The process starts:

- Either when an electronic or non-electronic Proof presentation notification has been received by the EO at arrival or the NMSW/National System Other than PoUS;
- or when an electronic Proof Amendment notification has been received by the PCO or the NMSW/National System Other than PoUS;
- or when a Proof information request has been received by the PCO;
- or upon request of a National Proof of Union Status system / Other National system, through an exposed web service offered to the National systems in order to retrieve CGM data from the Central Repository.

The Proof of Union Status system launches a query to the Central Repository in order to retrieve all the details of a proof for a given MRN.

The final situation of this process will be the following:

The proof is retrieved from the Central Repository and all its details are available.

5.26.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-PUS-02-02
Full Name	Proof of Union Status in the Form of CGM - Retrieve Proof
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-PUS-01-03, L3-PUS-01-04
Existing Specifications	

Table 5.579: Process Details

5.26.3. Tasks and Events

Event Proof of Union Status in the Form of CGM data is to be retrieved from Central Repository

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof of Union Status in the Form of CGM data is to be retrieved from Central Repository
Event Type	Start event
Description	The process starts when a Proof is to be retrieved from the CR.
Previous Objects	

Table 5.580: Event Proof of Union Status in the Form of CGM data is to be retrieved from Central Repository

Event Request from National System Received in order to Retrieve Proof from Central Repository

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Request from National System Received in order to Retrieve Proof from Central Repository
Event Type	Message start event
Description	The process starts upon request of a National Proof of Union Status system / Other National system through an exposed web service offered to the National systems, in order to retrieve the details of a Proof from the CR.
Previous Objects	National Proof of Union Status System / National System other than PoUS

Table 5.581: Event Request from National System Received in order to Retrieve Proof from Central Repository

Task Perform Validation of Proof Query Request from National System

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Validation of Proof Query Request from National System
Task Type	Service task
Description	<p>When the Proof query is received from a National Proof of Union Status system or other National system, it must be technically validated.</p> <p>Final situation:</p> <ul style="list-style-type: none"> - The result of the validation is positive: there are no validation errors and the Proof query request by a National system is valid. - The result of the validation is negative: the Proof query request by a National system contains validation errors and is invalid. The National Proof of Union system / Other National system will be notified of the validation errors.
Actor	Proof of Union Status System
Input Data	
Output Data	C_NPUM_QUE Endorsement Request Validation Result
Previous Objects	Request from National System Received in order to Retrieve Proof from Central Repository
Information Exchange	Proof Query from National System CGM
Requirements	PUS_FUR_0001 PUS_FUR_0003 PUS_FUR_0002
Data Set	
Events	

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Table 5.582: Task Perform Validation of Proof Query Request from National System

Task Record Proof Query Request from National System - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Proof Query Request from National System - CGM
Task Type	Service task
Description	When the Proof of Union Status system receives a Proof query from a National Proof of Union Status system or other National system, the data elements following this are automatically recorded in the Proof of Union Status system - linked to the reference number.
Actor	Proof of Union Status System
Input Data	MRN C_NPUM_QUE
Output Data	C_NPUM_QUE MRN
Previous Objects	Is Validation Result Positive?
Information Exchange	
Requirements	PUS_FUR_0006
Data Set	
Events	
State	

Table 5.583: Task Record Proof Query Request from National System - CGM

Task Notify National System about Validation Errors

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify National System about Validation Errors
Task Type	Send task
Description	When the Proof query received from a National Proof of Union Status system or other National system is invalid, a validation error notification is automatically sent to the National System containing more details on the validation errors. The process will end here. Final situation: IEP40 National System Request Rejected is sent to the National System, and the process ends.
Actor	Proof of Union Status System

Input Data	C_NPUM_QUE National System Request Validation Result
Output Data	Rejected National System Request Reference
Previous Objects	Is Validation Result Positive?
Information Exchange	National System Request Rejected
Requirements	PUS_FUR_0036
Data Set	
Events	
State	

Table 5.584: Task Notify National System about Validation Errors

Task Retrieve Proof

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Retrieve Proof
Task Type	Send task
Description	<p>When the Customs Officer registered the retrieve proof notification and the notification is recorded by the Proof of Union Status system or when a Proof query is received from a National Proof of Union Status system or other National system, the system launches a query to the CR in order to retrieve the proof assigned to the given MRN. The result of this search can be one of the following:</p> <ol style="list-style-type: none"> 1) Proof is Registered - The MRN is assigned to a proof which exists and its Proof Status is "E-Registered"; 2) Proof is Expired - the MRN is assigned to a proof which exists but validity date has expired and its Proof Status is "E-Expired"; 3) Proof is Already Used - the MRN is assigned to a proof that exists but has already been used and its Proof Status is "P-Used"; 4) Proof is Partially Used - the MRN is assigned to a proof that has been partially used and its Proof Status is "P-Partially Used". (Not applicable for T2L/F as it concerns only Proofs in the form of Customs Goods Manifest data); 5) Proof is Under Evaluation by a PCO - the MRN is assigned to a proof that has been presented and is under evaluation at a PCO and its Proof Status is "P-Waiting RA Results" or "P-Waiting Control Decision" or "P-Waiting for Documentary Control Result" or "P-Waiting for Supplementary Documents" or "P-Waiting for Physical Control Result" or "P-Waiting Proof Usage Decision". <p>The non-existence of a Proof is handled by the Proof of Union Status system as an exception when the MRN of the Proof is received.</p>

	Final situation: The Proof of Union Status system launches a Proof query to the CR in order to retrieve the details of a proof.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-01-03-Retrieve Proof of Union Status in the Form of T2L or T2LF Data from Central Repository
Input Data	MRN
Output Data	C_REP_QUE
Previous Objects	Record Proof Query Request from National System - CGM Proof of Union Status in the Form of CGM data is to be retrieved from Central Repository
Information Exchange	Proof Query
Requirements	PUS_FUR_0011 PUS_FUR_0013
Data Set	
Events	
State	

Table 5.585: Task Retrieve Proof

Event National System Notified of Validation Errors

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	National System Notified of Validation Errors
Event Type	End event
Description	When the Proof Presented is technically invalid, the National System will receive a validation error notification and the process will end.
Appears also in the following processes	L4-PUS-01-03-Retrieve Proof of Union Status in the Form of T2L or T2LF Data from Central Repository
Previous Objects	Notify National System about Validation Errors

Table 5.586: Event National System Notified of Validation Errors

Event Receipt of Response to Proof Query

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
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Event Name	Receipt of Response to Proof Query
Event Type	Message intermediate event (catch)
Description	This event indicates that the Proof of Union Status system receives the Response to Proof Query from the CR, based on the MRN provided in the Proof Query.
Appears also in the following processes	L4-PUS-01-03-Retrieve Proof of Union Status in the Form of T2L or T2LF Data from Central Repository
Previous Objects	Central Repository Retrieve Proof

Table 5.587: Event Receipt of Response to Proof Query

Task Record Response to Proof Query - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Response to Proof Query - CGM
Task Type	Service task
Description	When the "Response to Proof Query - CGM" IE is received, the data elements are automatically recorded in the Proof of Union Status system - linked to the reference number.
Actor	Proof of Union Status System
Input Data	MRN
Output Data	C_REM_RPQ
Previous Objects	Receipt of Response to Proof Query
Information Exchange	Response to Proof Query - CGM
Requirements	PUS_FUR_0006 PUS_FUR_0011
Data Set	
Events	
State	

Table 5.588: Task Record Response to Proof Query - CGM

Task Identify if Proof Query was Submitted from National PoUS System / Other National System - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Identify if Proof Query was Submitted from National PoUS System / Other National System - CGM
Task Type	Service task
Description	The system will identify if the Proof query, was submitted from the central Proof of Union Status system or from a National System/National System other than PoUS. Final situation: Yes - The Proof was submitted from a National Proof of Union Status system / Other National system. No - The Proof was submitted from the central Proof of Union Status system.
Actor	Proof of Union Status System
Input Data	MRN Member State National System - NMSW Request Indication
Output Data	Member State Result Check if Request is from National PoUS System / Other National System
Previous Objects	Record Response to Proof Query - CGM
Information Exchange	
Requirements	PUS_FUR_0037
Data Set	
Events	
State	

Table 5.589: Task Identify if Proof Query was Submitted from National PoUS System / Other National System - CGM

Task Send Proof Query Response to National PoUS System / Other National System - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Send Proof Query Response to National PoUS System / Other National System - CGM
Task Type	Send task
Description	When the Proof is retrieved from the CR and the source of the query is a National Proof of Union Status system or other National system, a query response is automatically sent to National system to send all the details of the retrieved Proof. Final situation: All details of the retrieved Proof from the CR are sent to the National Proof of Union Status system / other National system from which the query was

	submitted.
Actor	Proof of Union Status System
Input Data	C_REM_RPQ Customs Office of Presentation Member State
Output Data	
Previous Objects	Is Proof Query from National PoUS System / Other National System?
Information Exchange	Response to Proof Query from National System CGM
Requirements	
Data Set	
Events	
State	

Table 5.590: Task Send Proof Query Response to National PoUS System / Other National System - CGM

Event Proof Retrieved from Central Repository

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof Retrieved from Central Repository
Event Type	End event
Description	This process ends when the Proof and all its details are retrieved from the CR.
Appears also in the following processes	L4-PUS-01-03-Retrieve Proof of Union Status in the Form of T2L or T2LF Data from Central Repository
Previous Objects	Is Proof Query from National PoUS System / Other National System? Send Proof Query Response to National PoUS System / Other National System - CGM

Table 5.591: Event Proof Retrieved from Central Repository

5.27. Process L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof

5.27.1. Objective of Process

The “Amend Proof” process is triggered by the electronic ("IEP61 Amendment Request - CGM") of the proof presentation notification by the Customs Officer from the PC or the NMSW / National System Other than PoUS. The "IEP61 Amendment Request - CGM" is technically validated. When the message is valid, the Proof of Union Status system records the electronic proof amendment notification. In case of invalidation a relevant notification is sent to the PC and in case the Amendment request originates from the NMSW /

National System Other than PoUS an invalidation notification is sent to both EO and NMSW / National System Other than PoUS.

Then the system launches a proof query to the CR to retrieve the proof. The CR performs a search and generates a response to the proof query, which is sent back to the Proof of Union Status system. The query response (included in the “Response to Proof Query”) can have 5 possible values:

- 1) Registered - The MRN is assigned to a proof which exists and is valid. The proof details are included in the “Response to Proof Query”.
- 2) Proof Archived - the MRN is assigned to a proof that exists but validity date has expired. The proof details are included in the “Response to Proof Query”.
- 3) Used - the MRN is assigned to a proof that exists but has already been used. The proof details are included in the “Response to Proof Query”.
- 4) Partially used - the MRN is assigned to a proof that has been partially used.
- 5) Query does not Return a response - no proof is assigned to the MRN. No proof details are included in the “Response to Proof Query”.

The Proof of Union Status system identifies if the “Response to Proof Query” concerns a proof that is valid to be used. For a proof that has a "Partially used" status an amendment is not possible. If the proof is not valid to be amended the PC is notified that the retrieved proof is not valid to be amended. The Customs Officer is also notified accordingly, and the process ends. If the Amendment requests originates from the NMSW / National System Other than PoUS then both the PC and the NMSW / National System Other than PoUS get notified.

If the proof is valid to be amended, a risk analysis at national level may be requested by the Customs Officer against risk rules (including common risk criteria and standards where applicable) (automatic process). This depends on the member state that is responsible for the risk assessment and if an interface between the central PoUS system and the respective national risk system is foreseen. When a national risk analysis system is consulted, the risk analysis result is recorded in the Proof of Union Status system. Then, the Customs Officer decides if relevant controls will be performed or not. If the Customs Officer decides to perform the controls, the process continues with the control task. The control task is a manual task executed by the Customs Officer, in the context of which only documentary checks or both documentary and physical checks are performed. If supplementary documents are needed, the Customs Officer may request them from the PC. There are three possible results from this control:

- In case the result is “Satisfactory”, the request is endorsed;
- In case the result is “Different goods”, the PC is notified about the rejection of the request;
- In case the result is “Non-union goods”, the PC is notified about the rejection of the request;

When a national risk analysis system has been consulted and no risk has been identified, the Customs Officer has a time limit to decide to perform the relevant controls. If the time limit expires the control result is deemed to be "Satisfactory". If the Customs Officer decides not to perform the controls or the control result is "Satisfactory" (i.e. manual control) or “Considered Satisfactory” (i.e. automatic control because of time limit expiration), the Customs Officer registers the decision on the amendment of the proof. The CR is updated with the usage information when the proof is amended. Finally, the PC is notified about the use of the proof and in case the Proof was created by a National PoUS system, a notification is sent to the respective National PoUS system. In case the Amendment request comes from the NMSW / National System Other than PoUS then both the PC and the NMSW / National System Other than PoUS get notified.

5.27.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-PUS-02-04
Full Name	Proof of Union Status in the Form of CGM Data - Amend Proof
Assumptions	N/A

Constraints	No constraints.
L3 Reference Models	L3-PUS-01-04
Existing Specifications	

Table 5.592: Process Details

5.27.3. Tasks and Events

Event CGM Amendment Requested

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	CGM Amendment Requested
Event Type	Start event
Description	This process starts upon the submission of a CGM Amendment request to the CCO, via an EU harmonized trader interface.
Previous Objects	

Table 5.593: Event CGM Amendment Requested

Task Submit CGM Amendment Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Submit CGM Amendment Request
Task Type	Send task
Description	This process starts when the PC submits, via the EU Customs Trader Portal, an amendment request to the CCO in order to certify the Union status of goods declared in the form of CGM data. This request will need to be validated by the Proof of Union Status system. Final situation: IE sent from the PC to the CCO.
Actor	Person Concerned
Input Data	E_PUA_REQ
Output Data	
Previous Objects	CGM Amendment Requested
Information Exchange	Amendment Request-CGM
Requirements	PUS_FUR_0060
Data Set	
Events	

State	
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Table 5.594: Task Submit CGM Amendment Request

Event Amendment Request Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Amendment Request Received
Event Type	Message start event
Description	Process is initiated when the Proof of Union Status system automatically receives an Amendment Request from a Person Concerned.
Previous Objects	Submit CGM Amendment Request

Table 5.595: Event Amendment Request Received

Event Validation Error Notification Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Validation Error Notification Received
Event Type	Message intermediate event (catch)
Description	The PC receives a notification of the validation errors concerning the amendment request.
Previous Objects	Submit CGM Amendment Request Notify Person Concerned of Validation Errors

Table 5.596: Event Validation Error Notification Received

Task Perform Validation of Amendment Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Validation of Amendment Request
Task Type	Service task
Description	<p>When the Amendment Request is received, it is validated by the Proof of Union Status System. This includes a check that all required fields are populated, have the correct format and contain the correct data type.</p> <p>The following systems will be consulted:</p> <ul style="list-style-type: none"> - Economic Operators Registration and Identification (EORI) <p>When validating a received Amendment Request, the Proof of Union Status System will have an interface with the EORI database in order to check that the provided EORI number is valid, and that the Person Concerned is a registered trader.</p> <ul style="list-style-type: none"> - Common Reference Data Management <p>When validating other data elements that can be verified.</p>

	<p>When the result of the validation is positive, the data elements of the Proof Request are recorded.</p> <p>When the result of the validation is negative, a notification must be sent to the applicant or to the applicant and the NMSW/National System Other than PoUS to notify them of the errors occurring and about the data and reason for rejection.</p> <p>Final situation:</p> <ul style="list-style-type: none"> - The result of the validation of the request is positive: there are no validation errors. - The result of the validation of the request is negative: the request contains validation errors.
Actor	Proof of Union Status System
Input Data	MRN
Output Data	Proof Presented Validation Result E_PUA_REQ
Previous Objects	Amendment Request Received Amendment Request by NMSW/National System Other than PoUS Received
Information Exchange	Amendment Request-CGM
Requirements	PUS_FUR_0001 PUS_FUR_0002 PUS_FUR_0003
Data Set	
Events	
State	

Table 5.597: Task Perform Validation of Amendment Request

Event Amendment Request by NMSW/National System Other than PoUS Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Amendment Request by NMSW/National System Other than PoUS Received
Event Type	Message start event
Description	Process is initiated when the Proof of Union Status system automatically receives an Amendment Request from a NMSW/National System Other than PoUS.

Previous Objects	NMSW / National System Other than PoUS
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Table 5.598: Event Amendment Request by NMSW/National System Other than PoUS Received

Task Notify Person Concerned of Validation Errors

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Person Concerned of Validation Errors
Task Type	Send task
Description	When the validation result of the Amendment Request is negative, an Error Notification is automatically sent to the Person Concerned, containing more details on the validation errors. Final situation: A Validation Error Notification is sent and the process ends.
Actor	Proof of Union Status System
Input Data	Proof Amendment Validation Result E_PUA_REQ
Output Data	Rejected Proof Reference
Previous Objects	Does the Request come from NMSW / National System Other than PoUS? Does the Request come from NMSW / National System Other than PoUS?
Information Exchange	Amendment Request Rejected
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.599: Task Notify Person Concerned of Validation Errors

Event Person Concerned Notified of Validation Errors

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Person Concerned Notified of Validation Errors
Event Type	End event
Description	The process ends here when the Person Concerned has been notified of the validation errors occurring in the Amendment Request.
Previous Objects	Validation Error Notification Received

Table 5.600: Event Person Concerned Notified of Validation Errors

Task Identify if Request was Created by NMSW / National System Other than PoUS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Request was Created by NMSW / National System Other than PoUS
Task Type	Service task
Description	The Proof of Union Status system will identify if the proof was created by a NMSW / National System Other than PoUS or not. Final situation: Yes - The Proof was submitted from a NMSW/National System Other than PoUS. No - The Proof was submitted from the PC in the Central PoUS system.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof L4-PUS-02-01-02-Proof of Union Status in the Form of CGM Data - Perform Controls by CCO
Input Data	Proof Operation Information NMSW/National System Other than PoUS Request Indicator Member State
Output Data	Member State Result Check if Request is from NMSW/National System Other than PoUS
Previous Objects	Is Result of Validation Positive?
Information Exchange	
Requirements	PUS_FUR_0037
Data Set	
Events	
State	

Table 5.601: Task Identify if Request was Created by NMSW / National System Other than PoUS

Task Record Amendment Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Amendment Request
Task Type	Service task

Description	When the Endorsement Request is valid, the Request is recorded in the Proof of Union Status System and the Customs Officer needs to decide if the request should be Amended or not. Final situation: The Amendment Request is recorded in the Proof of Union Status System and the request requires endorsement from the Customs Officer. The state changes to Waiting Endorsement Decision.
Actor	Proof of Union Status System
Input Data	E_PUA_REQ MRN
Output Data	E_PUA_REQ State of CGM Amendment Notification
Previous Objects	Is Result of Validation Positive?
Information Exchange	
Requirements	PUS_FUR_0006
Data Set	
Events	
State	

Table 5.602: Task Record Amendment Request

Event Person Concerned Notified of Validation Errors

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Person Concerned Notified of Validation Errors
Event Type	End event
Description	The process ends here when the Person Concerned has been notified of the validation errors occurring in the Endorsement Request.
Previous Objects	Notify Person Concerned of Validation Errors

Table 5.603: Event Person Concerned Notified of Validation Errors

Task Notify NMSW / National System Other than PoUS about Validation Errors

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify NMSW / National System Other than PoUS about Validation Errors
Task Type	Send task
Description	When the proof request received from a NMSW/National System other than a dedicated PoUS National System is invalid, a validation error

	notification is automatically sent to the NMSW/National System other than PoUS containing more details on the validation errors. The process will end here. Final situation: IEP02 Request Rejected is sent to the NMSW/National System other than PoUS, and the process ends.
Actor	Proof of Union Status System
Input Data	Proof Amendment Validation Result E_PUA_REQ
Output Data	Rejected Proof Reference
Previous Objects	Does the Request come from NMSW / National System Other than PoUS?
Information Exchange	Amendment Request Rejected
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.604: Task Notify NMSW / National System Other than PoUS about Validation Errors

Task Retrieve Proof of Union Status in the Form of CGM Data from Central Repository

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Retrieve Proof of Union Status in the Form of CGM Data from Central Repository
Task Type	Call activity (collapsed)
Description	The process starts when an electronic or non-electronic Proof presentation notification has been received by the PCO in order to retrieve CGM data from the CR. The Proof of Union Status system launches a query to the CR in order to retrieve all the details of a proof for a given MRN. The final situation of this process will be the following: The proof is retrieved from the CR and all its details are available.
Actor	Proof of Union Status System
Input Data	
Output Data	
Previous Objects	Record Amendment Request Central Repository
Information Exchange	

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Requirements	
Data Set	
Events	
State	

Table 5.605: Task Retrieve Proof of Union Status in the Form of CGM Data from Central Repository

Event NMSW / National System Other than PoUS Notified of Validation Errors

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	NMSW / National System Other than PoUS Notified of Validation Errors
Event Type	End event
Description	When the Proof Presented is technically invalid, the NMSW/National System Other than PoUS will receive a validation error notification and the process will end.
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof
Previous Objects	Notify NMSW / National System Other than PoUS about Validation Errors

Table 5.606: Event NMSW / National System Other than PoUS Notified of Validation Errors

Task Identify if the Proof is valid for Amendment

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if the Proof is valid for Amendment
Task Type	Service task
Description	<p>When the Response to Proof Query from the CR has been received by the Proof of Union Status system, it will automatically check if the proof retrieved can be amended or not. The response indicator of the result to proof query has 5 possible values:</p> <ol style="list-style-type: none"> 1) Registered - The MRN is assigned to a Proof which is still valid. 2) Proof Archived - the MRN is assigned to a proof which is expired. 3) Used - the MRN is assigned to a proof that exists but has already been fully used. 4) Partially used - the MRN is assigned to a proof that has been partially used. 5) Query does not return a response - no proof is assigned to the MRN. <p>When the value of the response indicator is “Registered”, the proof is valid for Amendment. In all other cases the proof is not valid for Amendment even if partially used.</p> <p>Additionally, the system will automatically check if the EO at Arrival who submitted the request is indicated in CGM proof data. An EO at Arrival has</p>

	<p>access if he/she is indicated in the CGM Proof data as "Person lodging the customs goods manifest" or "Person presenting a Proof of customs Union goods" or "Representative".</p> <p>Final situation:</p> <p>Yes - The Proof is Valid for Amendment.</p> <p>No - The Proof is not valid for Amendment. The state of the CGM Proof amendment notification is set to "Rejected".</p>
Actor	Proof of Union Status System
Input Data	MRN C_REM_RPQ
Output Data	<p>Result Check If Proof is Valid</p> <p>CGM Proof Amendment Notification</p> <p>State of CGM Amendment Notification</p> <p>C_REM_RPQ</p> <p>Result Check If User has Access to a Proof</p> <p>CGM Proof Amendment Notification</p>
Previous Objects	Retrieve Proof of Union Status in the Form of CGM Data from Central Repository
Information Exchange	
Requirements	PUS_FUR_0048 PUS_FUR_0061
Data Set	CGM Proof Amendment Notification CGM Proof Amendment Notification
Events	"Valid Proof for Amendment" Identified "Non Valid Proof for Amendment" Identified
State	E-Waiting for Endorsement Decision E-Rejected

Table 5.607: Task Identify if the Proof is valid for Amendment

Task Identify Competent Member State for Risk Assessment - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify Competent Member State for Risk Assessment - CGM
Task Type	Service task
Description	The system will identify the Member State who will be responsible for the risk analysis. The identification of the competent Member State will be based on the "Competent Customs Office" in the initial endorsement/registration request.

	Depending on the identified Member State, the Proof of Union Status system will check if the respective national risk analysis system is to be consulted or not. Final situation: - The competent Member State has been identified and the result is "No": no national risk analysis system is consulted. - The competent Member State has been identified and the result is "Yes": the respective national risk analysis system is consulted.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof
Input Data	Proof Operation Information Competent Customs Office
Output Data	Member State Result Check if National Risk Analysis System is Consulted State of CGM Proof
Previous Objects	Can Proof be Amended?
Information Exchange	
Requirements	PUS_FUR_0019 PUS_FUR_0018
Data Set	
Events	
State	

Table 5.608: Task Identify Competent Member State for Risk Assessment - CGM

Task Identify if Request was Created by NMSW / National System Other than PoUS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Request was Created by NMSW / National System Other than PoUS
Task Type	Service task
Description	The Proof of Union Status system will identify if the proof was created by a NMSW / National System Other than PoUS or not. Final situation: Yes - The Proof was submitted from a NMSW/National System Other than PoUS. No - The Proof was submitted from the PC in the Central PoUS system.

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Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof L4-PUS-02-01-02-Proof of Union Status in the Form of CGM Data - Perform Controls by CCO
Input Data	Proof Operation Information NMSW/National System Other than PoUS Request Indicator Member State
Output Data	Member State Result Check if Request is from NMSW/National System Other than PoUS
Previous Objects	Can Proof be Amended?
Information Exchange	
Requirements	PUS_FUR_0037
Data Set	
Events	
State	

Table 5.609: Task Identify if Request was Created by NMSW / National System Other than PoUS

Event Notification that Proof cannot be Amended Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Notification that Proof cannot be Amended Received
Event Type	Message intermediate event (catch)
Description	The PC receives a notification that the retrieved Proof cannot be used.
Previous Objects	Notify Economic Operator at Arrival that CGM data cannot be Amended Submit CGM Amendment Request

Table 5.610: Event Notification that Proof cannot be Amended Received

Task Notify NMSW / National System Other than PoUS that CGM data cannot be Amended

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify NMSW / National System Other than PoUS that CGM data cannot be Amended
Task Type	Send task
Description	When the Proof of Union Status System has identified that the retrieved

	<p>Proof is not valid to be Amended and Proof Amendment Request originates from NMSW/National System Other than PoUS, a response notification is automatically sent to NMSW/National System Other than PoUS. The message will always contain the MRN.</p> <p>In case the response indicator of the "Query response" is "Proof Archived", the message will also contain the "Validity End Date".</p> <p>Final situation: The IE "IEP57 Notification that Proof cannot be Amended - CGM" containing some information about a Non-Valid to be Amended Proof, is sent to the NMSW/National System Other than PoUS.</p>
Actor	Proof of Union Status System
Input Data	E_RMA_NOV
Output Data	
Previous Objects	Does the Request come from NMSW / National System Other than PoUS?
Information Exchange	Notification that Proof cannot be Amended - CGM
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.611: Task Notify NMSW / National System Other than PoUS that CGM data cannot be Amended

Event Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Rejected
Event Type	End event
Description	The process ends here when the Proof cannot be Amended
Appears also in the following processes	L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof
Previous Objects	Notification that Proof cannot be Amended Received

Table 5.612: Event Rejected

Task Acknowledge Proof cannot be Amended - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Acknowledge Proof cannot be Amended - CGM

Task Type	User task
Description	<p>The Proof of Union Status System will inform the Customs Officer that the retrieved Proof can be Amended or not. A proof by the form of CGM is not to be Amended if the response indicator of the result to proof query has one of the following values:</p> <p>1) Proof Archived - the MRN is assigned to a proof that exists but validity date has expired. The proof details are included in the Response to Proof Query.</p> <p>2) Used - the MRN is assigned to a proof that exists but has already been used. The proof details are included in the Response to Proof Query.</p> <p>4) Partially Used - the MRN is assigned to a proof that has been partially used.</p> <p>3) Query Does not return a response - no proof is assigned to the MRN. No proof details are included in the Response to Proof Query.</p> <p>Final situation: The Customs Officer acknowledges that the Proof is not to be Amended. The process will end with a status "Rejected".</p>
Actor	Customs Officer
Input Data	MRN C_REM_RPQ Result Check If User has Access to a Proof
Output Data	MRN C_REM_RPQ Result Check If User has Access to a Proof
Previous Objects	Notify Economic Operator at Arrival that CGM data cannot be Amended
Information Exchange	
Requirements	PUS_FUR_0012
Data Set	
Events	
State	

Table 5.613: Task Acknowledge Proof cannot be Amended - CGM

Task Notify Economic Operator at Arrival that CGM data cannot be Amended

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Economic Operator at Arrival that CGM data cannot be Amended
Task Type	Send task
Description	When the Proof of Union Status System has identified that the retrieved Proof is not valid to be Amended, a response notification is automatically

	<p>sent to the PC. The message will always contain the MRN and an indication.</p> <p>In the case the PC has access to the retrieved Proof, the message will also include the "Query Response Information" from the Central Repository and the "Use of Proof Information" (if the Proof has already been presented but not used).</p> <p>In case the response indicator of the "Query response" is "Proof Archived", the message will also contain the "Validity End Date".</p> <p>Final situation: The IE "IEP57 Notification that Proof cannot be Amended - CGM" containing some information about a Non-Valid to be Amended Proof, is sent to the PC.</p>
Actor	Proof of Union Status System
Input Data	E_RMA_NOV
Output Data	
Previous Objects	Does the Request come from NMSW / National System Other than PoUS? Does the Request come from NMSW / National System Other than PoUS?
Information Exchange	Notification that Proof cannot be Amended - CGM
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.614: Task Notify Economic Operator at Arrival that CGM data cannot be Amended

Task Consult National Risk Analysis System

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Consult National Risk Analysis System
Task Type	Call activity (collapsed)
Description	<p>The process starts when the Competent Member State performs a risk analysis in response to the relevant request by the CCO. The Proof of Union Status system sends the risk analysis request, receives and records the risk analysis response.</p> <p>The final situation of this process will be the following: The risk analysis response from the competent Member State has been recorded.</p>
Actor	Proof of Union Status System
Input Data	

Output Data	
Previous Objects	Is National Risk Analysis System to be Consulted?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.615: Task Consult National Risk Analysis System

Event Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Rejected
Event Type	End event
Description	When the Proof is not valid for Amendment, the process will end with status 'Rejected'.
Appears also in the following processes	L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof
Previous Objects	Acknowledge Proof cannot be Amended - CGM

Table 5.616: Event Rejected

Event Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Rejected
Event Type	End event
Description	When the Proof is not valid for Amendment, the process will end with status 'Rejected'.
Appears also in the following processes	L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof
Previous Objects	Notify NMSW / National System Other than PoUS that CGM data cannot be Amended

Table 5.617: Event Rejected

Task Identify If Risk Is Determined

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Risk Is Determined
Task Type	Service task
Description	When the risk analysis results are recorded the Proof of Union Status system will automatically identify if a risk has been identified. If "Risk Analysis Result Code = N", a risk is identified. Final situation: Yes – A risk has been identified. No – No risk has been identified.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-02-Proof of Union Status in the Form of CGM Data - Present Proof
Input Data	Risk Analysis Result Information MRN
Output Data	Result Check if Risk Is Determined State of CGM Proof
Previous Objects	Consult National Risk Analysis System
Information Exchange	
Requirements	PUS_FUR_0021
Data Set	
Events	
State	

Table 5.618: Task Identify If Risk Is Determined

Task Perform Controls by CCO

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Controls by CCO
Task Type	Call activity (collapsed)
Description	When no National Risk Analysis system is to be consulted or if a National Risk Analysis system is consulted, when the Risk Analysis results is recorded, this process is initiated. In the case that a National Risk Analysis system is consulted and no risk has been identified, the decision to perform relevant controls has to be taken within a specific time limit. If this time limit expires, the control results will be considered to be satisfactory. First of all, the Customs Officer has to decide if the relevant controls to verify that the goods are Union goods corresponding to the goods declared

	<p>in the request, will be performed or not.</p> <p>If a timer has been set and:</p> <ul style="list-style-type: none"> ● no decision by the Customs Officer is taken, the process ends at the time limit and the control results will be considered to be satisfactory; ● the Customs Officer decides not to perform controls, the process ends; ● the Customs Officer decides to perform the controls, the timer is cancelled so that the controls are manually completed and the documentary checks will first be done. <p>If no timer has been set and:</p> <ul style="list-style-type: none"> ● the Customs Officer decides not to perform controls, the process ends; ● the Customs Officer decides to perform the controls, the documentary checks will first be done. <p>Then, the Customs Officer will decide if supplementary documents must be requested from the PC. If that is the case, the Customs Officer will wait for the response from the PC and when the documents are provided they will be controlled. The Customs Officer will register the control results based on the documentary checks and if physical controls have been decided to be performed, the Customs Officer will register the control results based on both documentary and physical checks.</p> <p>The final situation of this process will be one of the following:</p> <ul style="list-style-type: none"> * No control has been performed. * The control results have been recorded. * In case a timer function is triggered, the time limit to decide to perform the relevant controls has expired and the control results are considered satisfactory.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data
Input Data	
Output Data	
Previous Objects	Is Risk Determined?
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.619: Task Perform Controls by CCO

Task Perform Controls by CCO

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Perform Controls by CCO
Task Type	Call activity (collapsed)
Description	<p>When no National Risk Analysis system is to be consulted or if a National Risk Analysis system is consulted, when the Risk Analysis results is recorded, this process is initiated. In the case that a National Risk Analysis system is consulted and no risk has been identified, the decision to perform relevant controls has to be taken within a specific time limit. If this time limit expires, the control results will be considered to be satisfactory.</p> <p>First of all, the Customs Officer has to decide if the relevant controls to verify that the goods are Union goods corresponding to the goods declared in the request, will be performed or not.</p> <p>If a timer has been set and:</p> <ul style="list-style-type: none"> ● no decision by the Customs Officer is taken, the process ends at the time limit and the control results will be considered to be satisfactory; ● the Customs Officer decides not to perform controls, the process ends; ● the Customs Officer decides to perform the controls, the timer is cancelled so that the controls are manually completed and the documentary checks will first be done. <p>If no timer has been set and:</p> <ul style="list-style-type: none"> ● the Customs Officer decides not to perform controls, the process ends; ● the Customs Officer decides to perform the controls, the documentary checks will first be done. <p>Then, the Customs Officer will decide if supplementary documents must be requested from the PC. If that is the case, the Customs Officer will wait for the response from the PC and when the documents are provided they will be controlled. The Customs Officer will register the control results based on the documentary checks and if physical controls have been decided to be performed, the Customs Officer will register the control results based on both documentary and physical checks.</p> <p>The final situation of this process will be one of the following:</p> <ul style="list-style-type: none"> * No control has been performed. * The control results have been recorded. * In case a timer function is triggered, the time limit to decide to perform the relevant controls has expired and the control results are considered satisfactory.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data
Input Data	
Output Data	
Previous Objects	<p>Is Risk Determined?</p> <p>Is National Risk Analysis System to be Consulted?</p>

Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.620: Task Perform Controls by CCO

Event Time Limit to Decide to Perform Controls

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Time Limit to Decide to Perform Controls
Event Type	Timer intermediate event
Description	The timer for the completion of the decision to perform relevant controls has expired. The control result is deemed to be "Satisfactory".
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data
Previous Objects	Perform Controls by CCO

Table 5.621: Event Time Limit to Decide to Perform Controls

Task Identify If Controls at the CCO Have Been Performed

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Controls at the CCO Have Been Performed
Task Type	Service task
Description	The Proof of Union Status system will identify if the relevant control has been performed or not. Final situation: - The result is "No": no relevant control has been performed. - The result is "Yes": the relevant control has been performed.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data L4-PUS-01-01-Proof of Union Status in the Form of T2L or T2LF Data
Input Data	Decision on Performing Relevant Control Indication Proof Operation Information
Output Data	Decision on Performing Relevant Control Indication
Previous Objects	Perform Controls by CCO Perform Controls by CCO

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Information Exchange	
Requirements	PUS_FUR_0031
Data Set	
Events	
State	

Table 5.622: Task Identify If Controls at the CCO Have Been Performed

Task Record Expiry of Time Limit to Decide to Perform Controls at the CCO

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Expiry of Time Limit to Decide to Perform Controls at the CCO
Task Type	Service task
Description	<p>When the time limit to decide to perform the relevant control expired, this is recorded in the Proof of Union Status system - linked to the reference number. This implies that the relevant control is "A2 - Considered Satisfactory". The state of the endorsement request changes to "E-Waiting for Endorsement Decision".</p> <p>Final Situation: The expiry of the timer to decide to perform the relevant control is recorded. The state of the endorsement request changes to "E-Waiting for Endorsement Decision".</p>
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data L4-PUS-01-01-Proof of Union Status in the Form of T2L or T2LF Data
Input Data	Proof Operation Information Relevant Control Decision Completion Expiry Date
Output Data	Endorsement Request Relevant Control Decision Completion Expiry Date State of Endorsement/Registration Request
Previous Objects	Time Limit to Decide to Perform Controls
Information Exchange	
Requirements	PUS_FUR_0052 PUS_FUR_0006
Data Set	Endorsement Request

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Events	Time Limit to Decide to Perform Controls Expiration is Recorded
State	E-Waiting for Endorsement Decision

Table 5.623: Task Record Expiry of Time Limit to Decide to Perform Controls at the CCO

Task Identify Control Results at the CCO

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify Control Results at the CCO
Task Type	Service task
Description	<p>The Proof of Union system will identify whether the control result is “A1-Satisfactory” or “P2-Different goods” or “P3-Non-union goods”.</p> <p>If only documentary controls has been performed, the documentary control result will be checked else if both documentary and physical controls have been performed, the physical control result will be checked.</p> <p>Final situation: The control result has been identified.</p>
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data L4-PUS-01-01-Proof of Union Status in the Form of T2L or T2LF Data
Input Data	Control Result at Departure Proof Operation Information
Output Data	Control Result at Departure
Previous Objects	Controls Have Been Performed?
Information Exchange	
Requirements	PUS_FUR_0032
Data Set	
Events	
State	

Table 5.624: Task Identify Control Results at the CCO

Task Record Control Results at the CCO Considered Satisfactory

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Control Results at the CCO Considered Satisfactory
Task Type	Service task

Description	<p>When the time limit expiration to decide to perform the relevant control (PC) or the time limit expiration to perform the relevant control (AI) has been recorded, the data elements following the automatic control are automatically recorded in the Proof of Union Status system - linked to the reference number. The data elements are also automatically recorded in the system following the Customs Officer's manual decision not to perform controls.</p> <p>In all the above cases, the control result will automatically be set to 'A2 – Considered Satisfactory' for both documentary and physical controls (details regarding the decision to perform the relevant controls, documentary control details, decision to perform physical control and physical control details will automatically be filled including the following justification “Control results automatically considered satisfactory because of timer expiration/manual decision not to perform controls.”).</p> <p>The system also identifies if the request is an endorsement or registration request. If the request is a registration request, the system also keeps track of the proof acceptance date (Registration date).</p> <p>Final situation: The automatic control result is recorded.</p>
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data L4-PUS-01-01-Proof of Union Status in the Form of T2L or T2LF Data
Input Data	Request Type Control Result at Departure Proof Operation Information
Output Data	Control Result at Departure Registration date
Previous Objects	Record Expiry of Time Limit to Decide to Perform Controls at the CCO
Information Exchange	
Requirements	PUS_FUR_0006
Data Set	
Events	
State	

Table 5.625: Task Record Control Results at the CCO Considered Satisfactory

Task Identify if Request was Created by NMSW / National System Other than PoUS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Request was Created by NMSW / National System Other than PoUS

Task Type	Service task
Description	The Proof of Union Status system will identify if the proof was created by a NMSW / National System Other than PoUS or not. Final situation: Yes - The Proof was submitted from a NMSW/National System Other than PoUS. No - The Proof was submitted from the PC in the Central PoUS system.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof L4-PUS-02-01-02-Proof of Union Status in the Form of CGM Data - Perform Controls by CCO
Input Data	Proof Operation Information NMSW/National System Other than PoUS Request Indicator Member State
Output Data	Member State Result Check if Request is from NMSW/National System Other than PoUS
Previous Objects	Control Results is Satisfactory or Different Goods or Non-Union Goods?
Information Exchange	
Requirements	PUS_FUR_0037
Data Set	
Events	
State	

Table 5.626: Task Identify if Request was Created by NMSW / National System Other than PoUS

Task Notify NMSW / National System Other than PoUS of Negative Control Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify NMSW / National System Other than PoUS of Negative Control Results
Task Type	Send task
Description	When the result of the control at departure is "Non-union goods" or "Different goods", a response notification containing the LRN, the response indicator "Endorsement Rejected", and the "Control Result at Departure", is automatically sent to the NMSW/National System Other than PoUS in case the request originates from the NMSW/National System Other than the PoUS

	Final situation: The IE Endorsement response indicating 'Rejected' is sent to the NMSW/National System Other than PoUS and the process end with status “Rejected”.
Actor	Proof of Union Status System
Input Data	E_PAM_RES
Output Data	
Previous Objects	Does the Request come from NMSW / National System Other than PoUS?
Information Exchange	Amendment Response
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.627: Task Notify NMSW / National System Other than PoUS of Negative Control Results

Event Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Rejected
Event Type	End event
Description	The process ends here when the Endorsement Request is not endorsed and has been rejected.
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof L4-PUS-02-05-Proof of Union Status in the Form of CGM Data - Provide Information
Previous Objects	Notify NMSW / National System Other than PoUS of Negative Control Results

Table 5.628: Event Rejected

Event Negative Control Results Notification Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Negative Control Results Notification Received
Event Type	Message intermediate event (catch)
Description	The PC receives a notification of the negative control result concerning the

	amendment request, because the declared goods are non-union goods or different from the ones controlled.
Previous Objects	Notify Person Concerned of Negative Control Results Submit CGM Amendment Request

Table 5.629: Event Negative Control Results Notification Received

Task Handle Endorsement of Amendment - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Handle Endorsement of Amendment - CGM
Task Type	Call activity (collapsed)
Description	<p>When a timer for automatic processing of endorsement is introduced, this call activity is initiated.</p> <p>The Customs Officer has to decide if the endorsement request will be accepted or not. If the requested validity of the Proof is longer than the default value of 90 days, the Customs Officer will have to decide if the longer requested validity will be accepted or not. In this case the timer set to allow for the automatic endorsement is cancelled.</p> <p>The final situation of this process will be one of the following:</p> <ul style="list-style-type: none"> - The endorsement of the Proof has been confirmed; - The endorsement of the Proof has been rejected; - The time limit to handle the endorsement of the Proof has expired.
Actor	Proof of Union Status System
Input Data	
Output Data	
Previous Objects	Controls Have Been Performed? Control Results is Satisfactory or Different Goods or Non-Union Goods? Record Control Results at the CCO Considered Satisfactory
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.630: Task Handle Endorsement of Amendment - CGM

Task Notify Person Concerned of Negative Control Results

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Person Concerned of Negative Control Results
Task Type	Send task

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Description	When the result of the control at departure is “Non-union goods” or "Different goods", a response notification containing the LRN, the response indicator “Endorsement Rejected”, and the “Control Result at Departure”, is automatically sent to the PC. Final situation: The IE Endorsement/Registration response indicating 'Rejected' is sent to the PC and the process end with status “Rejected”.
Actor	Proof of Union Status System
Input Data	E_PAM_RES
Output Data	
Previous Objects	Does the Request come from NMSW / National System Other than PoUS? Does the Request come from NMSW / National System Other than PoUS?
Information Exchange	Amendment Response
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.631: Task Notify Person Concerned of Negative Control Results

Event Timer to Complete Amendment

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Timer to Complete Amendment
Event Type	Timer intermediate event
Description	The timer for handling the endorsement of the Proof by the Customs Officer has expired. The endorsement of the Proof is deemed to be confirmed and in case of a requested validity longer than 90 days, the "Requested Validity" will be automatically set to the default value of 90 days.
Previous Objects	Handle Endorsement of Amendment - CGM

Table 5.632: Event Timer to Complete Amendment

Task Identify If Amendment Endorsement Is Confirmed

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Amendment Endorsement Is Confirmed
Task Type	Service task
Description	The Proof of Union Status system will identify whether the endorsement

	<p>decision is “Endorsed” or “Endorsement Rejected”.If the endorsement request originates from a NMSW/National System Other than PoUS a rejection notification is sent to the NMSW/National System Other than PoUS.</p> <p>Final situation: Yes – The endorsement has been confirmed and the proof is to be registered. No – The endorsement has been rejected and a respective notification must be sent to the PC (or to the PC and the NMSW/National System Other than PoUS).</p>
Actor	Proof of Union Status System
Input Data	Endorsement/Registration Decision MRN
Output Data	State of Endorsement/Registration Request Result Check If Endorsement is Confirmed
Previous Objects	Handle Endorsement of Amendment - CGM
Information Exchange	
Requirements	PUS_FUR_0034
Data Set	
Events	
State	

Table 5.633: Task Identify If Amendment Endorsement Is Confirmed

Task Record Expiry of the Timer to Complete Endorsement - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Expiry of the Timer to Complete Endorsement - CGM
Task Type	Service task
Description	<p>When the time limit to complete the endorsement of a Proof expired, this is recorded in the Proof of Union Status System - linked to the reference number. This implies that the Proof is deemed to be confirmed.</p> <p>Final Situation: The expiry of the timer to complete the endorsement of a Proof is recorded in the Proof of Union Status System.</p>
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data
Input Data	Endorsement Completion Expiry Date Proof Operation Information for CGM

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Output Data	Endorsement Completion Expiry Date
Previous Objects	Timer to Complete Amendment
Information Exchange	
Requirements	PUS_FUR_0006 PUS_FUR_0023
Data Set	
Events	
State	

Table 5.634: Task Record Expiry of the Timer to Complete Endorsement - CGM

Event Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Rejected
Event Type	End event
Description	The process ends here when the Endorsement Request is not endorsed and has been rejected.
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof L4-PUS-02-05-Proof of Union Status in the Form of CGM Data - Provide Information
Previous Objects	Notify Person Concerned of Negative Control Results

Table 5.635: Event Rejected

Task Identify if Request was Created by NMSW / National System Other than PoUS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Request was Created by NMSW / National System Other than PoUS
Task Type	Service task
Description	The Proof of Union Status system will identify if the proof was created by a NMSW / National System Other than PoUS or not. Final situation: Yes - The Proof was submitted from a NMSW/National System Other than PoUS.

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	No - The Proof was submitted from the PC in the Central PoUS system.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof L4-PUS-02-01-02-Proof of Union Status in the Form of CGM Data - Perform Controls by CCO
Input Data	Proof Operation Information NMSW/National System Other than PoUS Request Indicator Member State
Output Data	Member State Result Check if Request is from NMSW/National System Other than PoUS
Previous Objects	Confirm Amendment?
Information Exchange	
Requirements	PUS_FUR_0037
Data Set	
Events	
State	

Table 5.636: Task Identify if Request was Created by NMSW / National System Other than PoUS

Event Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Rejected
Event Type	End event
Description	The process ends here when the Proof cannot be Amended
Appears also in the following processes	L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof
Previous Objects	Negative Control Results Notification Received

Table 5.637: Event Rejected

Task Record Endorsement Deemed to Be Confirmed

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Endorsement Deemed to Be Confirmed

Task Type	Service task
Description	<p>When the time limit expiration to complete the endorsement of a Proof has been recorded, the data elements following the automatic processing of endorsement are automatically recorded in the Proof of Union Status system - linked to the reference number.</p> <p>The endorsement decision will automatically be set to 'Yes' including the following explanation "Proof endorsement automatically deemed to be confirmed because of timer expiration." and the System keeps track of the "Endorsement Decision Date" and proof acceptance date (Registration date). If the requested validity is longer than 90 days, the "Requested Validity of the Proof" data element will be automatically set to the default value of 90 days.</p> <p>Final situation: The automatic endorsement details are recorded.</p>
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data L4-PUS-01-01-Proof of Union Status in the Form of T2L or T2LF Data
Input Data	Proof Operation Information Endorsement Requested Validity of the proof
Output Data	Registration date Requested Validity of the proof Endorsement
Previous Objects	Record Expiry of the Timer to Complete Endorsement - CGM
Information Exchange	
Requirements	PUS_FUR_0006
Data Set	
Events	
State	

Table 5.638: Task Record Endorsement Deemed to Be Confirmed

Task Notify NMSW / National System Other than PoUS of Rejection of Amendment Endorsement - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify NMSW / National System Other than PoUS of Rejection of Amendment Endorsement - CGM
Task Type	Send task

Description	When the endorsement was rejected, a response notification containing the LRN, the Response Indicator 'Endorsement Rejected', and the Endorsement Rejection Justification, is automatically sent to the NMSW/National System Other than PoUS in case the Proof originates from a NMSW/National System Other than PoUS. Final situation: The IE Endorsement/Registration Response indicating 'Rejected' is sent to the NMSW/National System Other than PoUS.
Actor	Proof of Union Status System
Input Data	Endorsement Decision MRN Control Result at Departure
Output Data	Response Information
Previous Objects	Does the Request come from NMSW / National System Other than PoUS?
Information Exchange	Amendment Response
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.639: Task Notify NMSW / National System Other than PoUS of Rejection of Amendment Endorsement - CGM

Task Store Proof of Union Status in the Form of CGM Data in Central Repository

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Store Proof of Union Status in the Form of CGM Data in Central Repository
Task Type	Call activity (collapsed)
Description	The process starts when the Proof is endorsed. The Proof of Union Status system sends the proof and all its details to the CR to make it centrally available to all Member States. The final situation of this process will be the following: The complete proof is recorded in the CR and is now centrally available.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data
Input Data	
Output Data	

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Previous Objects	Record Endorsement Deemed to Be Confirmed Confirm Amendment? Central Repository
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.640: Task Store Proof of Union Status in the Form of CGM Data in Central Repository

Task Notify Person Concerned of Rejection of Amendment Endorsement - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Person Concerned of Rejection of Amendment Endorsement - CGM
Task Type	Send task
Description	When the endorsement was rejected, a response notification containing the LRN, the Response Indicator 'Endorsement Rejected', and the Endorsement Rejection Justification, is automatically sent to the PC. Final situation: The IE Endorsement/Registration Response indicating 'Rejected' is sent to the PC.
Actor	Proof of Union Status System
Input Data	MRN Control Result at Departure Endorsement Decision
Output Data	Response Information
Previous Objects	Does the Request come from NMSW / National System Other than PoUS? Does the Request come from NMSW / National System Other than PoUS?
Information Exchange	Amendment Response
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.641: Task Notify Person Concerned of Rejection of Amendment Endorsement - CGM

Event Rejection of Amendment Notification Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Rejection of Amendment Notification Received
Event Type	Message intermediate event (catch)
Description	The PC receives a notification of the rejection of the amendment request.
Previous Objects	Notify Person Concerned of Rejection of Amendment Endorsement - CGM Submit CGM Amendment Request

Table 5.642: Event Rejection of Amendment Notification Received

Event Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Rejected
Event Type	End event
Description	The process ends here when the Endorsement Request is not endorsed and has been rejected.
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof L4-PUS-02-05-Proof of Union Status in the Form of CGM Data - Provide Information
Previous Objects	Notify NMSW / National System Other than PoUS of Rejection of Amendment Endorsement - CGM

Table 5.643: Event Rejected

Task Identify if Request was Created by NMSW / National System Other than PoUS

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Request was Created by NMSW / National System Other than PoUS
Task Type	Service task
Description	The Proof of Union Status system will identify if the proof was created by a NMSW / National System Other than PoUS or not. Final situation: Yes - The Proof was submitted from a NMSW/National System Other than PoUS. No - The Proof was submitted from the PC in the Central PoUS system.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof

	L4-PUS-02-01-02-Proof of Union Status in the Form of CGM Data - Perform Controls by CCO
Input Data	Proof Operation Information NMSW/National System Other than PoUS Request Indicator Member State
Output Data	Member State Result Check if Request is from NMSW/National System Other than PoUS
Previous Objects	Store Proof of Union Status in the Form of CGM Data in Central Repository
Information Exchange	
Requirements	PUS_FUR_0037
Data Set	
Events	
State	

Table 5.644: Task Identify if Request was Created by NMSW / National System Other than PoUS

Event Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Rejected
Event Type	End event
Description	The process ends here when the Endorsement Request is not endorsed and has been rejected.
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof L4-PUS-02-05-Proof of Union Status in the Form of CGM Data - Provide Information
Previous Objects	Notify Person Concerned of Rejection of Amendment Endorsement - CGM

Table 5.645: Event Rejected

Event Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Rejected
Event Type	End event

Description	The process ends here when the Proof cannot be Amended
Appears also in the following processes	L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof
Previous Objects	Rejection of Amendment Notification Received

Table 5.646: Event Rejected

Event Receive Amendment Response Notification

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receive Amendment Response Notification
Event Type	Message intermediate event (catch)
Description	The PC receives a notification of the amendment of the Proof.
Previous Objects	Notify Confirmation of Proof Amendment Endorsement - CGM Submit CGM Amendment Request

Table 5.647: Event Receive Amendment Response Notification

Task Notify Confirmation of Proof Amendment Endorsement - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Confirmation of Proof Amendment Endorsement - CGM
Task Type	Service task
Description	The MRN and the details of the proof are automatically sent to the Person Concerned in the Endorsement Response with Response Indicator 'Endorsed'. An option to get a printable SRD version is also included in the notification. Final situation: Endorsement Response with Response Indicator 'Endorsed' is sent to the Person Concerned.
Actor	Proof of Union Status System
Input Data	E_PAM_RES
Output Data	
Previous Objects	Does the Request come from NMSW / National System Other than PoUS? Does the Request come from NMSW / National System Other than PoUS?
Information Exchange	Amendment Response
Requirements	PUS_FUR_0005

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Data Set	
Events	
State	

Table 5.648: Task Notify Confirmation of Proof Amendment Endorsement - CGM

Task Notify NMSW / National System Other than PoUS about Confirmation of Proof - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify NMSW / National System Other than PoUS about Confirmation of Proof - CGM
Task Type	Send task
Description	The MRN and the details of the proof are automatically sent to the NMSW/National System Other than PoUS in the Endorsement Response with Response Indicator 'Endorsed' in case the Amendment request was initiated by a NMSW/National System Other than PoUS. Final situation: Endorsement Response with Response Indicator 'Endorsed' is sent to the NMSW/National System Other than PoUS.
Actor	Proof of Union Status System
Input Data	E_PAM_RES
Output Data	
Previous Objects	Does the Request come from NMSW / National System Other than PoUS?
Information Exchange	Amendment Response
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.649: Task Notify NMSW / National System Other than PoUS about Confirmation of Proof - CGM

Event Amendment Request Successfully Processed

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Amendment Request Successfully Processed
Event Type	End event
Description	This process ends when the Amendment Request was successfully processed.
Previous Objects	Receive Amendment Response Notification

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Table 5.650: Event Amendment Request Successfully Processed

Event Endorsement Request Successfully Processed

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Endorsement Request Successfully Processed
Event Type	End event
Description	This process ends when the Endorsement Request was successfully processed.
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data
Previous Objects	Notify NMSW / National System Other than PoUS about Confirmation of Proof - CGM Notify Confirmation of Proof Amendment Endorsement - CGM

Table 5.651: Event Endorsement Request Successfully Processed

5.28. Process L4-PUS-02-05-Proof of Union Status in the Form of CGM Data - Provide Information

5.28.1. Objective of Process

This L4 process ("Proof of Union Status in the form of CGM Data - Provide Information") will start when a request for information has been made by an EO or a Customs Officer and the updated CGM Proof information must be made available. The request may concern only the status or the full data of the CGM Proof. The CGM Proof information request will be validated and in case of validation errors the process will end, and the EO will be informed of the validation errors.

If the request concerns only the Status of the CGM Proof, no validation is performed regarding the access of the initiator to the CGM Proof and the Proof status will be disclosed to the Initiator.

If the request concerns the full data of the CGM Proof, the system will validate if the initiator has access to the CGM Proof. In case the initiator has access, the full data of the CGM Proof will be disclosed to the Initiator. Otherwise, a respective notification will be sent.

The final result of this process will be one of the following:

- The EO or Customs Officer receives the Status of the CGM Proof;
- The EO or Customs Officer receives the latest full information of the CGM Proof if he/she has access to the CGM Proof;
- The EO or Customs Officer receives a respective notification in case of non-access to the CGM Proof.

5.28.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-PUS-02-05
Full Name	Proof of Union Status in the Form of CGM Data - Provide Information
Assumptions	N/A

Constraints	No constraints.
L3 Reference Models	L3-PUS-01-03
Existing Specifications	

Table 5.652: Process Details

5.28.3. Tasks and Events

Event CGM Information Requested

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	CGM Information Requested
Event Type	Start event
Description	This process starts upon the submission of a CGM Endorsement request to the CCO, via an EU harmonized trader interface.
Previous Objects	

Table 5.653: Event CGM Information Requested

Task Submit a CGM Information Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Submit a CGM Information Request
Task Type	Send task
Description	This process starts when the PC submits a request to the CCO, via the EU Customs Trader Portal, requiring the latest information concerning a CGM Proof. The PC will indicate if the request concerns only the status or the full data of the CGM Proof. This request will need to be validated by the Proof of Union Status system Final situation: IE sent from the PC to the CCO.
Actor	Person Concerned
Input Data	E_INF_REQ
Output Data	
Previous Objects	CGM Information Requested
Information Exchange	Proof Information Request
Requirements	PUS_FUR_0057
Data Set	
Events	

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State	
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Table 5.654: Task Submit a CGM Information Request

Event CGM Proof Information Requested by Customs Officer

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	CGM Proof Information Requested by Customs Officer
Event Type	Start event
Description	This process starts upon the submission of a CGM Information Request to the CCO by the Customs Officer.
Previous Objects	

Table 5.655: Event CGM Proof Information Requested by Customs Officer

Task Register Proof Information Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Register Proof Information Request
Task Type	User task
Description	This process starts when the Customs Officer registers a request requiring the latest information concerning a Proof. The Customs Officer will indicate if the request concerns only the status or the full data of the Proof. Final situation: The Customs Officer registers the Proof information request to get the latest information of a proof from the CR.
Actor	Customs Officer
Appears also in the following processes	L4-PUS-01-04-Proof of Union Status in the Form of T2L or T2LF Data - Provide Information
Input Data	MRN
Output Data	Competent Customs Office Proof Information Request Operation Proof Reference
Previous Objects	CGM Proof Information Requested by Customs Officer
Information Exchange	
Requirements	PUS_FUR_0004
Data Set	
Events	

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Table 5.656: Task Register Proof Information Request

Event CGM Proof Information Request Validation Error Notification Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	CGM Proof Information Request Validation Error Notification Received
Event Type	Message intermediate event (catch)
Description	The PC receives a notification of the validation errors concerning the endorsement request.
Previous Objects	Notify Economic Operator about Validation Errors Submit a CGM Information Request

Table 5.657: Event CGM Proof Information Request Validation Error Notification Received

Event Person Concerned Notified of Validation Errors

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Person Concerned Notified of Validation Errors
Event Type	End event
Description	The process ends here when the Person Concerned has been notified of the validation errors occurring in the Endorsement Request.
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data
Previous Objects	CGM Proof Information Request Validation Error Notification Received

Table 5.658: Event Person Concerned Notified of Validation Errors

Event CGM Proof Information Request Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	CGM Proof Information Request Received
Event Type	Message start event
Description	Process is initiated when the Proof of Union Status system automatically receives a CGM Information Request from an EO.
Previous Objects	Submit a CGM Information Request

Table 5.659: Event CGM Proof Information Request Received

Task Notify Economic Operator about Validation Errors

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Notify Economic Operator about Validation Errors
Task Type	Send task
Description	When the Proof information request has proven to be invalid, a validation error notification is automatically sent to the EO/PC containing more details on the validation errors. The process will end here. Final situation: IEP31 – Validation Errors on Proof Information Request Notification is sent to the EO/PC, and the process ends.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-01-04-Proof of Union Status in the Form of T2L or T2LF Data - Provide Information
Input Data	Request Rejection Date Functional Error Proof Reference
Output Data	
Previous Objects	Is Validation Result Positive?
Information Exchange	Validation Errors on Proof Information Request Notification
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.660: Task Notify Economic Operator about Validation Errors

Task Perform Validation of CGM Proof Information Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Perform Validation of CGM Proof Information Request
Task Type	Service task
Description	When a request for information is received, it must be technically validated. The system will verify the data from the request. Syntactic checks should include, at minimum: - Data compliance to its associated Rules & Conditions. When the system validates the information request as positive, the process will continue. When the system validates the CGM proof information request as negative, the system will send a notification to the PC with the details of the validation errors. Final situation:

	<ul style="list-style-type: none"> - The result of the CGM Proof Information Request is positive (the request is valid) and the process continues. - The result of the CGM Proof Information Request is negative (contains validation errors), the PC receives a notification and the process will end.
Actor	Proof of Union Status System
Input Data	
Output Data	Proof Information Request Validation Result E_INF_REQ
Previous Objects	CGM Proof Information Request Received
Information Exchange	Proof Information Request
Requirements	PUS_FUR_0003 PUS_FUR_0002 PUS_FUR_0001
Data Set	
Events	
State	

Table 5.661: Task Perform Validation of CGM Proof Information Request

Event Economic Operator Notified of Validation Errors

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Economic Operator Notified of Validation Errors
Event Type	End event
Description	The process ends here when the EO/PC has been notified of the validation errors occurring in the Information Request.
Appears also in the following processes	L4-PUS-01-04-Proof of Union Status in the Form of T2L or T2LF Data - Provide Information
Previous Objects	Notify Economic Operator about Validation Errors

Table 5.662: Event Economic Operator Notified of Validation Errors

Task Record CGM Proof Information Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record CGM Proof Information Request
Task Type	Service task
Description	When the CGM Proof information request is valid, the data elements

	following this are automatically recorded in the Proof of Union Status system - linked to the reference number.
Actor	Proof of Union Status System
Input Data	E_INF_REQ MRN
Output Data	E_INF_REQ
Previous Objects	Is Validation Result Positive?
Information Exchange	
Requirements	PUS_FUR_0006
Data Set	
Events	
State	

Table 5.663: Task Record CGM Proof Information Request

Task Record Proof Information Request

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Proof Information Request
Task Type	Service task
Description	After the Customs Officer registers the Proof information request, the data elements following this registration are automatically recorded in the Proof of Union Status system - linked to the reference number.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-01-04-Proof of Union Status in the Form of T2L or T2LF Data - Provide Information
Input Data	Competent Customs Office Proof Information Request Operation Proof Reference
Output Data	Proof Information Request Operation Competent Customs Office Proof Reference
Previous Objects	Register Proof Information Request
Information Exchange	
Requirements	PUS_FUR_0006

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Data Set	
Events	
State	

Table 5.664: Task Record Proof Information Request

Task Retrieve Proof of Union Status in the Form of CGM Data from Central Repository.

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Retrieve Proof of Union Status in the Form of CGM Data from Central Repository.
Task Type	Call activity (collapsed)
Description	The process starts when a CGM Proof information request has been received by the CCO in order to retrieve CGM data from the CR. The Proof of Union Status system launches a query to the CR in order to retrieve all the details of a proof for a given MRN. The final situation of this process will be the following: The proof is retrieved from the CR and all its details are available.
Actor	Proof of Union Status System
Input Data	
Output Data	
Previous Objects	Record CGM Proof Information Request Record Proof Information Request Central Repository
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.665: Task Retrieve Proof of Union Status in the Form of CGM Data from Central Repository.

Task Identify If Full Data of the CGM Proof is Requested

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If Full Data of the CGM Proof is Requested
Task Type	Service task
Description	When the Response to Proof Query from the CR has been received by the Proof of Union Status system, the system will automatically check if the request concerns the full data of the CGM Proof or just the Status. Final situation:

	Yes - The request concerns the full data of the retrieved CGM Proof. No - The request concerns only the Status of the retrieved CGM Proof.
Actor	Proof of Union Status System
Input Data	MRN Retrieve full data indication C_REM_RPQ
Output Data	Result Check If Full Data is Requested
Previous Objects	Retrieve Proof of Union Status in the Form of CGM Data from Central Repository.
Information Exchange	
Requirements	PUS_FUR_0058
Data Set	
Events	
State	

Table 5.666: Task Identify If Full Data of the CGM Proof is Requested

Task Identify if Request Comes from a Customs Office

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Request Comes from a Customs Office
Task Type	Service task
Description	When the Response to Proof Query from the CR has been received by the Proof of Union Status system, the system will automatically check if the CGM Proof information request comes from a PC or a Customs Officer. Final situation: Yes - The request was made by a Customs Officer. No – The request was made by a PC.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-05-Proof of Union Status in the Form of CGM Data - Provide Information
Input Data	Competent Customs Office MRN Person requesting the latest proof details identification No.
Output Data	Result Check If Proof Information Request is Initiated by a Customs Office
Previous Objects	Is Full Data to be Provided?

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Information Exchange	
Requirements	PUS_FUR_0059
Data Set	
Events	
State	

Table 5.667: Task Identify if Request Comes from a Customs Office

Task Acknowledge CGM Proof Status

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Acknowledge CGM Proof Status
Task Type	User task
Description	The Proof of Union Status system will present to the Customs Officer the Status of the retrieved CGM Proof. Final situation: The Customs Officer acknowledges the Status of the retrieved CGM Proof.
Actor	Customs Officer
Input Data	MRN Query Response Information
Output Data	Query Response Information MRN
Previous Objects	Request is from a Customs Office
Information Exchange	
Requirements	PUS_FUR_0012
Data Set	
Events	
State	

Table 5.668: Task Acknowledge CGM Proof Status

Event Proof Information Notification Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof Information Notification Received
Event Type	Message intermediate event (catch)

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Description	The PC receives a notification on the presentation of the Information of the Proof.
Previous Objects	Notify Economic Operator of the CGM Proof Status Submit a CGM Information Request

Table 5.669: Event Proof Information Notification Received

Event CGM Proof Information Presented

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	CGM Proof Information Presented
Event Type	End event
Description	This process ends when the requested Proof Information Request was successfully presented.
Appears also in the following processes	L4-PUS-02-05-Proof of Union Status in the Form of CGM Data - Provide Information
Previous Objects	Acknowledge CGM Proof Status

Table 5.670: Event CGM Proof Information Presented

Task Identify If CGM data are Valid to be Provided

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify If CGM data are Valid to be Provided
Task Type	Service task
Description	<p>When the Response to Proof Query from the CR has been received by the Proof of Union Status system, the system will automatically check if the PC or the Customs Officer who submitted the request is indicated in CGM proof data.</p> <p>A PC has access if he/she is indicated in the CGM Proof data as "Person Presenting The Goods to Customs" or "Person Lodging the Customs Goods Manifest" or "Representative".</p> <p>A Customs Officer has access if the CCO is indicated in the CGM Proof data.</p> <p>Final situation: Yes - The initiator has access to the Proof data, so the data can be provided. No - The initiator has no access to the Proof data, so the data cannot be provided.</p>
Actor	Proof of Union Status System
Input Data	MRN Competent Customs Office Person requesting the latest proof details identification No. C REM RPQ

Output Data	Result Check If User has Access to a Proof
Previous Objects	Is Full Data to be Provided?
Information Exchange	
Requirements	PUS_FUR_0048
Data Set	
Events	
State	

Table 5.671: Task Identify If CGM data are Valid to be Provided

Task Notify Economic Operator of the CGM Proof Status

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Economic Operator of the CGM Proof Status
Task Type	Send task
Description	When the Proof information request was initiated by a PC, a notification IE is automatically sent to the PC to notify him about the Status of the CGM Proof. Final situation: A response to CGM Proof Information Request is sent to the PC and the process ends.
Actor	Proof of Union Status System
Input Data	E_INF_RES
Output Data	
Previous Objects	Request is from a Customs Office
Information Exchange	Response to T2L/T2LF Proof Information Request
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.672: Task Notify Economic Operator of the CGM Proof Status

Event CGM Proof Information Presented

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	CGM Proof Information Presented
Event Type	End event
Description	This process ends when the requested Proof Information Request was successfully presented.
Appears also in the following processes	L4-PUS-02-05-Proof of Union Status in the Form of CGM Data - Provide Information
Previous Objects	Proof Information Notification Received

Table 5.673: Event CGM Proof Information Presented

Event CGM Proof Information Presented

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	CGM Proof Information Presented
Event Type	End event
Description	This process ends when the requested Proof Information Request was successfully presented.
Appears also in the following processes	L4-PUS-02-05-Proof of Union Status in the Form of CGM Data - Provide Information
Previous Objects	Notify Economic Operator of the CGM Proof Status

Table 5.674: Event CGM Proof Information Presented

Event Proof Information Request Validation Error Notification Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Proof Information Request Validation Error Notification Received
Event Type	Message intermediate event (catch)
Description	The PC receives a notification of the negative Information Request, because the Proof Information is not valid to be provided.
Previous Objects	Notify Economic Operator that CGM data are not Valid to be Provided Submit a CGM Information Request

Table 5.675: Event Proof Information Request Validation Error Notification Received

Task Notify Economic Operator that CGM data are not Valid to be Provided

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Economic Operator that CGM data are not Valid to be Provided

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Task Type	Send task
Description	<p>When the CGM Proof data are not valid to be provided and the Proof information request was initiated by an EO, a notification IE is automatically sent to the EO to notify him of the errors occurring. This IE will contain details of the error, which is related to the EO who submits the request mismatch against the user indicated in the CGM Proof data as "Person Presenting The Goods to Customs" or "Person Lodging the Customs Goods Manifest" or "Representative".</p> <p>Final situation: A response to CGM Proof Information Request is sent to the EO, and the process end with status "Rejected".</p>
Actor	Proof of Union Status System
Input Data	E_INM_RES
Output Data	
Previous Objects	Is Proof Valid to be Provided?
Information Exchange	Response to Proof Information Request-CGM
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.676: Task Notify Economic Operator that CGM data are not Valid to be Provided

Task Identify if Request Comes from a Customs Office

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Request Comes from a Customs Office
Task Type	Service task
Description	<p>When the Response to Proof Query from the CR has been received by the Proof of Union Status system, the system will automatically check if the CGM Proof information request comes from a PC or a Customs Officer.</p> <p>Final situation: Yes - The request was made by a Customs Officer. No – The request was made by a PC.</p>
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-05-Proof of Union Status in the Form of CGM Data - Provide Information
Input Data	Competent Customs Office

	MRN Person requesting the latest proof details identification No.
Output Data	Result Check If Proof Information Request is Initiated by a Customs Office
Previous Objects	Notify Economic Operator that CGM data are not Valid to be Provided
Information Exchange	
Requirements	PUS_FUR_0059
Data Set	
Events	
State	

Table 5.677: Task Identify if Request Comes from a Customs Office

Event Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Rejected
Event Type	End event
Description	The process ends here when the Endorsement Request is not endorsed and has been rejected.
Appears also in the following processes	L4-PUS-02-01-Proof of Union Status in the Form of CGM Data L4-PUS-02-04-Proof of Union Status in the Form of CGM Data - Amend Proof
Previous Objects	Proof Information Request Validation Error Notification Received

Table 5.678: Event Rejected

Task Acknowledge Proof Information is not Valid to be Provided

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Acknowledge Proof Information is not Valid to be Provided
Task Type	User task
Description	The Proof of Union Status system will inform the Customs Officer that the proof information is not valid to be provided, because the respective Customs Office is not indicated in the proof data. Final situation: The Customs Officer acknowledges that the proof information is not valid to be provided. The process will end with a status "Rejected".
Actor	Customs Officer

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Appears also in the following processes	L4-PUS-01-04-Proof of Union Status in the Form of T2L or T2LF Data - Provide Information
Input Data	Result Check If User has Access to a Proof MRN Proof Status
Output Data	MRN Proof Status Result Check If User has Access to a Proof
Previous Objects	Request is from a Customs Office
Information Exchange	
Requirements	PUS_FUR_0012
Data Set	
Events	
State	

Table 5.679: Task Acknowledge Proof Information is not Valid to be Provided

Event Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Rejected
Event Type	End event
Description	The process ends here when Proof Information is not valid to be provided and Information Request is rejected.
Appears also in the following processes	L4-PUS-02-01-03-Handle Endorsement of a Proof in the Form of CGM Data L4-PUS-01-04-Proof of Union Status in the Form of T2L or T2LF Data - Provide Information L4-PUS-02-05-Proof of Union Status in the Form of CGM Data - Provide Information
Previous Objects	Acknowledge Proof Information is not Valid to be Provided

Table 5.680: Event Rejected

Event Rejected

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Rejected

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Event Type	End event
Description	The process ends here when Proof Information is not valid to be provided and Information Request is rejected.
Appears also in the following processes	L4-PUS-02-01-03-Handle Endorsement of a Proof in the Form of CGM Data L4-PUS-01-04-Proof of Union Status in the Form of T2L or T2LF Data - Provide Information L4-PUS-02-05-Proof of Union Status in the Form of CGM Data - Provide Information
Previous Objects	Request is from a Customs Office

Table 5.681: Event Rejected

Event CGM Proof Information Notification Received

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	CGM Proof Information Notification Received
Event Type	Message intermediate event (catch)
Description	The PC receives a notification on the presentation of the Information of the Proof.
Previous Objects	Notify Economic Operator of CGM Proof Information Submit a CGM Information Request

Table 5.682: Event CGM Proof Information Notification Received

Task Notify Economic Operator of CGM Proof Information

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Economic Operator of CGM Proof Information
Task Type	Send task
Description	When the CGM Proof data are valid to be provided and the Proof information request was initiated by a PC, a notification IE is automatically sent to the PC to notify him of the full data with the latest details of the Proof as they were retrieved from the CR. Final situation: A response to CGM Proof Information Request is sent, and the process ends.
Actor	Proof of Union Status System
Input Data	E_INM_RES

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Output Data	
Previous Objects	Is Proof Valid to be Provided?
Information Exchange	Response to Proof Information Request-CGM
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.683: Task Notify Economic Operator of CGM Proof Information

Task Identify if Request Comes from a Customs Office

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Request Comes from a Customs Office
Task Type	Service task
Description	When the Response to Proof Query from the CR has been received by the Proof of Union Status system, the system will automatically check if the CGM Proof information request comes from a PC or a Customs Officer. Final situation: Yes - The request was made by a Customs Officer. No – The request was made by a PC.
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-02-05-Proof of Union Status in the Form of CGM Data - Provide Information
Input Data	Competent Customs Office MRN Person requesting the latest proof details identification No.
Output Data	Result Check If Proof Information Request is Initiated by a Customs Office
Previous Objects	Notify Economic Operator of CGM Proof Information
Information Exchange	
Requirements	PUS_FUR_0059
Data Set	
Events	
State	

Table 5.684: Task Identify if Request Comes from a Customs Office

Task Acknowledge Proof Information - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Acknowledge Proof Information - CGM
Task Type	User task
Description	The Proof of Union Status system will provide to the Customs Officer the full data with the latest details of the Proof as they were retrieved from the CR because the respective Customs Office is indicated in the CGM proof data Final situation: The Customs Officer acknowledges the full data with the latest proof information as they were retrieved from the CR. The process will end.
Actor	Customs Officer
Input Data	CGM Proof Information Response Full Data MRN
Output Data	CGM Proof Information Response Full Data MRN
Previous Objects	Request is from a Customs Office?
Information Exchange	
Requirements	PUS_FUR_0012
Data Set	
Events	
State	

Table 5.685: Task Acknowledge Proof Information - CGM

Event CGM Proof Information Presented

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	CGM Proof Information Presented
Event Type	End event
Description	This process ends when the requested Proof Information Request was successfully presented.
Appears also in the following processes	L4-PUS-02-05-Proof of Union Status in the Form of CGM Data - Provide Information
Previous Objects	CGM Proof Information Notification Received

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Table 5.686: Event CGM Proof Information Presented

Event CGM Proof Information Presented

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	CGM Proof Information Presented
Event Type	End event
Description	This process ends when the requested Proof Information Request was successfully presented.
Appears also in the following processes	L4-PUS-02-05-Proof of Union Status in the Form of CGM Data - Provide Information
Previous Objects	Acknowledge Proof Information - CGM

Table 5.687: Event CGM Proof Information Presented

Event CGM Proof Information Presented

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	CGM Proof Information Presented
Event Type	End event
Description	This process ends when the requested Proof Information Request was successfully presented.
Appears also in the following processes	L4-PUS-02-05-Proof of Union Status in the Form of CGM Data - Provide Information
Previous Objects	Request is from a Customs Office?

Table 5.688: Event CGM Proof Information Presented

5.29. Process L4-PUS-02-06-Proof of Union Status in the Form of CGM Data - Archive Proof

5.29.1. Objective of Process

This L4 process is an automatic administrative process to archive the Proofs for which the validity time has expired but have not yet been presented at a PCO. This process is scheduled to be initiated on a daily basis. The Proof of Union Status system identifies the Proofs that have expired and for each of them, updates their information in the CR in order to mark them as archived.

Finally, the respective PC is notified about the archiving of each Proof and in case the Proof was created by a National PoUS system, the National PoUS system is also notified.

5.29.2. Process Details

PROCESS ATTRIBUTE NAME	PROCESS ATTRIBUTE VALUE
Process ID	L4-PUS-02-06
Full Name	Proof of Union Status in the Form of CGM Data - Archive Proof
Assumptions	N/A
Constraints	No constraints.
L3 Reference Models	L3-PUS-01-05
Existing Specifications	

Table 5.689: Process Details

5.29.3. Tasks and Events

Event Automatic Daily Initiation to Check the Proofs that have Expired without any Use of them

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Automatic Daily Initiation to Check the Proofs that have Expired without any Use of them
Event Type	Timer start event
Description	Process is initiated periodically at a specific time so as to check for Proofs that have an expiration of validity time.
Appears also in the following processes	L4-PUS-01-05-Proof of Union Status in the Form of T2L or T2LF Data - Expire Proof
Previous Objects	

Table 5.690: Event Automatic Daily Initiation to Check the Proofs that have Expired without any Use of them

Task Issue Expiration Reference Number

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Issue Expiration Reference Number
Task Type	Service task
Description	<p>Before retrieving the Proofs that have to expire, the Proof of Union Status system will automatically generate a unique reference number that will be linked to the expiration process instance. The number will start with the expiration date followed by a sequence number. This reference number will be the unique identifier during the whole process of Proof archiving.</p> <p>Final situation: Unique reference number is generated and linked to the expiration process.</p>
Actor	Proof of Union Status System

Appears also in the following processes	L4-PUS-01-05-Proof of Union Status in the Form of T2L or T2LF Data - Expire Proof
Input Data	Proof Expiration Date
Output Data	Proof Expiration Reference Number
Previous Objects	Automatic Daily Initiation to Check the Proofs that have Expired without any Use of them
Information Exchange	
Requirements	PUS_FUR_0055
Data Set	
Events	
State	

Table 5.691: Task Issue Expiration Reference Number

Task Retrieve Proofs for Expiration

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Retrieve Proofs for Expiration
Task Type	Service task
Description	<p>When the process is initiated, the system launches a query to the CR in order to retrieve the proofs for which the "Validity end date" is equal to the current date. A Proof can be expired if the "Validity end date" has expired and the Proof has not yet been presented at a PCO.</p> <p>Final situation: The Proof of Union Status system launches a Proof query to the CR in order to retrieve the proofs that are to expire.</p>
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-01-05-Proof of Union Status in the Form of T2L or T2LF Data - Expire Proof
Input Data	Proof Expiration Date Proof Expiration Reference Number
Output Data	
Previous Objects	Issue Expiration Reference Number
Information Exchange	Proof Query for Expiration

Requirements	PUS_FUR_0011 PUS_FUR_0042
Data Set	
Events	
State	

Table 5.692: Task Retrieve Proofs for Expiration

Event Receipt of Response to Proof Query for Expiration

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receipt of Response to Proof Query for Expiration
Event Type	Message intermediate event (catch)
Description	This event indicates that the Proof of Union Status system receives the Response to Proof Query for expiration from the CR, based on the date provided in the Proof Query for expiration.
Appears also in the following processes	L4-PUS-01-05-Proof of Union Status in the Form of T2L or T2LF Data - Expire Proof
Previous Objects	Retrieve Proofs for Expiration Central Repository

Table 5.693: Event Receipt of Response to Proof Query for Expiration

Task Record Response to Proof Query for Archiving - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Response to Proof Query for Archiving - CGM
Task Type	Service task
Description	When the "Response to Proof Query for Archiving - CGM" IE is received, the data elements are automatically recorded in the Proof of Union Status system - linked to the reference number. The system identifies if Proofs for archiving have been retrieved. By default all the returned Proofs that are to be archived have the "Archived Indication" = "No".
Actor	Proof of Union Status System
Input Data	Proof Expiration Reference Number
Output Data	C_MAR_RPQ Result Check If Proof Proofs for Expiration Have Been Retrieved
Previous Objects	Receipt of Response to Proof Query for Expiration

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Information Exchange	Response to Proof Query for Archiving-CGM
Requirements	PUS_FUR_0006 PUS_FUR_0043 PUS_FUR_0011
Data Set	
Events	
State	

Table 5.694: Task Record Response to Proof Query for Archiving - CGM

Event No Proofs for expiration.

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	No Proofs for expiration.
Event Type	End event
Description	The process ends here when there are no Proofs for expiration.
Appears also in the following processes	L4-PUS-01-05-Proof of Union Status in the Form of T2L or T2LF Data - Expire Proof
Previous Objects	Has any Proof for Expiration Been Retrieved?

Table 5.695: Event No Proofs for expiration.

Task Select Proof for Expiration

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Select Proof for Expiration
Task Type	Service task
Description	When the system has identified the Proofs that are to expire, the system automatically records the "Proof Expiration Date" and selects the first proof that has not been set as expired (Expire Indication = "No"). For this specific proof the state of the T2L/T2LF Proof changes to "E-Expired". Then the process continues with the expiration of the selected proof in the CR. Final situation: The Proof of Union Status system records the "Proof Expiration Date" and selects the proof to expire. The state of the selected T2L/T2LF Proof changes to "E-Expired"
Actor	Proof of Union Status System
Appears also in the following processes	L4-PUS-01-05-Proof of Union Status in the Form of T2L or T2LF Data - Expire Proof

Input Data	Proof Expiration Date Proof Expiration Reference Number Proofs to Be Expired
Output Data	State of T2L/T2LF Proof Proof Expiration Date Proof for Expiration T2L/T2LF Proof
Previous Objects	Has any Proof for Expiration Been Retrieved? Have All Proofs Expired?
Information Exchange	
Requirements	PUS_FUR_0006
Data Set	T2L/T2LF Proof
Events	Proof Expiration is Recorded
State	E-Expired

Table 5.696: Task Select Proof for Expiration

Task Store Archiving of Proof CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Store Archiving of Proof CGM
Task Type	Send task
Description	<p>When the Proof of Union Status system has selected the proof that is to be archived, the system sends the archiving details of a Proof to the CR and waits for a reply/confirmation that the archiving of the Proof has been successfully stored. All information related to the archiving of the Proof of Union status are included in the update of the Proof of Union status assigned to the MRN. The status of the Proof in the CR is set to “Proof Archived”.</p> <p>Final situation: The updated proof is recorded in the CR and is now centrally available.</p>
Actor	Proof of Union Status System
Input Data	Proof Expiration Reference Number Store Archiving of Proof Operation Information CGM Proof Expiration Date
Output Data	C_PU□_ARC State of CGM Archiving Notification

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Previous Objects	Select Proof for Expiration
Information Exchange	Register Archiving of Proof CGM
Requirements	PUS_FUR_0011
Data Set	
Events	
State	

Table 5.697: Task Store Archiving of Proof CGM

Event Receipt of Notification for the Registration of the Expiration of Proof

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	Receipt of Notification for the Registration of the Expiration of Proof
Event Type	Message intermediate event (catch)
Description	PoUS receives a notification about the registration of Proof Expiration.
Appears also in the following processes	L4-PUS-01-05-Proof of Union Status in the Form of T2L or T2LF Data - Expire Proof
Previous Objects	Central Repository Store Archiving of Proof CGM

Table 5.698: Event Receipt of Notification for the Registration of the Expiration of Proof

Task Record Notification for the Registration of Archiving - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Notification for the Registration of Archiving - CGM
Task Type	Service task
Description	When the confirmation from the CR, that the proof assigned to the given MRN has been successfully archived is received, the data elements following this are automatically recorded in the Proof of Union Status system - linked to the reference number.
Actor	Proof of Union Status System
Input Data	Proof Expiration Reference Number
Output Data	C_PUS_ARR
Previous Objects	Receipt of Notification for the Registration of the Expiration of Proof

Information Exchange	Notification for the Registration of Expiration
Requirements	PUS_FUR_0006
Data Set	
Events	
State	

Table 5.699: Task Record Notification for the Registration of Archiving - CGM

Task Record Proof Archiving Details

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Record Proof Archiving Details
Task Type	Service task
Description	When the Proof has been archived in the CR, the system automatically set the respective "Archived Indication" to "Yes". Final situation: The Proof of Union Status system updates the Archived Indication for a specific MRN.
Actor	Proof of Union Status System
Input Data	Proof for Expiration Proof Expiration Reference Number
Output Data	Proof for Expiration
Previous Objects	Record Notification for the Registration of Archiving - CGM
Information Exchange	
Requirements	PUS_FUR_0006
Data Set	
Events	
State	

Table 5.700: Task Record Proof Archiving Details

Task Identify if Archived Proof was Created by National PoUS System

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Identify if Archived Proof was Created by National PoUS System

Task Type	Service task
Description	<p>When the archiving of the Proof has been recorded, the Proof of Union Status system will identify if the proof was created by a National PoUS system or not. If the "National System Request Indication" of the Proof (as retrieved from the CR) is "Yes", the Proof was created by a National PoUS system.</p> <p>Additionally, in case the Proof was created by a National PoUS system, the respective Member State will be identified from the "Competent Customs Office", that was responsible for the creation of the Proof.</p> <p>Final situation: Yes – The Proof was created by a National PoUS system. No – The Proof was created by the Central PoUS system.</p>
Actor	Proof of Union Status System
Input Data	Proof Expiration Reference Number MRN Competent Customs Office
Output Data	Member State Result Check if Proof was Created by National PoUS System
Previous Objects	Record Proof Archiving Details
Information Exchange	
Requirements	
Data Set	
Events	
State	

Table 5.701: Task Identify if Archived Proof was Created by National PoUS System

Task Notify Person Concerned about Archiving of Proof - CGM

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify Person Concerned about Archiving of Proof - CGM
Task Type	Send task
Description	<p>The MRN of the proof that has been archived and the archiving details are automatically sent to the PC in the Proof Archiving Notification.</p> <p>Final situation: A CGM Proof Archiving Notification is sent to the PC. Simultaneously a notification is sent to the respective National PoUS system, in case the Proof was created by a National PoUS system.</p>
Actor	Proof of Union Status System
Input Data	E_PAR_NOT

	Proof Expiration Reference Number
Output Data	
Previous Objects	Record Proof Archiving Details
Information Exchange	Proof Expiration Notification
Requirements	PUS_FUR_0005
Data Set	
Events	
State	

Table 5.702: Task Notify Person Concerned about Archiving of Proof - CGM

Task Notify National PoUS System about Proof Archiving

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
Task Name	Notify National PoUS System about Proof Archiving
Task Type	Send task
Description	When the Proof was created by a National PoUS system, a notification will automatically be sent to the National PoUS system to notify it of the archive of the Proof. Simultaneously a notification is sent to the PC.
Actor	Proof of Union Status System
Input Data	C_PAR_NAN
Output Data	
Previous Objects	Proof was Created in a National PoUS System?
Information Exchange	Notification of National PoUS System on Proof Expiration
Requirements	PUS_FUR_0036
Data Set	
Events	
State	

Table 5.703: Task Notify National PoUS System about Proof Archiving

Task Identify if All Proofs Have Been Archived

TASK ATTRIBUTE NAME	TASK ATTRIBUTE VALUE
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Task Name	Identify if All Proofs Have Been Archived
Task Type	Service task
Description	<p>When all the involved parts have been notified about the archiving of a Proof, the system will identify if all retrieved Proofs have been archived. If more Proofs need to be archived, the system will handle the archiving of the next Proof. If all retrieved Proofs have been archived the process will end.</p> <p>Final situation:</p> <ul style="list-style-type: none"> - Yes: All Proofs have been archived and the process ends; - No: All Proofs haven't been archived and the process will continue with the archiving of the next Proof.
Actor	Proof of Union Status System
Input Data	<p>Proofs to Be Expired</p> <p>Proof Expiration Reference Number</p>
Output Data	<p>Proofs to Be Expired</p> <p>Result Check If All Proof Proofs Have Been Expired</p>
Previous Objects	<p>Proof was Created in a National PoUS System?</p> <p>Notify National PoUS System about Proof Archiving</p> <p>Notify Person Concerned about Archiving of Proof - CGM</p>
Information Exchange	
Requirements	PUS_FUR_0049
Data Set	
Events	
State	

Table 5.704: Task Identify if All Proofs Have Been Archived

Event All Proofs are Expired

EVENT ATTRIBUTE NAME	EVENT ATTRIBUTE VALUE
Event Name	All Proofs are Expired
Event Type	End event
Description	The process ends here when all Proofs are Expired.
Appears also in the following processes	L4-PUS-01-05-Proof of Union Status in the Form of T2L or T2LF Data - Expire Proof
Previous Objects	Have All Proofs Expired?

Table 5.705: Event All Proofs are Expired

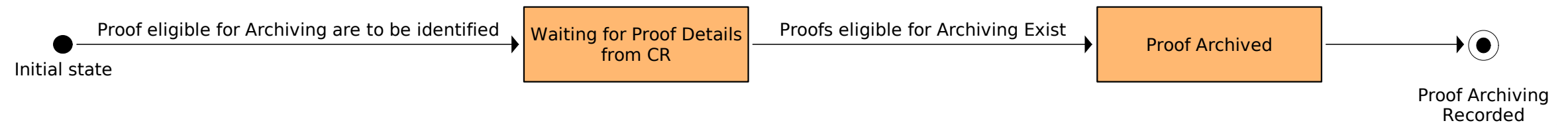
6. STATE TRANSITION DIAGRAMS

6.1. CGM Archive Proof Lifecycle

Model Description: The State Transition Diagram depicts the states of the Proof Archiving Process.

When the Archiving process is initiated the and proofs that are eligible for archiving are identified the state is set to "Waiting for Proof Details from CR"

Once the details are retrieved the state of the Proof becomes "Proof Archived" and the state is recorded as it is the final state.



6.4. T2L/T2LF Endorsement Request Lifecycle

Model Description: The State Transition Diagram depicts the states of the Endorsement Request submitted to the Competent Customs Office.

When an Endorsement Request from a Person Concerned is submitted and it is valid, the state of the request is set to "E-Waiting RA Results", in case a National Risk Analysis system is consulted or "E-Waiting Control Decision", in case no National Risk Analysis system is consulted.

While the Endorsement Request is at the "E-Waiting RA Results" state and the National Risk Analysis Result is recorded or the time limit to receive the risk analysis result has expired, the state of the request is set to "E-Waiting Control Decision".

While the Endorsement Request is at the "E-Waiting Control Decision" state, the following events can happen:

- The CO decides to perform the relevant controls, selects the level of control (only documentary control or both documentary and physical control) and once the decision is recorded, the state of the Endorsement Request is set to "E-Waiting for Documentary Control Result";

- The CO decides not to perform the relevant controls and once the decision is recorded, the state of the Endorsement Request is set to "E-Waiting for Endorsement Decision";

- When a National Risk Analysis system is consulted, no risk is identified, a time limit to decide whether to perform control is configured and has expired, the state of the Endorsement Request is set to "E-Waiting for Endorsement Decision".

While the Endorsement Request is at the "E-Waiting for Documentary Control Result" state, the following events can happen:

- The CO decides to request supplementary documents from the PC and once the decision is recorded, the state of the Endorsement Request is set to "E-Waiting for Supplementary Documents";

- The CO registers the documentary control and may update the level of control. The documentary control result "P2- Different goods" or "P3-Non-union goods" and the decision not to perform physical control are recorded, the state of the Endorsement Request is set to "E-Rejected". This is a final state;

- The CO registers the documentary control and may update the level of control. The documentary control result "A1- Satisfactory" and the decision not to perform physical control are recorded, the state of the Endorsement Request is set to "E-Waiting for Endorsement Decision";

- The CO registers the documentary control and may update the level of control. The documentary control result and the decision to perform physical control are recorded, the state of the Endorsement Request is set to "E-Waiting for Physical Control Result".

While the Endorsement Request is at the "E-Waiting for Supplementary Documents" state, the following events can happen:

- The PC provides the requested supplementary documents or the CO registers the requested supplementary documents on behalf of PC and once they are recorded, the state of the Endorsement Request is set back to "E-Waiting for Documentary Control Result";

While the Endorsement Request is at the "E-Waiting for Physical Control Result" state, the following events can happen:

- The CO registers the physical control. The physical control result "P2- Different goods" or "P3-Non-union goods" is recorded; the state of the Endorsement Request is set to "E-Rejected". This is a final state;

- The CO registers the physical control. The physical control result "A1- Satisfactory" is recorded, the state of the Endorsement Request is set to "E-Waiting for Endorsement Decision".

While the Endorsement Request is at the "E-Waiting Endorsement Decision" state, the following events can happen:

- The CO decides to endorse the request for which an extended validity period is requested and once the decision is recorded, the state of the Endorsement Request is set to "E-Waiting for Extended Validity Period Decision";

- The CO decides to endorse the request for which a default validity period is requested and once the decision is recorded, an MRN is issued and the validity period of the proof is recorded, the state of the Endorsement Request is set to "E-Registered". This is a final state;

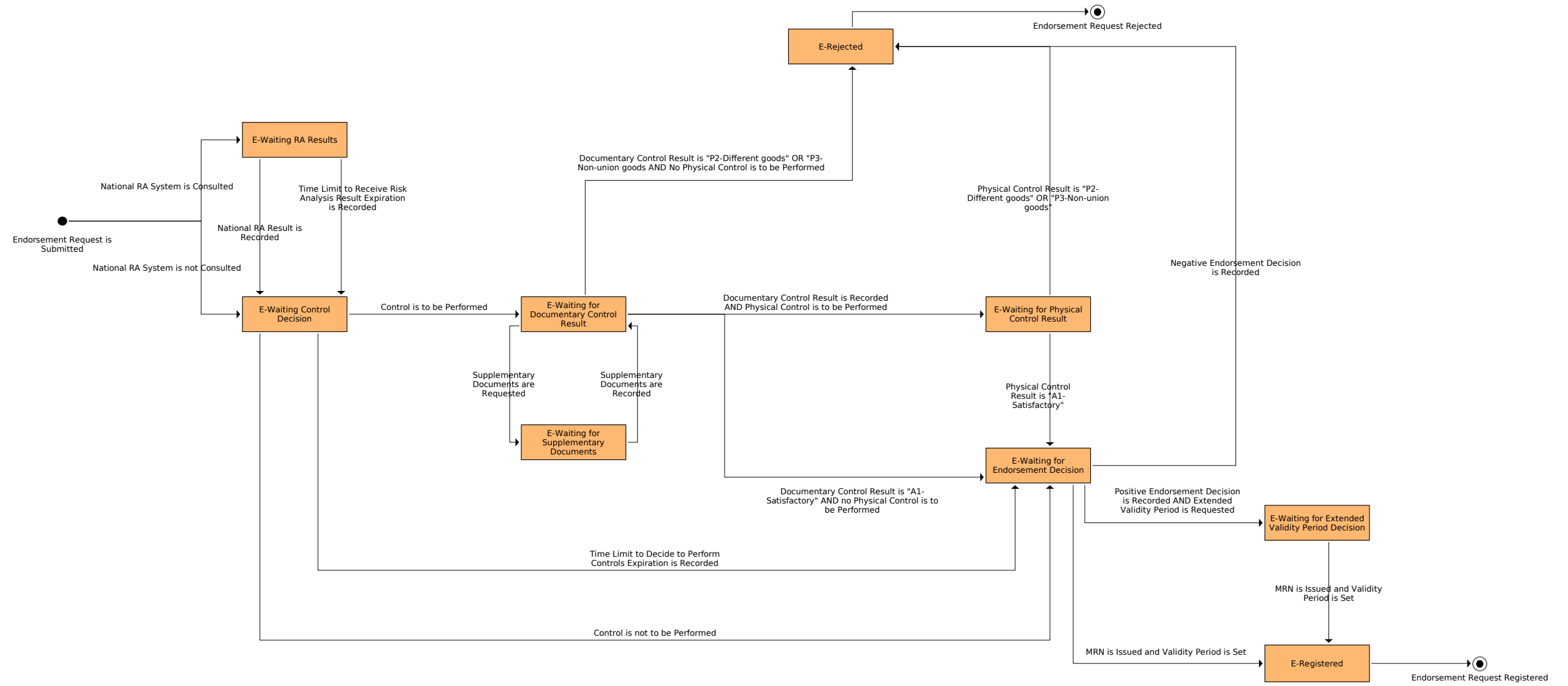
- When a time limit to handle endorsement is configured and has expired, an MRN is issued and the validity period of the proof is recorded, the state of the Endorsement Request is set to "E-Registered". This is a final state.

While the Endorsement Request is at the "E-Waiting for Extended Validity Period Decision" state, the following events can happen:

- The CO decides to accept the extended validity period and once the decision is recorded, an MRN is issued and the extended validity period of the proof is recorded, the state of the Endorsement Request is set to "E-Registered". This is a final state;

- The CO decides not to accept the extended validity period and once the decision is recorded, an MRN is issued and the default validity period of the proof is recorded, the state of the Endorsement Request is set to "E-Registered". This is a final state.

So, the final states of an Endorsement Request may be either "E-Rejected" or "E-Registered".



6.5. T2L/T2LF Proof Lifecycle

Model Description: The State Transition Diagram depicts the states of the Proof when a. a respective Presentation Notification is submitted to the Presentation Customs Office or b. the Proof is not presented and the validity period expires. When a Proof is not presented and its validity period expires, the state of the Proof is set to "E-Expired". This is a final state.

When a Proof is presented and a respective Presentation Notification from an Economic Operator at Arrival or a Customs Officer is submitted and it is valid, the state of the Proof is set to "P-Waiting RA Results", in case a National Risk Analysis system is consulted or "P-Waiting Control Decision", in case no National Risk Analysis system is consulted.

While the Proof is at the "P-Waiting RA Results" state and the National Risk Analysis Result is recorded or the time limit to receive the risk analysis result has expired, the state of the Proof is set to "P-Waiting Control Decision".

While the Proof is at the "P-Waiting Control Decision" state, the following events can happen:

- The CO decides to perform the relevant controls, selects the level of control (only documentary control or both documentary and physical control) and once the decision is recorded, the state of the Proof is set to "P-Waiting for Documentary Control Result";

- The CO decides not to perform the relevant controls and once the decision is recorded, the state of the Proof is set to "P-Waiting Proof Usage Decision";

- When a National Risk Analysis system is consulted, no risk is identified, a time limit to decide whether to perform control is configured and has expired, the state of the Proof is set to "P-Waiting Proof Usage Decision".

While the Proof is at the "P-Waiting for Documentary Control Result" state, the following events can happen:

- The CO decides to request supplementary documents from the EO at Arrival and once the decision is recorded, the state of the Proof is set to "P-Waiting for Supplementary Documents";

- The CO registers the documentary control and may update the level of control. The documentary control result "P2- Different goods" and the decision not to perform physical control are recorded, the state of the Proof is set back to "E-Registered". This is an intermediate state for the Proof but final for its presentation. the Proof is not used but can be presented again;

- The CO registers the documentary control and may update the level of control. The documentary control result "A1- Satisfactory" or "P4-Missing goods" or "P5-Excess goods" and the decision not to perform physical control are recorded, the state of the Proof is set to "P-Waiting Proof Usage Decision";

- The CO registers the documentary control and may update the level of control. The documentary control result and the decision to perform physical control are recorded, the state of the Proof is set to "P-Waiting for Physical Control Result".

While the Proof is at the "P-Waiting for Supplementary Documents" state, the following events can happen:

- The EO at Arrival provides the requested supplementary documents or the CO registers the requested supplementary documents on behalf of EO at Arrival and once they are recorded, the state of the Proof is set back to "P-Waiting for Documentary Control Result";

While the Proof is at the "P-Waiting for Physical Control Result" state, the following events can happen:

- The CO registers the physical control. The physical control result "P2- Different goods" is recorded; the state of the Proof is set back to "E-Registered". This is an intermediate state for the Proof but final for its presentation. the Proof is not used but can be presented again;

- The CO registers the physical control. The physical control result "A1- Satisfactory" or "P4-Missing goods" or "P5-Excess goods" is recorded, the state of the Proof is set to "P-Waiting Proof Usage Decision".

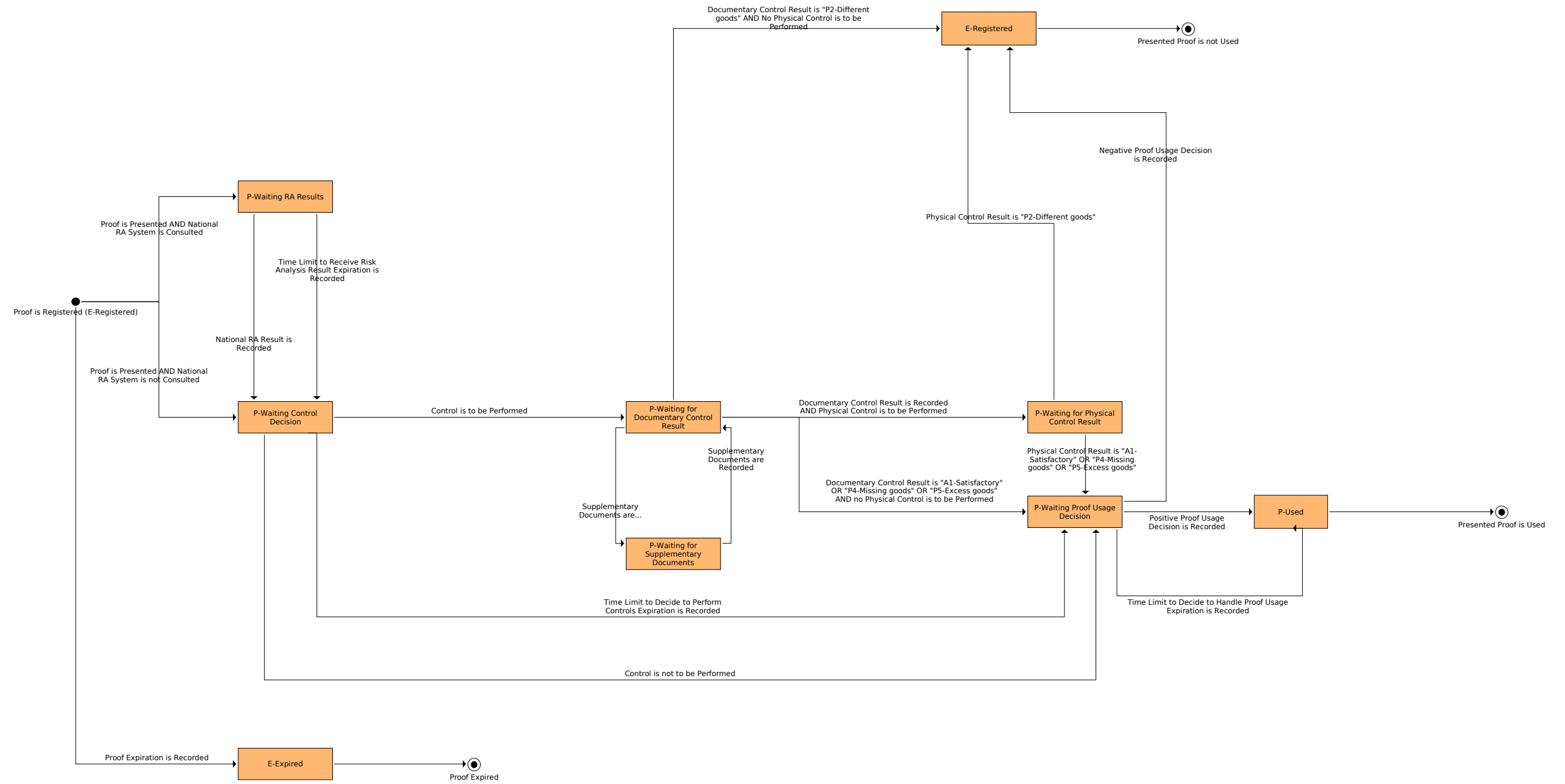
While the Proof is at the "P-Waiting Proof Usage Decision" state, the following events can happen:

- The CO decides not to use the presented Proof and once the decision is recorded, the state of the Proof is set back to "E-Registered". This is an intermediate state for the Proof but final for its presentation. the Proof is not used but can be presented again;

- The CO decides to use the presented Proof and once the decision is recorded, the state of the Proof is set to "P-Used"; This is a final state and the Proof is used;

- When a time limit to handle Proof usage is configured and has expired, the state of the Proof is set to "P-Used"; This is a final state and the Proof is used;

So, the final states of a Proof may be either "P-Used" or "E-Expired". The state "E-Registered" is an intermediate state for the Proof but final for its presentation.



6.6. T2L/T2LF Registration Request Lifecycle

Model Description: The State Transition Diagram depicts the states of the Registration Request submitted to the Competent Customs Office.

When a Registration Request from an Authorised Issuer is submitted and it is valid, the state of the request is set to "E-Waiting RA Results", in case a National Risk Analysis system is consulted or "E-Waiting Control Decision", in case no National Risk Analysis system is consulted.

While the Registration Request is at the "E-Waiting RA Results" state and the National Risk Analysis Result is recorded or the time limit to receive the risk analysis result has expired, the state of the request is set to "E-Waiting Control Decision".

While the Registration Request is at the "E-Waiting Control Decision" state, the following events can happen:

- The CO decides to perform the relevant controls, selects the level of control (only documentary control or both documentary and physical control) and once the decision is recorded, the state of the Registration Request is set to "E-Waiting for Documentary Control Result";

- The CO decides not to perform the relevant controls and once the decision is recorded, an MRN is issued and the validity period of the proof is recorded, the state of the Registration Request is set to "E-Registered". This is a final state;

- When the authorisation time limit to perform control (as retrieved from the respective Authorisation) related to the Registration Request has expired, the state of the Registration Request is set to "E-Registered". This is a final state;

While the Registration Request is at the "E-Waiting for Documentary Control Result" state, the following events can happen:

- The CO decides to request supplementary documents from the AI and once the decision is recorded, the state of the Registration Request is set to "E-Waiting for Supplementary Documents";

- The CO registers the documentary control and may update the level of control. The documentary control result "P2- Different goods" or "P3-Non-union goods" and the decision not to perform physical control are recorded, the state of the Registration Request is set to "E-Rejected". This is a final state;

- The CO registers the documentary control and may update the level of control. The documentary control result "A1- Satisfactory" and the decision not to perform physical control are recorded, an MRN is issued and the validity period of the proof is recorded, the state of the Registration Request is set to "E-Registered". This is a final state;

- The CO registers the documentary control and may update the level of control. The documentary control result and the decision to perform physical control are recorded, the state of the Registration Request is set to "E-Waiting for Physical Control Result".

While the Registration Request is at the "E-Waiting for Supplementary Documents" state, the following events can happen:

- The AI provides the requested supplementary documents or the CO registers the requested supplementary documents on behalf of AI and once they are recorded, the state of the Registration Request is set back to "E-Waiting for Documentary Control Result";

While the Registration Request is at the "E-Waiting for Physical Control Result" state, the following events can happen:

- The CO registers the physical control. The physical control result "P2- Different goods" or "P3-Non-union goods" is recorded; the state of the Registration Request is set to "E-Rejected". This is a final state;

- The CO registers the physical control. The physical control result "A1- Satisfactory" is recorded, an MRN is issued and the validity period of the proof is recorded, the state of the Registration Request is set to "E-Registered". This is a final state;

So, the final states of a Registration Request may be either "E-Rejected" or "E-Registered".

